

SYNTHESIS, CHARACTERIZATION,
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METAL DICHALCOGENIDES

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“It doesn’t matter how beautiful your theory is, it doesn’t matter how smart you are. If it doesn’t agree with experiment, it’s wrong.”

— Richard Phillips Feynman

Dedicated to Maa and Baba...

List of Publications

1. Metal-chalcogen bond-length induced electronic phase transition from semiconductor to topological semimetal in ZrX_2 ($X = \text{Se}$ and Te); **Indrani Kar**, Joydeep Chatterjee, Luminita Harnagea, Y. Kushnirenko, A. V. Fedorov, Deepika Shrivastava, B. Büchner, P. Mahadevan, and S. Thirupathaiah; *Phys. Rev. B* **101**, 165122 (2020).
2. Experimental evidence of stable $2H$ phase on the surface of layered $1T'$ - TaTe_2 ; **Indrani Kar**, Kapildeb Dolui, Luminita Harnagea, Y. Kushnirenko, G. Shipunov, N. C. Plumb, M. Shi, B. Büchner, and S. Thirupathaiah; *J. Phys. Chem. C* **125**, 1150 (2021).
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6. Observation of Kondo effect in transition metal dichalcogenides VX_2 ($X=\text{Se}$ & Te) due to low-temperature weak ferromagnetism; **Indrani Kar**, Susanta Ghosh, Shuvankar Gupta, Sudip Chakraborty, and Setti Thirupathaiah (under review).

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Abstract

Transition metal dichalcogenides (TMDCs) are available with a wide range of electronic properties starting from the metallic, to the semimetallic, to the semiconducting, and to the Mott insulators. This group of materials are of great research interests due to their potential applications in spintronics and optoelectronics devices. In addition, the diverse electronic properties of TMDCs include charge density wave (CDW), spin density wave (SDW), magnetism, superconductivity, and topological properties. In recent years, band engineering is widely applied on this group of materials due to weak van der Waals forces between the layers to uncover the exotic physical properties. Thus, examining the electronic band structure of these materials is crucial for the microscopic understanding of their peculiar physical properties.

In this work, we performed angle resolved photoemission spectroscopy (ARPES) studies on various TMDCs such as ZrSe₂, ZrTe₂, TaTe₂, and NiTe₂ to understand their low-energy electronic band structure in the vicinity of the Fermi level. ARPES studies on ZrTe₂ demonstrate free charge carriers at the Fermi level, which is further confirmed by the density functional theory (DFT) calculations. An equal hole and electron carrier density estimated from the ARPES data, points to ZrTe₂ being a semimetal. The DFT calculations further suggest a band inversion between Te-*p* and Zr-*d* states at the Γ point, hinting at the nontrivial band topology in ZrTe₂. Thus, our studies suggest that ZrTe₂ is a topological semimetal. Also, a comparative band structure study is done on ZrSe₂, which shows a semiconducting nature of the electronic structure with an indirect band gap of 0.9 eV between the $\Gamma(A)$ and $M(L)$ high-symmetry points. From this comparative study between ZrTe₂ and ZrSe₂ we conclude that the metal-chalcogen bond length plays a crucial role in tuning the electronic properties from a semiconductor (ZrSe₂) to a topological semimetal (ZrTe₂)

From the ARPES studies on TaTe₂ which is one of the CDW materials from the group V TMDCs, we find that the Fermi surface (FS) topology of TaTe₂ is quite complicated compared to its isovalent compounds such as TaS₂, TaSe₂, and isostructural compound NbTe₂. Most importantly, we discover that the surface electronic structure of $1T'$ -TaTe₂ has more resemblance to the $2H$ -TaTe₂, while the bulk electronic structure has more resemblance to the hypothetical $1T$ -TaTe₂. These experimental ob-

servations are thoroughly compared with the DFT calculations performed on $1T$ -, $2H$ -, and $2H$ (monolayer)/ $1T$ -TaTe₂. We further notice that the Fermi surface topology is temperature independent up to 180 K, confirming that the $2H$ phase on the surface is stable up to 180 K and the CDW order is not due to the Fermi surface nesting. Also, our ARPES data on NiTe₂ clearly show a surface Dirac point observed at a binding energy of 1.45 eV which is consistent with an earlier theoretical prediction. Thus, our results for the first time experimentally show that NiTe₂ is a topological TMDC. Our ARPES data further suggest a metallic nature of NiTe₂. We find that overall, the electronic structure of NiTe₂ has similarities with the other topological TMDCs like PdTe₂, PtTe₂, and PtSe₂.

In addition to ARPES studies on the above mentioned TMDCs, we also have grown high quality single crystals of few TMDCs such as NiTe₂, VTe₂, VSe₂, and V₅S₈ and studied their electrical-transport, magnetotransport, and magnetic properties. From our electrical-transport and magnetotransport measurements on NiTe₂ single crystals, we find a Debye temperature $\theta_D \approx 230$ K using an extended Bloch-Grüneisen (BG) formula. The resistivity data fitting with the BG formula indicates that resistance in this system is dominated by s-d electron-phonon scattering. Also, from the magnetoresistance (MR) measurements we observe a crossover from linear MR dependence on B ($MR \propto B$) to a quadratic MR dependence on B ($MR \propto B^2$) at an applied magnetic field of $B \approx 3.5$ T.

From our electrical resistivity, magnetotransport, and magnetic properties studies on TMDCs VSe₂ and VTe₂, we draw a comprehensive comparison between them. We observe the Kondo effect in both systems due to weak ferromagnetic ordering at low temperatures, resulting into a low-temperature resistivity upturn due to the Kondo effect with a Kondo temperature of 6 K for VSe₂ and 12 K for VTe₂. From the field dependent resistance measurements we find that the data is fitted best with a modified Hamann equation corrected by the quantum Brillouin function for VSe₂, while the data is fitted best with a modified Hamann equation corrected by the classical Langevin function for VTe₂. We attribute the differing formalisms for the same Kondo effect in different materials to their extrinsic sample properties rather than to the intrinsic nature of the material. Interestingly, we observe a contrasting MR property between these systems across the Kondo temperature. That means, a negative MR is found in both systems in the Kondo state. In the normal state the MR is positive for VSe₂, while it is negligible for VTe₂. In addition, both systems show weak ferromagnetism at low temperatures due to intercalated V atoms. Further, we find that V₅S₈ shows a low temperature resistivity upturn due to Kondo effect at a Kondo temperature of 6 K despite being an antiferromagnetic system. Similar to VSe₂ and VTe₂, V₅S₈ also shows a anisotropic negative MR at low temperatures while at high temperatures the MR disappears.

List of Figures

1.1	The transition metals and the three chalcogen elements that mostly crystallize in layered structure are highlighted in the periodic table. Gradient colored Co, Rh, Ir, and Ni indicate that only CoTe_2 , RhTe_2 , IrTe_2 and NiTe_2 form layered structures.	11
1.2	Crystal structure of $1T$, $2H$, $3R$, $1T'$, and $1T_d$ polytypes in top panel. Bottom panel shows projection of crystal structure on ab -plane. The octahedral ($1T$), trigonal prismatic ($2H$, $3R$), distorted octahedral ($1T'$) and orthorhombic (T_d) geometries are shown in the blue colored box.	13
1.3	Crystal structure of $2M$ -phase WS_2 [30].	14
1.4	ARPES measurements on different TMDCs. (a) Shows the Fermi surface (FS) map of MoTe_2 . Solid curves represent bulk Fermi sheets, while the dashed curve represents a Fermi arc from the surface [3]. (b) Energy dispersive maps of PtSe_2 along the Γ - K and Γ - M directions. Red arrow indicates the Dirac point and green arrow indicates the deep topological surface states [69]. (c) HfSe_2 shows indirect bandgap along Γ to M direction. Dashed red lines denote the calculated band structure using DFT along the Γ to M direction [70].	17
1.5	Resistivity measurements on different TMDCs. (a) Superconductivity of $2M$ - WS_2 [30]. (b) Different types of CDW transitions and Mott Insulator transition in $1T$ - TaS_2 [131].	18
1.6	Magnetization measurements on ferromagnetic $1T$ - $\text{Cr}_{1-x}\text{V}_x\text{Te}_2$ samples with an applied magnetic field of 1 kOe [163].	21
2.1	(a) Diffusion pump used for vacuum sealing. (b) Sealing of a quartz tube under vacuum using Oxygen and liquefied petroleum gas (LPG) together. (c) Muffle furnace with a maximum allowable temperature of 1250°C . (d) 3-zone gradient tube furnace with a maximum allowable temperature of 1100°C	40
2.2	Schematic diagram of the CVT process in a conventional two-zone gradient tube furnace. This image is adapted from refernce [8].	41

LIST OF FIGURES

2.3	Schematic diagram of the flux-growth method. The position of the quartz tube during heat treatment is shown in the leftside image and the rightside image shows the postion of quartz tube during centrifuge process. This image is adapted from reference [16].	42
2.4	The schematic diagram of Energy dispersive X-ray analysis (EDX). This image is adapted from reference [17].	43
2.5	The image of FEI Quanta TM FEG 250 instrument, available under the central equipment facilities of SNBNCBS. Inset shows samples are attached to the sample holder using conducting carbon tape.	44
2.6	(a) The schematic diagram of X-ray diffraction measurements (XRD). This image is adapted from xrd.co. (b) The image of PANalytical X'Pert Pro instrument, available under the central equipment facilities of SNBNCBS.	45
2.7	(a) The schematic diagram of a heat-flux DSC. This image is adapted from reference [19]. (b) The image of the Q2000 heat-flux DSC instrument, available under the central equipment facilities of SNBNCBS. . .	46
2.8	The schematic diagram of a power compensated DSC. This image is adapted from reference [19].	47
2.9	The schematic representation of four probe method used for resistivity measurement. DMM stands for digital multimeter	48
2.10	The low temperature resistivity set-up in our lab along with supporting instruments.	48
2.11	(a) Picture of the Quantum Design TM PPMS-9T instrument available under technical research centre at SNBNCBS. (b) The picture of the ETO puck mounted on a puck-wiring test station. Two samples are connected to the ETO puck. (c) Picture of the rotator puck.	50
2.12	(a) Schematic diagram of vibrating sample magnetometer (VSM). This image is adapted from reference [22]. (b) Image of Lake Shore Cryotronics TM based VSM instrument, available under the central equipment facilities of SNBNCBS.	51
2.13	Schematic diagram of DC-SQUID loop containing two Josephson junctions. This image is adapted from reference [24].	51
2.14	Schematic representation of superconducting quantum interference device (SQUID). This image is adapted from Quantum Design MPMS 3 user's manual.	52
2.15	A schematic representation of the relation between energy levels in a solid and the electron distribution of photoelectrons produced by photons of energy $\hbar\omega$. This image is reproduced from reference [25].	54

LIST OF FIGURES

2.16	“The universal curve” of the inelastic mean-free path of electrons within a solid as a function of kinetic energy are plotted for a broad range of solids. This figure is taken from reference [27]	55
2.17	A schematic representation of three-step and two-step model in photoemission spectroscopy. This figure is reproduced from reference [25].	55
2.18	A schematic diagram of a hemispherical electron analyzer. This image is adapted from references [25, 30].	56
2.19	(a) A schematic representation of angle-resolved photoemission spectroscopy (ARPES) geometry. (b) The schematic diagram of momentum vector (\mathbf{k}) components (k_{\parallel} , k_{\perp}) while transmitting through the sample surface to vacuum. This figure is reproduced from reference [31].	57
2.20	ARPES experimental station attached with XPS and Low energy electron diffraction (LEED) facilities, Indus-2, RRCAT, India. (a) is the sample holder with the sample glued on it, (b) is the X-ray source, (c) is the hemispherical electron analyzer, (d) is the main chamber, (e) is the LEED camera, (f) is the sample preparation chamber, (g) is the cleaving rod, (h) is the transfer rod, (i) is sample isertion chamber, (j) is a turbo pump.	59
3.1	(a) Trigonal crystal structure of ZrTe_2 and ZrSe_2 . (b) ZrX_2 layer projected on the ab -plane, showing the metal-chalcogen hexagonal pattern. (c) Scanning electron microscope image of the ZrTe_2 single crystal with a spacial resolution of $100 \mu\text{m}$. (d) X-ray diffractogram of ZrTe_2 single crystal. (e) 3D view of the hexagonal Brillouin zone with high symmetry points. (f) Measuring geometry of photoemission spectroscopy, defining the s and p -polarized photons with respect to the scattering plane (SP) and analyzer entrance slit (ES). Core level photoemission spectra of (g) ZrTe_2 and (h) ZrSe_2 . In (h), the symbols $+$ and \star represent the Iodine impurity peaks of $4p_{3/2}$ and $4p_{5/2}$, respectively.	65
3.2	In-plane electronic structure of ZrTe_2 . (a) Fermi surface map measured using p polarized light with a photon energy $h\nu = 100 \text{ eV}$. (b) Energy distribution maps (EDMs) taken along the cuts #1 and #2 as shown in (a). (c) Second derivatives of (b). In (c) the red-dashed curves are eye-guides showing the band dispersions. (d) Energy-momentum plot of ZrTe_2 calculated using density functional theory with (blue) and without (red) including spin-orbit coupling.	66

3.3	<p>Out-of-plane (k_z) electronic structure of ZrTe_2. (a) k_z Fermi surface map measured using p-polarized light in the ΓMAL plane. (b) EDM taken along the $\Gamma - A$ high symmetry line. (c) Photon energy dependent energy distribution curves (EDCs) extracted from (b). (d) Photon energy dependent momentum distribution curves (MDCs) extracted from (a). (e) EDMs taken from Γ and A high symmetry points. (f) Energy-momentum plot from the calculations along the $\Gamma - A$ high symmetry line. (g) k_z Fermi surface map measured using p-polarized light in the $MKHL$ plane. (h) EDM taken along the $M - L$ high symmetry line. (i) Photon energy dependent EDCs extracted from (h). (j) Photon energy dependent MDCs extracted from (g). (k) EDMs taken from M and L high symmetry points. (l) Energy-momentum plot from the calculations along the $M - L$ high symmetry line. In (b) and (h) the DFT band structure along $\Gamma - A$ and $M - L$ is overlapped on the ARPES data by shifting the calculated Fermi level 0.3 eV towards lower binding energy. Note that since the band renormalization effects are not consider, only a qualitative agreement is found between theory and experiment.</p>	67
3.4	<p>ARPES spectra of ZrSe_2. (a) Fermi surface map measured using p-polarized light with a photon energy of $h\nu = 100$ eV. (b) Energy-momentum plot from the first-principles calculations. (c) EDM cut taken along the direction as shown in (a) measured using p-polarized light. (d) Same as (c) but measured using s-polarized light. (e) Sum of (c) and (d). (f) Second derivative of (e).</p>	69
3.5	<p>Orbital resolved calculated band structure of ZrSe_2 without SOC for (a) Se p states (b) Zr d states. The blue color represents the strength of contributions.</p>	70
3.6	<p>Photon energy dependent ARPES spectra of ZrSe_2. (a) Photon energy dependent EDMs measured using s-polarized (top panel) and p-polarized light (bottom panel) at the zone center. (b) Photon energy dependent EDCs from s- and p-polarized lights. (c) Photon energy dependent EDMs taken for the electron pocket. (d) MDCs extracted by integrating over 10 meV about the Fermi level, taken from (c).</p>	70
3.7	<p>Calculated band structure of ZrTe_2 (a) without SOC and (b) with SOC. (c) and (d) are same as (a) and (b) but with orbital information. Inset in (b) shows a gap of 10 meV opening at the Γ point due to the band inversion under the SOC.</p>	73
3.8	<p>ARPES data of ZrSe_2. The figure suggests the gap between valence and conduction band to be 0.9 eV. The data is measured using p-polarized light with a photon energy of 100 eV.</p>	74

4.1	Distorted $1T$ phase ($1T'$) or the monoclinic crystal structure of TaTe_2 at high temperature (a) and at low temperature (b). (c) Hypothetical $1T$ phase crystal structure of TaTe_2 projected onto the ab -plane. (d) Scanning electron microscope image of the $1T'$ - TaTe_2 single crystal. (e) X-ray diffractogram of $1T'$ - TaTe_2 single crystal. (f) XPS measured with a photon energy of 100 eV. On the XPS, all core levels are identified either to Ta or Te atom.	83
4.2	(a) Experimental Fermi surface map measured with a photon energy $h\nu = 100$ eV. (b), (c) and (d) Show the calculated spectral function distribution at the Fermi level plotted for semi-infinite slabs of $1T$, $2H$, and $2H$ -monolayer placed on top of the semi-infinite $1T$ - TaTe_2 slab, respectively.	84
4.3	(a) Constant energy contour map taken at binding energy of 0.1 eV below E_F measured with a photon energy $h\nu = 100$ eV (b) EDMs taken along Γ - K , Γ - M , and along the cuts #1-#3 as shown in (a). (c), (d), and (e) are the calculated energy distribution maps along the high-symmetry lines of $\overline{\Gamma M}$ and $\overline{\Gamma K}$ plotted for the semi-infinite slabs of $1T$, $2H$, and $2H$ -monolayer placed on top of the semi-infinite $1T$ - TaTe_2 slab, respectively.	85
4.4	Out-of-plane (k_z) electronic structure of TaTe_2 measured at 1K. (a) k_z Fermi surface map in ΓKHA plane. (b) Photon energy dependent momentum distribution curves extracted from (a). (c) EDM taken along the Γ - A high symmetry line. (d) Photon energy dependent energy distribution curves extracted from (c). (e) EDMs taken from Γ - K and A - H high symmetry lines.	86
4.5	(a) 3D view of the calculated Fermi surface topology of bulk $1T$ - TaTe_2 . (b) Electronic band structure of bulk $1T$ - TaTe_2 . (c) 3D view of the calculated Fermi surface topology of bulk $2H$ - TaTe_2 . (d) Electronic band structure of bulk $2H$ - TaTe_2	87
4.6	Temperature dependent Fermi surface (FS) topology of TaTe_2 . FS measured at 20 K (left), 180 K (middle), and 20 K after cooling down from 180 K (right).	89
5.1	(a) Trigonal crystal structure of NiTe_2 . (b) XRD of NiTe_2 single crystal. (c) Scanning electron microscope image of NiTe_2 single crystals.	96

5.2	(a) Temperature dependent zero-field in-plane electrical resistivity normalized to the residual resistivity of NiTe ₂ . Black curve is the fitting result of Eq. 5.1. (b) Zoomed-in image of (a) below 30 K. (c) Temperature dependent zero-field in-plane electrical resistivity normalized to the residual resistivity plotted below 30 K. Green curve is the fitting result of Eq. 5.2. Inset shows small resistivity upturn below 10 K.	97
5.3	Transverse MR at 2 K and 300 K. Black solid line is parabolic fit and blue solid lines are linear fit. The inset shows the first derivative of the MR at 2 K.	98
5.4	(a) EDM of NiTe ₂ single crystal measured using photon energy of 21.2 eV at a sample temperature 8.9 K. (b) Second derivative of (a). (c) Zoomed-in image of the region marked by red dotted circle in (a). (d) EDCs of (a) taken at different momentum values.	99
6.1	(a) and (b) Powder X-ray diffraction (XRD) pattern of VTe ₂ and VSe ₂ single crystals. Insets in (a) and (b) are the optical images of the single crystals. (c) and (d) Energy dispersive X-ray spectroscopy (EDX) data of VTe ₂ and VSe ₂ single crystals, respectively.	108
6.2	(a) In-plane electrical resistance of VTe ₂ plotted as a function of temperature. Inset in (a) shows low-temperature resistance upturn at a temperature minima $T_m=17$ K. (b) Temperature dependent electrical resistance plotted for various magnetic fields applied parallel to c -axis. Solid lines in (b) are fittings to Eq. 6.1 and Eq. 6.2. (c) Magnetoresistance, MR (%), plotted as a function of field applied parallel to the c -axis ($\theta = 0^\circ$). (d) MR (%) plotted as function of applied field angle. Measuring geometry is shown in the inset of (d). (e) Symmetrized MR (%) of (d) is plotted in the polar graph. (f) Differential scanning calorimetry (DSC) data is plotted as function of temperature for both cooling and heating cycle. In (f), the charge density wave ordering temperature (T_{CDW}) is marked from both heating and cooling cycles.	109
6.3	(a) Magnetic susceptibility of VTe ₂ plotted as a function of temperature with a field of 1 T applied parallel to c -axis measured in the FC and ZFC modes. (b) Same as (a) but measured for different magnetic fields in the FC mode. (c) Magnetization isotherms $M(H)$ measured at 5 K and 300 K.	111

- 6.4 (a) In-plane electrical resistance of VSe_2 plotted as a function of temperature. A hump at the CDW transition temperature of 100 K is noticed on the resistance curve. The inset in (a) shows the resistance upturn at a temperature minima $T_m=6$ K. (b) Temperature dependent electrical resistance plotted for various magnetic fields applied parallel to the c -axis. Solid lines in (b) are fittings to Eq. 6.4 and Eq. 6.5. (c) Magnetoresistance, MR (%), plotted as a function of field applied parallel to the c -axis ($\theta = 0^\circ$) is in the left panel and for the field applied perpendicular to the c -axis ($\theta = 90^\circ$) is shown in the right panel. (d) The MR (%) is plotted as function of the field angle with applied field of 9 T (left panel) and 3 T (right panel). (e) Symmetrized MR (%) of (d) is plotted in the polar graph with an applied field of 9 T. (f) Symmetrized MR (%) of (d) is plotted in the polar graph with an applied field of 3 T. 112
- 6.5 (a) Magnetic susceptibility of VSe_2 plotted as a function of temperature measured with a field of 1 T applied parallel to the c -axis in the FC and ZFC modes. (b) Same as (a) but measured for different magnetic fields in the FC mode. A hump in the magnetic susceptibility is noticed both in FC and ZFC modes at a CDW transition temperature of 100 K. (c) Magnetization isotherms $M(H)$ measured at 5 K and 300 K. 114
- 7.1 (a) Powder X-ray diffractogram (XRD) of V_5S_8 single crystals. Inset is a photographic optical image of the single crystal. The top panel in (b) is the crystal structure of V_5S_8 spanned over two unit cells. Blue color atoms are intercalated vanadium atoms in the van der Waals gap between two layers of VS_2 . Bottom panel in (b) shows the V_5S_8 crystal structure projected onto the ac -plane. (c) Energy dispersive X-ray analysis (EDX) of V_5S_8 single crystals. Inset in (c) shows atomic and weight percentages of the elements present in the compound. 122
- 7.2 (a) Magnetic susceptibility plotted as a function of temperature measured with a field of 0.5 T in the FC and ZFC modes for $H \parallel c$. (b) Same as (a) but measured for $H \perp c$. (c) Magnetization isotherms $M(H)$ measured at different temperatures for $H \parallel c$. (d) Same as (c) but measured for $H \perp c$ 123

7.3 (a) In-plane electrical resistance and first derivative of resistance (dR/dT) plotted as a function of temperature. (b) Temperature dependent electrical resistance plotted for various magnetic fields applied parallel to the c -axis. Left inset in (b) is the zoomed-in image of the dash marked rectangular region. Solid lines are fitting to Eq. 7.1 at 0 T and Eq. 7.2 in presence of field. Right inset in (b) shows Néel temperature T_N plotted as a function of applied field. 124

7.4 (a) Magnetoresistance, MR (%), plotted as a function of field applied parallel to the c -axis ($\theta = 0^\circ$). (b) Same as (a) but measured for the field applied perpendicular to the c -axis ($\theta = 90^\circ$). (c) Angle-dependent MR (%) plotted as function of field-angle under the applied fields of 1 T and 9 T. (d) and (e) AMR (%) of (c) plotted in the polar graph with an applied of 9T and 1T respectively. 127

Contents

Table of Contents	1
List of Figures	2
1 Introduction	11
1.1 Structure of TMDCs	12
1.2 Importance of TMDCs	14
1.2.1 Metal/Semimetal	14
1.2.2 Semiconductor	21
1.2.3 Metal-Insulator Crossover	22
1.3 Overview of the Thesis	23
2 Experimental Details	40
2.1 Single Crystal Growth	40
2.1.1 Chemical Vapor Transport	41
2.1.2 Flux Growth Method	41
2.2 Compositional Characterization	42
2.2.1 EDX and FESEM Measurements	42
2.3 Structural Characterization	44
2.3.1 XRD Measurements	44
2.4 Thermal Transport Measurements	46
2.4.1 DSC Measurements	46
2.5 Electrical Transport Measurements	47
2.6 DC Magnetic Measurements	49
2.6.1 VSM Measurements	49
2.6.2 SQUID Measurements	51
2.7 Electronic Structure Studies	53
2.7.1 XPS Measurements	53
2.7.2 ARPES Measurements	53

3	ARPES Studies on ZrX_2 ($X = Se$ and Te)	62
3.1	Introduction	62
3.2	Experimental Details	63
3.3	Discussions	71
3.4	Conclusions	74
4	ARPES Studies on CDW Candidate, $TaTe_2$	80
4.1	Introduction	80
4.2	Experimental Details	81
4.3	Results and Discussions	82
4.4	Conclusions	89
5	Magneto-transport and ARPES Studies on type-II Dirac semimetal, $NiTe_2$	94
5.1	Introduction	94
5.2	Experimental Details	95
5.3	Results and Discussions	96
5.4	Conclusions	100
6	Electrical-transport, Magnetotransport, and Magnetic Properties Studies of VX_2 ($X = Se$ & Te) Single Crystals	105
6.1	Introduction	105
6.2	Experimental Details	106
6.3	Results and Discussion	107
6.4	Conclusions	115
7	Electrical-transport, Magnetotransport, and Magnetic Properties Studies of V_5S_8 Single Crystal	120
7.1	Introduction	120
7.2	Experimental Details	121
7.3	Results and Discussions	122
7.4	Conclusions	127
8	Summary	132
	List of abbreviations	134
	List of corrections	136

Synthesis, Characterization, Transport and Electronic Structure Studies of Transition Metal Dichalcogenides

Abstract

Transition metal dichalcogenides (TMDCs) are available with exotic physical properties such as charge density wave (CDW), spin density wave (SDW), magnetism, superconductivity, and topological properties. Thus, examining the electronic band structure of these materials is crucial for microscopic understanding of their exotic physical properties.

In this work, we performed angle-resolved photoemission spectroscopy studies (ARPES) on ZrSe_2 , ZrTe_2 , TaTe_2 , and NiTe_2 to understand their low-energy electronic structure. Our studies suggest that ZrTe_2 is a topological semimetal whereas ZrSe_2 is semiconductor. We conclude that the metal-chalcogen bond length plays a crucial role in tuning the electronic properties from semiconductor (ZrSe_2) to a topological semimetal (ZrTe_2). From ARPES studies on charge density wave (CDW) material TaTe_2 , we discover that the surface electronic structure of $1T'$ - TaTe_2 has more resemblance to the $2H$ - TaTe_2 , while bulk electronic structure has more resemblance to hypothetical $1T$ - TaTe_2 . The Fermi surface topology is temperature independent up to 180 K, confirming that the $2H$ phase on the surface is stable up to 180 K and the CDW order is not due to the Fermi surface nesting. ARPES data on NiTe_2 clearly show a surface Dirac point observed at a binding energy of 1.45 eV which is consistent with an earlier theoretical prediction.

Also, we have grown high quality single crystals of NiTe_2 , VTe_2 , VSe_2 , and V_5S_8 and studied their electrical-transport, magnetotransport, and magnetic properties. From resistivity data on NiTe_2 , we find that resistance is dominated by s-d electron-phonon scattering and estimated Debye temperature $\theta_D \approx 230$ K using an extended Bloch-Grüneisen (BG) formula. Magnetoresistance (MR) shows a crossover from linear MR dependence to a quadratic MR dependence on applied magnetic field (B) at ≈ 3.5 T. From resistance measurements we observe Kondo effect at very low temperature region in VTe_2 , VSe_2 , and V_5S_8 due to magnetic exchange interactions.

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Chapter 1

Introduction

The field of transition metal dichalcogenides (TMDCs) [1] is fascinating to the research community from a long back as it contains compounds of differing electronic properties like metallic [2], semimetallic [3], semiconducting [4], and Mott insulators [5]. In the bulk layered TMDCs, the monolayers are stacked by weak van der Waals force and the layers are easily cleavable. By changing the layer numbers, rotating the angle between the layers, or stacking the layer on different substrate (heterostructure) the electronic properties can be tuned. Hence, TMDCs are potential candidates for band engineering [6] and applications in spintronics [7], optoelectronics [8]. For example, the bandgap of MoS₂ changes from an indirect bandgap of 1.29 eV to a direct bandgap of 1.8 eV as it goes from bulk to monolayer. It is found that the monolayer MoS₂ enhances the photoluminescence (PL) due to the presence of direct band gap [9] and the bilayer MoS₂ generates five to eight-fold increase in PL compared to the monolayer [10]. Again, by changing the twisting-angle of bilayer PL can be enhanced further [11].

In addition, the diverse electronic properties of TMDCs include the CDW [12], SDW [13], magnetism [14], superconductivity [15], and topological properties [16]. Tunable bandgap [17] is one of the widely discussed topics of TMDCs. From the last few years, quasi 2-dimensional (2D) TMDCs have drawn significant technological research interests sparked by the demonstration of monolayer MoS₂ based transistors [18].

H																	He
Li	Be											B	C	N	O	F	Ne
Na	Mg	3	4	5	6	7	8	9	10	11	12	Al	Si	P	S	Cl	Ar
K	Ca	Sc	Ti	V	Cr	Mn	Fe	Co	Ni	Cu	Zn	Ga	Ge	As	Se	Br	Kr
Rb	Sr	Y	Zr	Nb	Mo	Tc	Ru	Rh	Pd	Ag	Cd	In	Sn	Sb	Te	I	Xe
Cs	Ba	La-Lu	Hf	Ta	W	Re	Os	Ir	Pt	Au	Hg	Tl	Pb	Bi	Po	At	Rn
Fr	Ra	Ac-Lr	Rf	Db	Sg	Bh	Hs	Mt	Ds	Rg	Cn	Uut	Fl	Uup	Lv	Uus	Uuo

Figure 1.1: The transition metals and the three chalcogen elements that mostly crystallize in layered structure are highlighted in the periodic table. Gradient colored Co, Rh, Ir, and Ni indicate that only CoTe₂, RhTe₂, IrTe₂ and NiTe₂ form layered structures.

The various structural phases of TMDCs result in a broad range of electronic properties, both from the electronic band structure (metallic or insulating) and the emergence of correlated topological phases [2–5, 16]. The physical and structural properties of TMDCs vary depending on the group of the transition metal element. The TMDCs, TiSe_2 , ZrSe_2 , and HfSe_2 are semiconductors, whereas TiTe_2 , ZrTe_2 , and HfTe_2 are metallic, formed from the group IV transition metals [19]. The discovery of CDW phase in the group V TMDCs, both in $2H$ and $1T$ phases of TaS_2 , TaSe_2 , and $2H$ phase of NbSe_2 , led to renewed interest in this direction [20]. In the $2H$ phase of NbSe_2 , TaS_2 , and TaSe_2 , superconductivity coexists with the CDW phase at low temperature [21]. Monolayer NbS_2 shows SDW state [13]. In addition to CDW phase some are Mott insulators [22]. TMDCs formed by the group VI transition metals Mo and W combined with S and Se in their thermodynamically stable $2H$ phase, MoS_2 , MoSe_2 , WS_2 , and WSe_2 are semiconductors [23]. On the other hand, MoTe_2 and WTe_2 are well known as type-II Weyl semimetals [2]. TMDCs from the group VII, TcS_2 , TcSe_2 , and TcTe_2 are indirect band gap semiconductors with the band gaps within the infrared region of the electromagnetic spectrum. In the same series, the compounds ReS_2 , ReSe_2 , and ReTe_2 also possess semiconducting behaviour [24]. Further, from the group IX TMDCs, IrTe_2 shows superconductivity [15]. TMDCs formed by the group X transition metals NiTe_2 , PtTe_2 , PtSe_2 , and PdTe_2 are reported to be type-II Dirac semimetals [25].

1.1 Structure of TMDCs

TMDCs consist of a transition-metal (M) and two chalcogen ($X = \text{S}, \text{Se}$ or Te) atoms, described by the chemical formula of MX_2 . In layered TMDCs, the monolayers of MX_2 are stacked by weak van der Waals forces. Hence, the layers are easily cleavable, known as quasi-2D system. Each MX_2 monolayer typically has a thickness of 6\AA to 7\AA . The bonding between M and X is covalent and M is bonded with six X atoms. Depending on the geometry of covalent bonding, the TMDCs are mainly available in five polymorphs such as $1T$, $1T'$, $1T_d$, $2H$, and $3R$ [1, 26–28]. The letters denote the crystallographic system; T for trigonal, H for hexagonal, T' for monoclinic and triclinic, T_d for orthorhombic, and R for rhombohedral. The number denotes total number of TMDC monolayers per unit cell. $1T$ phase shows octahedral coordination whereas $2H$ and $3R$ phases show trigonal prismatic coordination. $1T'$, $1T_d$ show distorted octahedral coordination.

In the $1T$ phase, six X atoms octahedrally arranged around the central M atom. Most of the TMDCs are available in this $1T$ phase with trigonal crystal structure having the space group of $P\bar{3}m1$. These TMDCs are ZrX_2 , HfX_2 , TiX_2 , VSe_2 , VS_2 ,

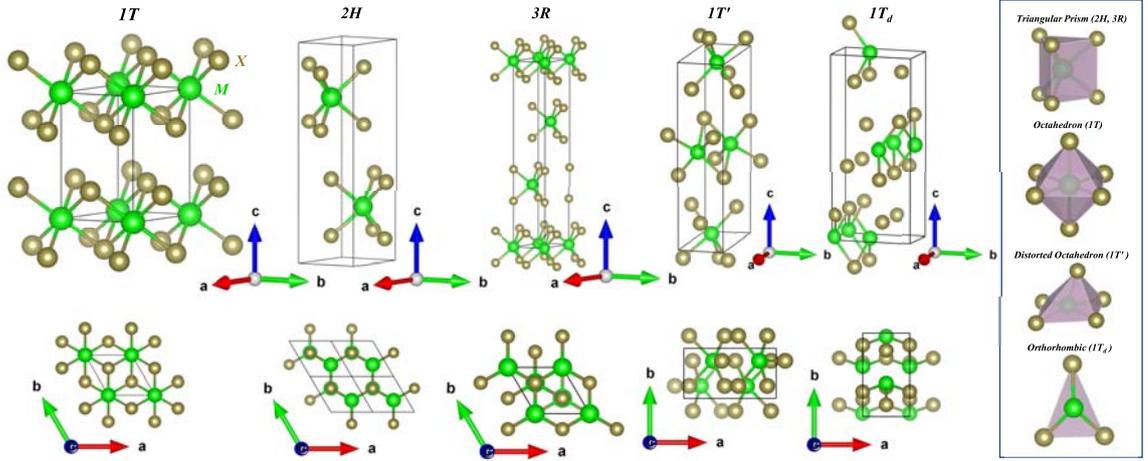


Figure 1.2: Crystal structure of $1T$, $2H$, $3R$, $1T'$, and $1T_d$ polytypes in top panel. Bottom panel shows projection of crystal structure on ab -plane. The octahedral ($1T$), trigonal prismatic ($2H$, $3R$), distorted octahedral ($1T'$) and orthorhombic (T_d) geometries are shown in the blue colored box.

TaSe_2 , TaS_2 , CrTe_2 , CrSe_2 , MoS_2 , IrTe_2 , NiTe_2 , CoTe_2 , RhTe_2 , PdTe_2 , PtX_2 ($X=\text{S}$, Se , Te).

$1T'$ phase is a distorted form of $1T$ phase. The available TMDCs in this phase are VTe_2 , NbTe_2 , TaTe_2 , CrS_2 , MoTe_2 with monoclinic crystal structure and triclinic ReX_2 ($X= \text{S}$, Se , Te).

$1T_d$ is also a distorted form of $1T$ phase. Two type-II Weyl semimetals, MoTe_2 and WTe_2 are available in this phase having orthorhombic structure with the space group of $Pnm2_1$. PdS_2 and PdSe_2 from the group XI are also available in this phase having orthorhombic crystal structure with the space group of P_{bca} [29].

In the $2H$ phase, six X atoms are symmetrically arranged around the central M atom to form a triangular prism. M is the centre and X are the vertices of the triangular prism. Here, the number two indicates that the unit cell has two TMDC monolayers in this phase. Mostly, TMDCs in low dimension (monolayer, bilayer, few layer) are available in this phase. In bulk, NbSe_2 , NbS_2 , TaS_2 , TaSe_2 , MoX_2 , WS_2 , WSe_2 are available in the $2H$ phase.

In the $3R$ phase, six X atoms are arranged around the central M atom similar to the $2H$ phase but the unit cell structure is quite different from the $2H$ -phase as shown in Fig. 1.2. Here, two extra TMDC monolayers are inserted between the two TMDC monolayers of the $2H$ phase. The total number of TMDC monolayers present in a unit cell of $3R$ phase is three. Very few TMDCs show this $3R$ phase. In nature some of the MoS_2 compounds are available in this phase and MoSe_2 , WS_2 are stabilized in this phase under high pressure.

Recently, another new phase $2M$ is found in WS_2 [30]. Here, the number two

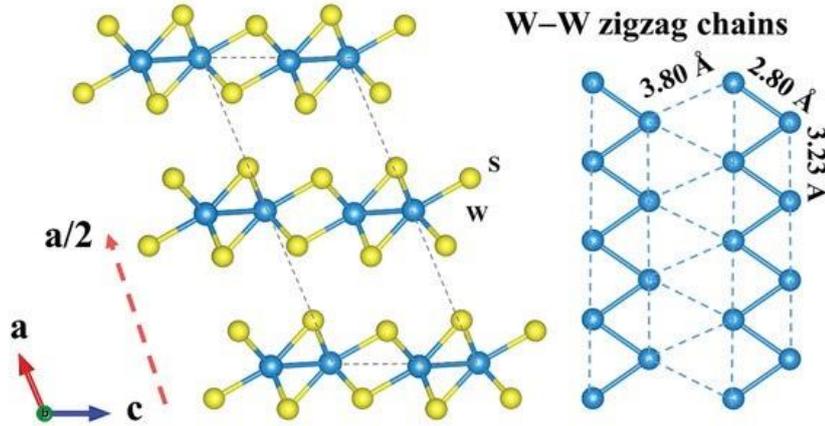


Figure 1.3: Crystal structure of $2M$ -phase WS_2 [30].

indicates that unit cell has two TMDC monolayers in this phase and the letter M indicates the monoclinic crystal structure. The monolayer of $2M$ WS_2 is similar to the $1T'$ -phase but the crystal structure is different from the $1T'$ -phase. Here, the stacking manner is different as shown in Fig. 1.3.

Apart from the van der Waals layered compounds there are some pyrite structured TMDCs such as; MnX_2 , FeS_2 (less), $FeTe_2$, RuX_2 , OsX_2 , CoX_2 , NiX_2 , RhX_2 , $IrSe_2$, IrS_2 . Among the TMDCs, some are also found in marcasite structure like MnS_2 , FeX_2 , $RuTe_2$, $CoSe_2$, $CoTe_2$, $NiSe_2$.

1.2 Importance of TMDCs

TMDCs are enriched with a broad range of exotic physical properties such as topological semimetal, superconductor, direct bandgap semiconductor, Mott insulator and so on.

1.2.1 Metal/Semimetal

Most of the TMDCs are available as metal or semimetal in their bulk state. These metallic TMDCs attract the research community due to presence of exotic physical properties such as type-II Dirac and Weyl semimetal, CDW, superconductivity and magnetism. Also, the physical properties are tunable by applying external pressure or strain [31] and by doping or intercalation [32–36]. By changing the thickness from bulk to monolayer the physical properties changes [37–45].

Topological Properties

The discovery of Dirac fermions [46, 47], Weyl fermions [48, 49], Majorana fermions [50, 51], and exotic new fermions beyond Dirac or Weyl fermions [52] in condensed matter systems enriched potential applications in spintronics and quantum computing as they exhibit a rich variety of novel phenomena, such as large linear MR [25], chiral magnetic effects [53], quantum anomalous Hall effect [54], topological Hall effect [55], and so on.

Fermions: In particle physics, the fundamental particles [56] are classified in two categories. One is Bosons having integral spin values and it follows Bose-Einstein statistics. Another one is Fermions having half integral spin values and follows Fermi-Dirac statistics. Fermions are classified into two categories: Leptons (electrons, muons, neutrinos and tau) and Quarks (up, down, strange, charm, top, bottom). Quarks are combined to form composite particles known as hadrons such as proton, neutron. Quarks participate in strong nuclear force.

Fermions are classified into different categories in quantum field theory such as Dirac fermions, Weyl fermions, and Majorana fermions [57].

Dirac Fermion: Dirac fermions obey Dirac equation [58] where mass and spin of particles are considered. These particles have four degrees of freedom, corresponding to two particle-antiparticle states and two spin states. These particles are observed in Dirac semimetals.

Weyl Fermion: Weyl fermions obey Weyl equation which is the simplified version of Dirac equation considering the particle mass is negligible. These massless particles have two degrees of freedom, corresponding to one-handedness state (left-handed or right-handed) and a single spin state. Weyl fermions do not have antiparticles. Chiral anomaly is observed within these particles, where the number of left-handed and right-handed particles can change under certain conditions. These particles are observed in topological insulators and Weyl semimetals.

Majorana Fermion: Majorana fermions are hypothetical charge-neutral particles. These particles follow Majorana equation which is a modified version of Dirac equation. In this equation, the particle and antiparticle wavefunctions are identical and the wavefunction is real-valued. Experimental evidence for Majorana fermions has been suggested in some exotic systems, such as topological superconductors [59].

Depending on the behaviour of fermions and electronic structure, the materials are classified into different subcategories such as Dirac semimetal, Weyl semimetal, topological insulator, topological semimetal.

Dirac Semimetal: In Dirac semimetals [46, 60], valence band and conduction band touch each other at a point near the Fermi level with a zero bandgap. This point is called Dirac point. Dirac point is protected by time reversal symmetry and spatial inversion symmetry. A conical dispersion is observed at Dirac point where energy

varies linearly with momentum. Here the charge carriers move as massless fermions which is described by relativistic Dirac equation. Dirac semimetals are of two types: type-I and type-II [61]. In type -I Dirac semimetal, the valence and conduction bands touch each other at discrete points in the momentum space and the Dirac cone has isotropic three dimensional dispersion. In type-II Dirac semimetals, the Dirac cone is tilted in momentum space and leads to anisotropic three dimensional dispersion. Three dimensional topological Dirac semimetals have pair of Dirac cones and carry a quantized topological invariant [62, 63].

Weyl Semimetal: In Weyl semimetals [64], valence band and conduction band touch at two points in momentum space with opposite chirality. These points are called Weyl points which are the source and sink of Berry flux and act as monopole and anti-monopole of Berry curvature. Similar to Dirac semimetals, conical band dispersion is observed near the Weyl points where energy varies linearly with momentum. Weyl points are protected either by time reversal symmetry or spatial inversion symmetry. Weyl points are topologically protected which means the robustness to various perturbation and disorder. In Weyl semimetals Fermi arcs are observed on the surface states which are topologically protected. A topological Dirac semimetal can be converted into a Weyl semimetal by breaking time-reversal symmetry or inversion symmetry [63]. By breaking one of these symmetries, the Dirac cone will split into two Weyl points with opposite chirality. Similar to Dirac semimetal, Weyl semimetals are of two types : type-I and type-II [61]. In type -I Weyl semimetal, the Weyl points are formed at discrete points in pair with opposite chirality in the momentum space and the Weyl nodes have isotropic three dimensional dispersion. In type-II Weyl semimetals, the Weyl nodes are tilted in momentum space and lead to anisotropic three dimensional dispersion.

Topological insulator: Topological insulators [65] has unique electronic structure where bulk state behaves as insulator and surface state is conducting. The bandgap of valence band and conduction band is similar as insulator but there is a gapless surface state within the bandgap. Electric current can conduct with high mobility through the surface states. The surface states are topologically protected.

Topological superconductor: Similar to topological insulators, topological superconductors have gapped bulk state and gapless surface state in superconducting phase [66, 67]. In these topological superconductors, the excitations across the gapless surface state are governed by Majorana equation where the wave function is real [67]. The theoretical physicists called these excitations as Majorana fermions [68].

Group X TMDCs, NiTe₂, PdTe₂, PtTe₂, and PtSe₂ have been confirmed as topological type-II Dirac semimetals [25, 69, 71–84]. Superconducting PdTe₂ has a type-II Dirac point at a binding energy of 0.5 eV and another surface Dirac point at a binding energy of 1.7 eV [76]. Also, PtTe₂ and PtSe₂ are type-II Dirac semimetals [69, 72, 73, 78] hav-

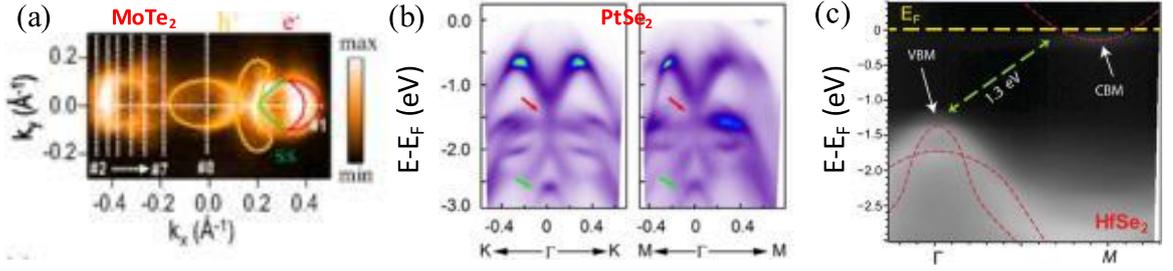


Figure 1.4: ARPES measurements on different TMDCs. (a) Shows the Fermi surface (FS) map of MoTe_2 . Solid curves represent bulk Fermi sheets, while the dashed curve represents a Fermi arc from the surface [3]. (b) Energy dispersive maps of PtSe_2 along the Γ - K and Γ - M directions. Red arrow indicates the Dirac point and green arrow indicates the deep topological surface states [69]. (c) HfSe_2 shows indirect bandgap along Γ to M direction. Dashed red lines denote the calculated band structure using DFT along the Γ to M direction [70].

ing a Dirac point at a binding energy of 0.86 eV for PtTe_2 and 1.48 eV for PtSe_2 [69]. Deep topological surface states along with Dirac surface states are also observed in PtTe_2 and PtSe_2 [69, 76–78]. In NiTe_2 the location of a type-II bulk Dirac point is estimated 20 meV above the Fermi level (E_F) while the Dirac surface states are present at a binding energy of 1.4 eV [25, 80, 82].

Group VI TMDCs, WTe_2 and MoTe_2 are reported as topological type-II Weyl semimetal in the T_d phase [3, 85–88].

Apart from type-II Dirac or Weyl semimetals, VTe_2 from group V and ZrTe_2 from group IV are known as topological semimetals due to the presence of band inversion [89–91]. WS_2 in the $2M$ phase from group VI is a topological superconductor due to presence of topological surface states [30, 92] and predicted the possible presence of Majorana bound states [93]. Previous study predicted IrTe_2 from group IX to be a topological superconductor as it shows very high linear magnetoresistance along with superconducting transition at 2.5 K [15]. Also, by applying pressure or doping or intercalation, topological phases in layered TMDCs can be tuned [31]. In going from bulk to monolayer the electronic structure can be tuned to a topological state [44, 45]. Some of the TMDCs are predicted as topological insulators in twisted bilayer, monolayer, or in presence of defects or strain [94–97].

Charge Density Wave

CDW is the modulation of conduction electrons below a certain temperature (T_{CDW}) [98–100]. Below T_{CDW} , the periodicity and the density of charge at the lattice points of metals are rearranged. The origin of CDW in 1D system was theoretically explained by Rudolf Peierls [98–101]. Peierls distortion and Fermi surface nesting are the two

main aspects behind the CDW ordering. Also, electron-phonon coupling was suggested for the formation of CDW ordering in quasi 1D systems like, ZrTe_3 [102] and CuTe [103]. Still the mechanism of a CDW in higher dimensional materials is unsettled. This phenomena was first observed in metallic 1D linear chain system [104–106]. Inorganic transition metal trichalcogenide, NbSe_3 [104] and organic tetrathiafulvalene-tetracyanoquinodimethane (TTF-TCNQ) [105, 106] are first to show CDW in quasi 1D system.

Among layered TMDCs, group V TMDCs are well known for the presence of CDW ordering. Also, in some compounds of this group, NbSe_2 and NbTe_2 show a superconducting transition. For instance, VTe_2 shows a normal to commensurate CDW (C-CDW) transition at 474 K [107–109], while NbTe_2 shows a transition to an incommensurate CDW (IC-CDW) at 550 K and an IC-CDW to C-CDW transition at room temperature [110, 111], in addition to a superconducting transition at ≈ 0.5 K [112, 113]. On the other hand, TaTe_2 shows a normal to IC-CDW transition at 170 K [114–116]. Similarly, VSe_2 shows an IC-CDW transition at around 110 K and an IC-CDW to C-CDW at 70 K [117–120]. NbSe_2 shows an IC-CDW transition at 33 K and superconductivity at 7.2 K [121]. TaSe_2 shows an IC-CDW transition at 600 K and a C-CDW transition at 473 K in the 1T phase [122], while an IC-CDW transition at 122 K and a C-CDW transition at 90 K found in the 2H phase [123, 124]. Theoretical calculations suggested the presence of superconductivity at the extremely low temperature of 0.1 K in 2H- TaSe_2 [125]. VS_2 is reported to show a CDW transition below 304 K in 1T phase [126–128]. Though NbS_2 is not found to show clear CDW order, a report strongly suggested it to be at the verge of CDW order following the diverse electronic properties in NbS_2 [129, 130]. However, TaS_2 shows an IC-CDW phase below 550 K and a nearly commensurate CDW (NC-CDW) phase below 350 K and a C-CDW phase below 180 K was found in the 1T phase [131], while a short range CDW transition is found below 75 K in the 2H phase [132]. Hence, to understand the CDW phenomena or to understand the correlation between superconductivity and a CDW, this group V TMDCs are ideal to study.

Apart from the group V TMDCs, TiSe_2 from the group IV TMDCs undergoes a C-CDW transition at 200 K [133, 134]. A CDW can be induced in TMDCs by applying pressure or by doping or intercalation as observed in the Ni-doped ZrTe_2 compound [32]. Besides, it has a layer dependency [135, 136].

Superconductivity

The sudden drop of d.c. electrical resistivity to zero when the sample is cooled to a sufficiently low temperature is observed in many metals and alloys in addition to the Meissner effect. This phenomenon is known as superconductivity. It was first

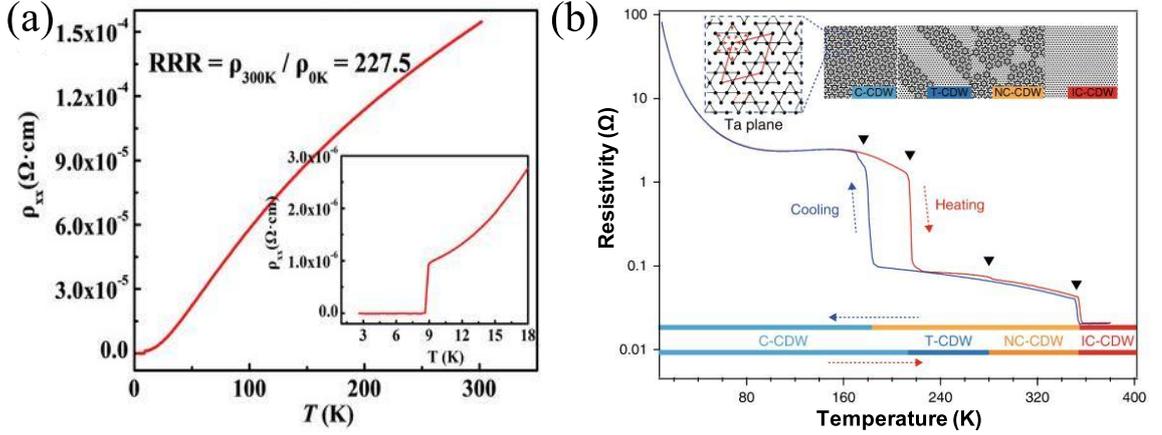


Figure 1.5: Resistivity measurements on different TMDCs. (a) Superconductivity of $2M\text{-WS}_2$ [30]. (b) Different types of CDW transitions and Mott Insulator transition in $1T\text{-TaS}_2$ [131].

observed by Kamerlingh Onnes in 1911 in mercury [137]. He found that at 4.2 K the resistance in a solid mercury wire immersed in liquid helium suddenly vanished. Superconductivity is an order state of metal below a certain temperature (T_C). The origin of superconductivity was explained by Bardeen, Cooper, and Schrieffer in terms of the Cooper pair [138]. Also, the theory by Ginzburg and Landau is used to describe superconductivity [139]. The concept of superconductivity has a wide range of technical applications. A superconducting transition is observed in some layered TMDCs at low temperatures. Group V TMDCs, NbTe_2 and NbSe_2 show superconducting transition at ≈ 0.5 K [112, 113] and 7.2 K [121], respectively. High quality single crystals of $2H\text{-NbS}_2$ show a superconducting transition at around 6 K [140]. Theoretical calculations predicted superconductivity at extremely low temperature of 0.1 K in $2H\text{-TaSe}_2$ [125]. Apart from the group V TMDCs, WS_2 in $2M$ phase from group VI, IrTe_2 from group IX and PdTe_2 from group X are widely discussed as topological superconductors, having a transition at 8.8 K (highest among the TMDCs) [30, 92, 93], 2.5 K [15] and 1.7 K [76–78, 141] respectively. Superconductivity is induced or the transition temperature increased in many layered TMDCs under the external pressure [142–144], by doping or intercalation of Ni, Ti, Re, Pd, Pt, Cu, Fe, Co, Mn, Sn, Cr [32, 144–153]. Also, by changing the layer thickness the superconductivity can be introduced or T_C can be enhanced [154].

Magnetism

Magnetism [155, 156] in solid arises due to spin and orbital motions of electrons and spins of nucleus. The motion of charged particles is equivalent to electric current which produces magnetic field. Magnetism is classified in five categories depending on the

number of unpaired valence electrons present in the atoms of the solid and orientations of the neighbouring magnetic moments.

1. Diamagnetism: In diamagnetic materials all the electrons within atoms are paired up, resulting in a zero net magnetic moment. In presence of external magnetic field a small current is induced in the opposite direction. This small current generates a magnetic field which opposes the applied magnetic field. Magnetic susceptibility of these materials is very small and negative. Most of the organic compounds, water, silver, gold are few examples of diamagnetic materials.
2. Paramagnetism: In paramagnetic materials, atoms, ions or molecules have unpaired electrons which produces permanent magnetic moments. These moments are randomly oriented in absence of external magnetic field and the net magnetization becomes zero. In presence of external magnetic field, the magnetic moments aligned in the direction of applied magnetic field. Magnetic susceptibility of these materials is very small and positive. Oxygen, Aluminium, Platinum are few examples of paramagnetic materials.
3. Ferromagnetism: Similar to paramagnetic materials, ferromagnetic materials also have permanent magnetic moments. Ferromagnetic materials have tiny domains. In each domain, the magnetic moments are aligned in particular direction even in the absence of magnetic field. The orientation of domains are different from each other within a ferromagnetic material. The net magnetization of a ferromagnetic material is non zero even in absence of magnetic field below a certain critical temperature. This is called spontaneous magnetization and the critical temperature is called the Curie temperature (T_C). This type of alignment occurs due to exchange interaction between magnetic ions. In presence of magnetic field, all the magnetic moments aligned along the direction of magnetic field. Magnetic susceptibility of these materials is large and positive. Magnetic susceptibility becomes infinite at T_C . Fe, Ni, Co are most common examples of ferromagnetic materials.
4. Antiferromagnetism: In antiferromagnetic materials magnetic moments of the neighbouring moments are aligned in an antiparallel arrangement due to negative exchange integral unlike to ferromagnetic materials. In absence of magnetic field, net magnetization is zero as the neighbourings moments cancel each other. In presence of magnetic field, a small magnetization occurs and increases with temperature. This magnetization becomes maximum at a critical temperature which is called Néel temperature (T_N). Above this temperature magnetization decreases which is an indication of paramagnetic state. Magnetic susceptibility

of these materials is high and positive. Magnetic susceptibility becomes maximum at T_N with a finite value. Cr is one common example of antiferromagnetic material [157].

5. Ferrimagnetism: Ferrimagnetism is similar to antiferromagnetism except that the magnitude of magnetic moments of two neighbouring atoms are not equal. As a result the net magnetization becomes nonzero in absence of magnetic field. Fe_3O_4 is one common example of ferrimagnetic material [158].

The discovery of 2D magnetic systems are of great research interests for the last few years because of their versatile technological applications. By stacking layers of different magnetic materials or by twisting the layers or by making heterostructures of magnetic materials, magnetic properties or magnetic ordering can be tuned. Among layered TMDCs, magnetism is rare in bulk, whereas many TMDCs possess magnetism in lower dimensions such as monolayer VSe_2 [37], VTe_2 thin film [38], nanomaterial $2H\text{-NbSe}_2$ [159], atomically thin $1T\text{-NbS}_2$ [160], and so on. Theoretical and experimental studies predicted that bulk $2H\text{-NbSe}_2$ is close to the ferromagnetic instability [161, 162]. Metastable $1T\text{-CrTe}_2$ has ferromagnetic transition at 310 K [163]. Theoretical studies predicted that metastable $1T\text{-CrSe}_2$ is antiferromagnetic [164] and metastable $1T'\text{-CrS}_2$ is a ferromagnetic semiconductor [165]. Some self-intercalated TMDCs show magnetic ordering. For instance, monoclinic Cr_5Te_8 shows a paramagnetic to ferromagnetic transition at $\approx 220\text{K}$ [166–168]. Trigonal Cr_5Te_8 shows a competing nature between ferromagnetism and antiferromagnetism [169–171]. Monoclinic V_5S_8 is an antiferromagnetic, metallic compound [172–176]. V_5S_8 and Cr_5Te_8 are layered TMDC compounds where one transition metal is intercalated between four VS_2 and CrTe_2 layers respectively. Apart from these, TMDCs show magnetic ordering induced by doping or intercalation of Sc, Ti, V, Cr, Mn, Fe, Co, Ni [33–36]. Also, the magnetic properties differ between bulk and monolayer in TMDCs [37–40].

1.2.2 Semiconductor

When the valance band maxima (VBM) and conduction band minima are separated by a small energy gap of 0.1 to 2 eV and the value of electrical resistivity varies in the range of 10^{-2} to $10^9 \Omega\text{-cm}$ at room temperature it is called semiconductor. The electrical resistivity of semiconductor is strongly temperature dependent and perfect semiconductors become insulator at absolute zero temperature. The discovery of semiconductors was sparked by applications in transistors, light emitting diodes (LED), photovoltaic cells, detectors, thermistors, switches, etc.

For more than five decades Silicon is one of the widely used semiconductors in the field of electronics due to its abundance and moderate bandgap. Recently 2D-

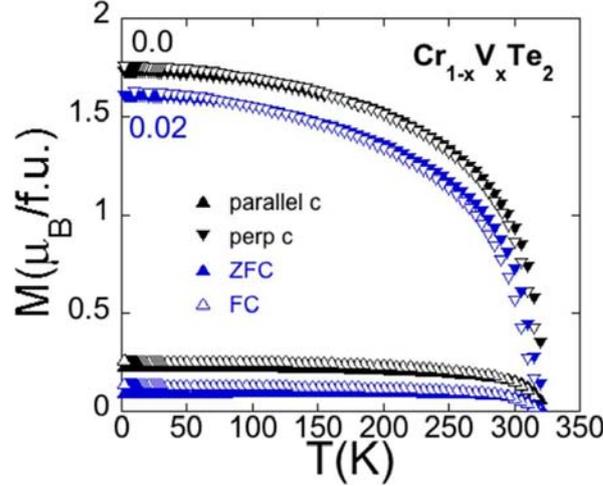


Figure 1.6: Magnetization measurements on ferromagnetic $1T$ - $\text{Cr}_{1-x}\text{V}_x\text{Te}_2$ samples with an applied magnetic field of 1 kOe [163].

semiconductors have received much attention from the application point of view in nanoscale devices. HfSe_2 , HfS_2 , ZrSe_2 , ZrS_2 , TiS_2 are some of the indirect bandgap semiconductors from the group IV TMDCs [70, 177–180]. TMDCs from the group VI; MoX_2 , WS_2 , WSe_2 are indirect bandgap semiconductor in the $2H$ phase [181, 182]. PtS_2 from group X TMDCs is an indirect bandgap semiconductor with bandgap of 0.25 eV [183]. PdS_2 from the same group is predicted to be an indirect bandgap semiconductor [184, 185]. From the group VII TMDCs, bulk ReS_2 is a direct bandgap semiconductor whereas the electrical properties of bulk ReSe_2 is still under debate [186, 187]. From the same group, ReTe_2 is theoretically predicted to be an indirect bandgap semiconductor in the monolayer limit and there is no report available in bulk state [188]. The radioactive layered compounds TcTe_2 , TcSe_2 , and TcS_2 are less studied. Theoretically these are predicted as semiconductor in bulk state [189]. The bandgap of TMDCs are strongly layer dependent and sensitive to external pressure, doping, and intercalation [41–43].

1.2.3 Metal-Insulator Crossover

At room temperature none of the TMDCs are insulators. However, some of the TMDCs show metal-insulator transition at very low temperatures. For example, the ground state of bulk $1T$ - TaS_2 is a band insulator though upon heating it enters into Mott insulator state within a narrow temperature range of 217–233 K [131]. Also, some TMDCs at very low temperature show a resistivity upturn due to (i) the Kondo effect [190], (ii) weak localization (WL) [191], or (iii) electron-electron interaction (EEI) [192]. So far, VTe_2 [193], VSe_2 [120, 194], and ZrTe_2 [195] are reported to show Kondo effect induced by self intercalation of transition metal elements between the layers. Also,

defect induced WL is observed in VSe_2 [196].

Kondo effect: The presence of magnetic impurities in some nonmagnetic metals or alloys gives rise to low temperature resistivity upturn which is known as Kondo effect [155, 156]. In normal metal and alloys, the resistivity decreases with the decreasing temperature as the inelastic scattering of electrons decreases with decreasing temperature. However, in some metals and alloys resistivity suddenly starts to increase logarithmically with decreasing temperature at low temperature region. Jun Kondo first explained that the Kondo effect arises due to the exchange coupling between the magnetic ions and the conduction electrons. The magnetic ions induce magnetizations in the conduction electrons and this magnetization causes exchange interaction between the magnetic ions and induced magnetized conduction electrons.

Mott insulator: In normal metals resistance decreases with decreasing temperature but in some metals resistance starts to increase abruptly at low temperature and becomes insulator due to strong electron-electron interaction. This state is known as Mott insulator [156, 197]. Since conventional band theory does not consider strong electron-electron interaction, it can not predict these insulators theoretically [198]. In this state, the valence electrons are strongly localized and unable to move freely due to repulsive Coulombic interaction between electrons. As a result, the material behaves as insulator, even though it may have a partially filled valence band. This type of metal-insulator transition was first explained by Sir Nevill Francis Mott. This transition is observed in transition metal based materials which have partially filled d or f electron orbitals, such as VO_2 [199], NiO [200].

1.3 Overview of the Thesis

The exotic physics of TMDC materials stems mainly from the interplay among various quantum mechanical effects, charge and spin excitations, orbital fluctuations, topological properties of the electronic band structure, etc. The primary aim of the thesis is to grow high quality single crystals and study their physical and electronic properties in order to understand the physics of these materials.

In this PhD thesis, we worked on the TMDC systems such as $ZrSe_2$, $ZrTe_2$, $TaTe_2$, $NiTe_2$, VTe_2 , VSe_2 , and VS_2 due to their topological properties. Our studies suggest that $ZrTe_2$ is a topological semimetal, while $ZrSe_2$ is found to be a semiconductor with an indirect band gap of 0.9 eV between the $\Gamma(A)$ and $M(L)$ high-symmetry points. $TaTe_2$ is a CDW system, showing completely different electronic band structure from its isostructural compound $NbTe_2$ and isovalent compounds $TaSe_2$ and TaS_2 . Surface states of $TaTe_2$ resemble the $2H$ phase electronic structure, while the bulk states replicate the $1T$ phase electronic structure of $TaTe_2$. Further, we realize that the band

structure of TaTe₂ is temperature independent above and below the CDW transition temperature. Next, NiTe₂ is a topological type-II Dirac semimetal showing the presence of a Dirac point at a binding energy of 1.45 eV as measured from the angle resolved photoemission spectroscopy (ARPES). From the magnetotransport studies of NiTe₂, we observe a crossover from a quadratic to a linear dependence on the applied magnetic field as we increase the field. Also, we estimated a Debye temperature of 230 K from resistivity data using BG fitting from NiTe₂. A comparative study has been performed on the CDW compounds VSe₂ and VTe₂ systems which show a Kondo effect at low temperatures. Magneto-transport and magnetic properties studies on antiferromagnetic 1T'-VS₂ (V₅S₈) have been performed. Single crystals have been grown using the chemical vapor transport (CVT) method. V₅S₈ shows a low temperature upturn as observed in its isovalent compounds VTe₂ and VSe₂, as well an antiferromagnetic transition is observed at around 28 K with a spin-flop (SF) transition at ≈ 3.5 T when the field is perpendicular to the *ab*-plane.

In the Chapter 2, we give a brief idea of single crystal growth techniques. Also we present the experimental details of energy dispersive X-ray analysis (EDX), X-ray diffraction analysis (XRD), electrical transport, thermal transport, dc-magnetic measurements, and electronic structure studies using X-ray photoemission spectroscopy (XPS) and angle-resolved photoemission spectroscopy (ARPES).

Chapter 3 presents the low-energy electronic structure of bulk ZrTe₂ and ZrSe₂ using high-resolution ARPES. To understand electronic contribution and for comparison with our results, we took help through collaboration for band structure calculations using DFT. From the Fermi surface topology of ZrSe₂, we observe only electron pockets located at the M(L) point, while the hole pockets are noticed well below the Fermi level at a binding energy of ≈ 1 eV. ZrSe₂ is a semiconductor with an indirect band gap of 0.9 eV between the Γ (A) and M(L) high-symmetry points. In contrast to ZrSe₂, on the Fermi surface map of ZrTe₂, we observe several well disconnected hole and electron pockets at the Γ (A) and M(L) points, respectively. Further, in ZrTe₂, we realize three holelike nondegenerate band dispersions near the Γ (A) point and an electronlike band dispersion at the M(L) point. An equal number of hole and electron carrier density estimated from our ARPES data using Luttinger's theorem suggests ZrTe₂ to be a semimetal. In addition, DFT calculations on ZrTe₂ in presence of spin-orbit coupling (SOC) predict band inversion between the Te *p* and Zr *d* bands near the Γ point, pointing ZrTe₂ to be a topological semimetal. In order to understand the origin of semimetallic state in ZrTe₂ and semiconducting state in ZrSe₂, our collaborators quantified the electronic structure by mapping it onto a tight-binding model. This allows us to relate the electronic structure changes in going from ZrSe₂ to ZrTe₂ to the change in the metal-chalcogen bond lengths.

Chapter 4 presents magneto-transport and ARPES studies on type-II Dirac semimetal

NiTe₂, to understand the electronic structure and transport behaviour. We have grown single crystals of NiTe₂ using the flux-growth method with Te as a flux. The electronic structure is similar in its sister compounds, PdTe₂, PtTe₂ and PtSe₂. All of them show a pair of Dirac points. ARPES reveals that it is metallic as the bands are crossing the Fermi level. An interesting feature indicating conical band dispersion is observed at a binding energy near about 1.45 eV. Another band crossing is not observed from ARPES as it is located 0.08 eV above the Fermi level. The electrical transport behaviour shows a metallic nature in agreement with ARPES results. The BG fitting to the resistivity data suggests a dominant s-d electron-phonon scattering in these systems. Further, we estimated a Debye temperature of 230 K. Also, from the magnetotransport studies, we observe a crossover from quadratic to linear dependence of the MR on the applied magnetic field as we increase the field, could mainly be due to the linear band dispersions near the Fermi level.

Chapter 5 presents ARPES studies on CDW candidate TaTe₂ to understand the change of electronic structure at the CDW transition and to examine whether it is a Dirac semimetal as speculated by quantum oscillations. The Fermi surface of 1*T'*-TaTe₂ has a hexagonal symmetry, which is in contradiction to its monoclinic crystal structure. To fully understand the experimentally obtained electronic band structure of TaTe₂, we have disentangled the surface states from the bulk with the help of slab calculations done by our collaborator. We realized that the surface states resemble the 2*H* phase electronic structure, while the bulk states replicate the 1*T* phase electronic structure of TaTe₂. This is an interesting discovery as TaTe₂ does exist neither in the 1*T* phase nor in the 2*H* phase as per the observation of the crystal structure. The Fermi surface topology hardly changes across the CDW transition temperature, except that over a full temperature cycle, the spectral intensity was reduced for the surface states due to sample aging. Thus, we realize that the band structure of TaTe₂ is temperature independent above and below the CDW transition temperature.

In the Chapter 6, we present the electrical transport, magnetotransport, and magnetic properties studies on the transition metal dichalcogenides VSe₂ and VTe₂ and draw a comprehensive comparison between them. We observe the Kondo effect in both systems due to exchange interaction between localized moments and conduction electrons at low temperature, resulting into a resistance upturn at 6 K for VSe₂ and 12 K for VTe₂. From the field dependent resistance measurements we find that the data is fitted best with the modified Hamann equation corrected by the quantum Brillouin function for VSe₂, while the data is fitted best with a modified Hamann equation corrected by the classical Langevin function for VTe₂. We attribute the differing formalisms for the same Kondo effect in VSe₂ and VTe₂ to their extrinsic sample properties rather than the intrinsic nature of the material. Interestingly, we observe a contrasting MR property between these systems across the Kondo temperature. That means, negative

MR is found in both systems in the Kondo state. In the normal state the MR is positive for VSe_2 , while it is negligible for VTe_2 . In addition, both systems show weak ferromagnetism at low temperature due to intercalated V atoms.

The compound V_5S_8 can also be represented as $V_{1.25}S_2$, a transition metal dichalcogenide (TMDC) with excess V. Very few TMDCs show magnetism and/or Kondo effect. Among them, the sister compounds of V_5S_8 , VSe_2 and VTe_2 , are recently proved to show ferromagnetism in addition to the low temperature resistivity upturn due to the Kondo effect. In this study, we show the Kondo effect in V_5S_8 due to exchange interaction between localized moments and conduction electrons. We find isotropic magnetic properties above T_N , while a strong magnetic anisotropy is noticed below T_N . In addition, below T_N we find an out-of-plane ($H \parallel c$) SF transition triggered at a critical field of 3.5T that is absent for $H \perp c$. A negative MR is noticed in the antiferromagnetic state, while it is negligible in the paramagnetic state. An angle-dependent magnetoresistance is found to be highly anisotropic in the antiferromagnetic state.

In Chapter 8, we summarize our experimental observations.

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Chapter 2

Experimental Details

2.1 Single Crystal Growth



Figure 2.1: (a) Diffusion pump used for vacuum sealing. (b) Sealing of a quartz tube under vacuum using Oxygen and liquefied petroleum gas (LPG) together. (c) Muffle furnace with a maximum allowable temperature of 1250°C. (d) 3-zone gradient tube furnace with a maximum allowable temperature of 1100°C.

2.1.1 Chemical Vapor Transport

Bunsen first discussed about the formation of minerals by the chemical vapor transport method (CVT) in nature without the influence of human [1, 2]. He noticed the formation of crystalline Fe_2O_3 in presence of volcanic gases containing gaseous hydrogen chloride. In laboratory, Van Arkel and de Boer first applied the CVT method for the preparation of pure Ti, Hf, Zr, and Th metal in 1925 [3]. In CVT method, stoichiometric amount of compounds are mixed and loaded in a quartz tube with a transport agent. Then, the quartz tube is sealed under vacuum and kept inside a gradient tube furnace. The precursors are kept at one side and transported from one end to another end with the help of vaporized transport agent. Mostly crystallized Iodine is used as transport agent which vaporizes above 457.5 K. Also, chloride, bromide of metals such as VCl_3 , CoCl_2 , TaCl_5 , CoBr_2 , TeCl_4 are used as transport agent which break down and form Chlorine or Bromine gases [4–6]. Depending on the nature of reaction, transport takes place such as for endothermic process (absorbs heat and cools the surrounding) transportation is from hot (T_1) to cold end (T_2) whereas for exothermic process (releases heat and heated the surrounding) it is in the reverse direction. The schematic diagram of the CVT process in a conventional two-zone furnace is shown in Fig. 2.2. Most of the TMDCs are reported to grow by the CVT method using various transport agents [4–7].

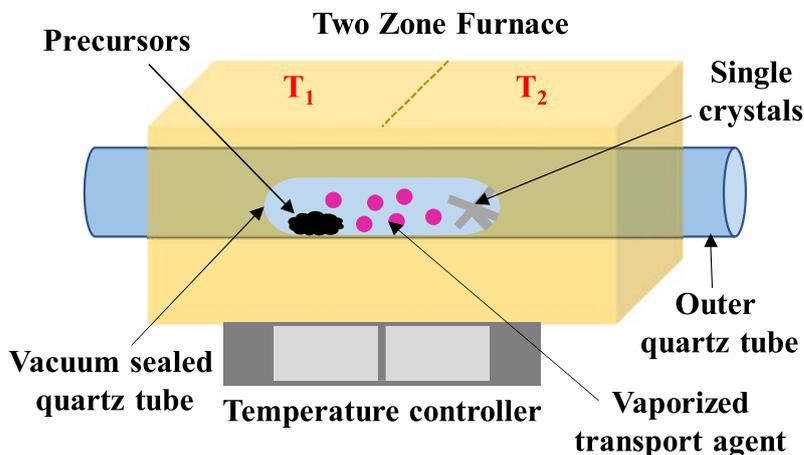


Figure 2.2: Schematic diagram of the CVT process in a conventional two-zone gradient tube furnace. This image is adapted from reference [8].

2.1.2 Flux Growth Method

The flux growth method is also known as high temperature solution growth method [9]. Using this method, the components (solute) of the desired compound are dissolved

in the flux (solvent). Generally, the flux can be various metals like Sn, Bi, Te, Pt, compounds like MgI_2 , or salts like NaCl, KCl, CsCl, AuCl, ZnCl_2 [10, 11]. The flux is selected based on solubility, inertness, melting temperature, toxicity, reaction with the container material, removableness, price, viscosity, density, and polarity. If the flux is one of the elements of the desired compound then it is called self-flux. In the self-flux process the components are not mixed in stoichiometric ratio. If the flux is a salt then the method is called salt-flux. In this method, the mixture is loaded in a crucible made of metals like Fe, Ni, Ta, W, Mo, Pt or oxides (Al_2O_3 , MgO, ZrO_2), quartz, graphite, nitrides (BN) [12] and heated to very high temperature to melt the flux to dissolve the components within the flux. The whole process is done under vacuum. Finally, the mixture is cooled down slowly for the growth of single crystals and then the crystals are separated from the flux after centrifuging the tube by flipping the tube upside down. The schematic diagram of flux-growth method is shown in Fig. 2.3. The flux growth method is applied to grow TMDCs like VTe_2 , NiTe_2 , WTe_2 [13–15].

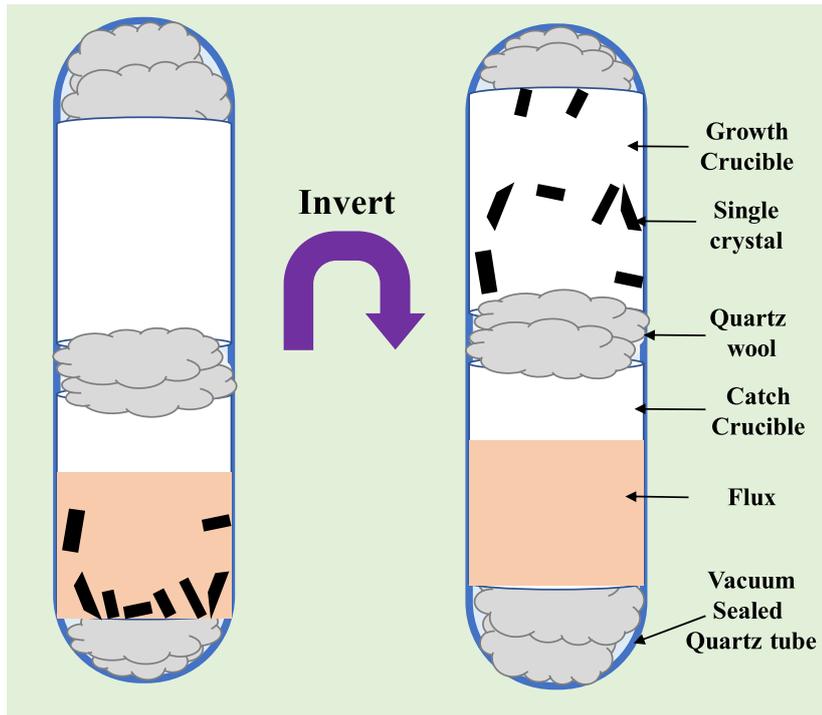


Figure 2.3: Schematic diagram of the flux-growth method. The position of the quartz tube during heat treatment is shown in the leftside image and the rightside image shows the position of quartz tube during centrifuge process. This image is adapted from reference [16].

2.2 Compositional Characterization

2.2.1 EDX and FESEM Measurements

Energy dispersive X-ray analysis (EDX) is a technique used to determine the chemical composition of a sample. In this technique, at first, the sample is bombarded by electrons with high kinetic energy of 20-25 KeV. Thus, the electrons inside the sample get excited from lower energy levels to higher energy level or ejected from the inner shell completely. As a result, electron deficiencies are created in those inner shells. To compensate these void spaces, electrons from a higher energy level jump to the holes created in the low energy levels by emitting X-ray, the energy of which is equivalent to the energy difference between the two states.

EDX is attached to a field emission scanning electron microscopes (FESEM) to check the surface of a sample. The EDX or FESEM measurements are mostly done under vacuum of 10^{-6} mbar at room temperature using X-ray with a photon energy 20-25 KV. Generally, Silicon Lithium (Si(Li)) X-Ray Detectors are used to count the number of emitted X-rays. A schematic presentation of FESEM and EDX is shown in Fig. 2.4. Atomic spectra of each atom in the periodic table is unique from one another. Hence, by evaluating the energies of emitted X-rays, the elements can be identified. The EDX spectra usually shows peaks corresponding to the energy levels of higher X-ray counts, where each peak indicates different energy level of elements present within the sample. By evaluating relative intensities (counts) of these peaks, the atomic percentage of the sample is calculated.

We used the FEI QuantaTM FEG 250 instrument for EDX analysis as shown in Fig. 2.5. The analysis is performed at several positions of sample surface to check the compositional homogeneity.

2.3 Structural Characterization

2.3.1 XRD Measurements

X-ray diffraction analysis (XRD) is a technique used to determine the crystal structure, phase, and crystallographic plane of single crystals. Crystals are regular arrays of atoms. When X-rays of wavelength λ incident on a plane of crystal at a certain angle θ to the plane, atoms scatter incident X-rays, primarily through interaction with the atom's electrons. This phenomenon is known as elastic scattering. Each electrons of that plane produce spherical waves. These waves produce constructive and destructive interference as determined by the Bragg's law [18] in Eq. 2.1

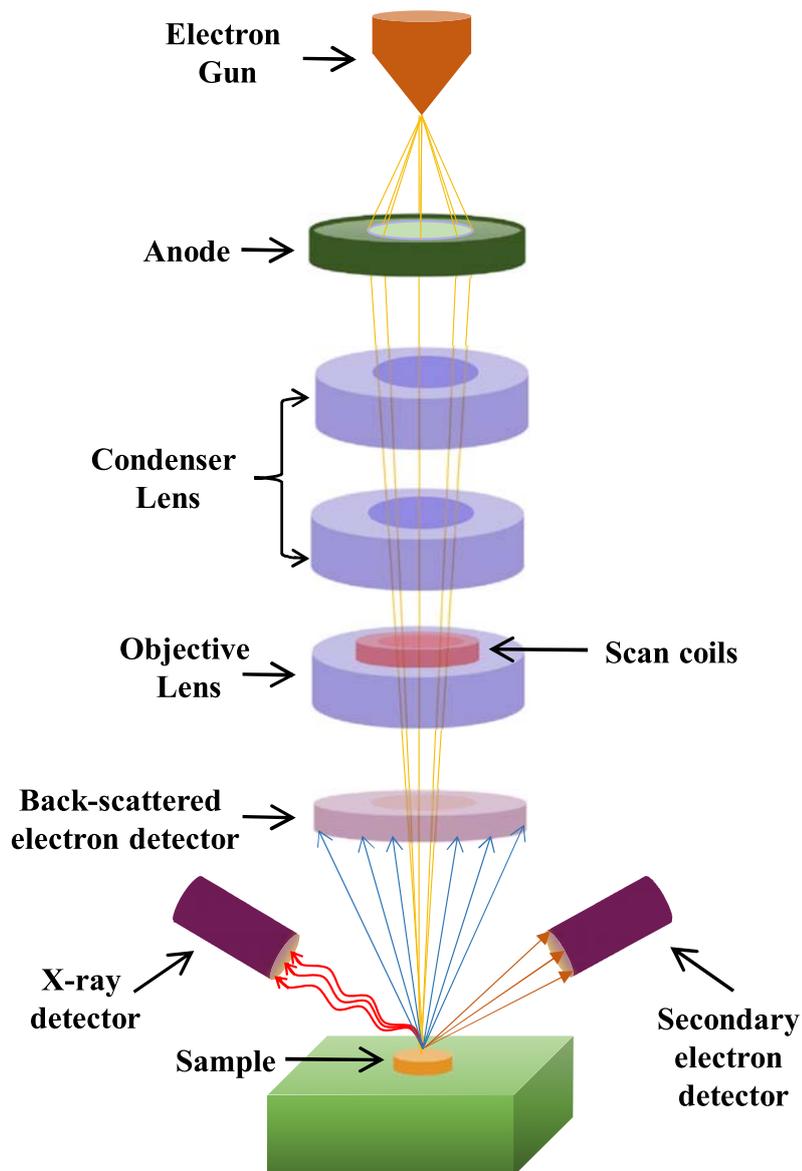


Figure 2.4: The schematic diagram of Energy dispersive X-ray analysis (EDX). This image is adapted from reference [17].

$$2d\sin\theta = n\lambda \quad (2.1)$$

Here, d is the inter-planar spacing of the crystal, θ is the angle of diffraction, n denotes the order of diffraction, and λ is wavelength of the incident X-ray beam. Using this technique, monochromatic X-rays hit the sample and the incident X-rays make an XRD pattern according to Bragg's law. In case of polycrystal or powder sample,

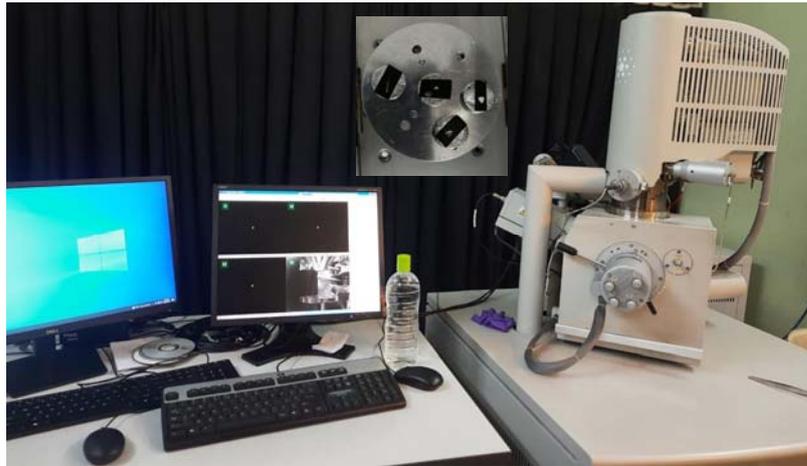


Figure 2.5: The image of FEI Quanta™ FEG 250 instrument, available under the central equipment facilities of SNBNCBS. Inset shows samples are attached to the sample holder using conducting carbon tape.

the planes are randomly oriented. Hence, XRD pattern shows several peaks of several orientations. In case of a single crystal, planes are arranged parallel to each other and XRD pattern shows peaks in a single orientation only. The schematic diagram of X-ray diffraction measurements is shown in Fig. 2.6 (a). In general, a monochromatic beam of X-rays, Cu-K $_{\alpha}$ of wavelength $\lambda \approx 1.54\text{\AA}$ is used.

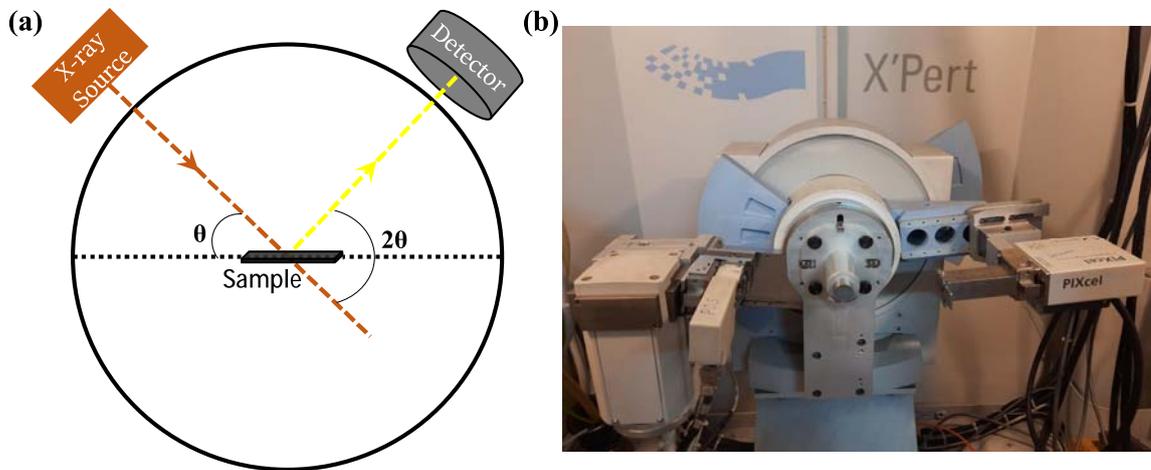


Figure 2.6: (a) The schematic diagram of X-ray diffraction measurements (XRD). This image is adapted from xrd.co. (b) The image of PANalytical X'Pert Pro instrument, available under the central equipment facilities of SNBNCBS.

We have used the Rigaku MiniFlex II (θ - 2θ system), the PANalytical X'Pert Pro (θ - θ system), and the Rigaku smartLab 9 kW (θ - θ system) X-ray diffractometer using Cu-K $_{\alpha}$ radiation ($\lambda = 1.54\text{\AA}$) to characterize the samples. The image of PANalytical X'Pert Pro instrument is shown in Fig. 2.6 (b). In θ - 2θ scan, the X-ray source is fixed while the sample rotates at $\theta^{\circ}/\text{min}$ and the detector moves at $2\theta^{\circ}/\text{min}$. In θ - θ scan,

the sample stage is fixed while both the X-ray source and the detector rotate at an angular speed of θ°/min simultaneously.

2.4 Thermal Transport Measurements

2.4.1 DSC Measurements

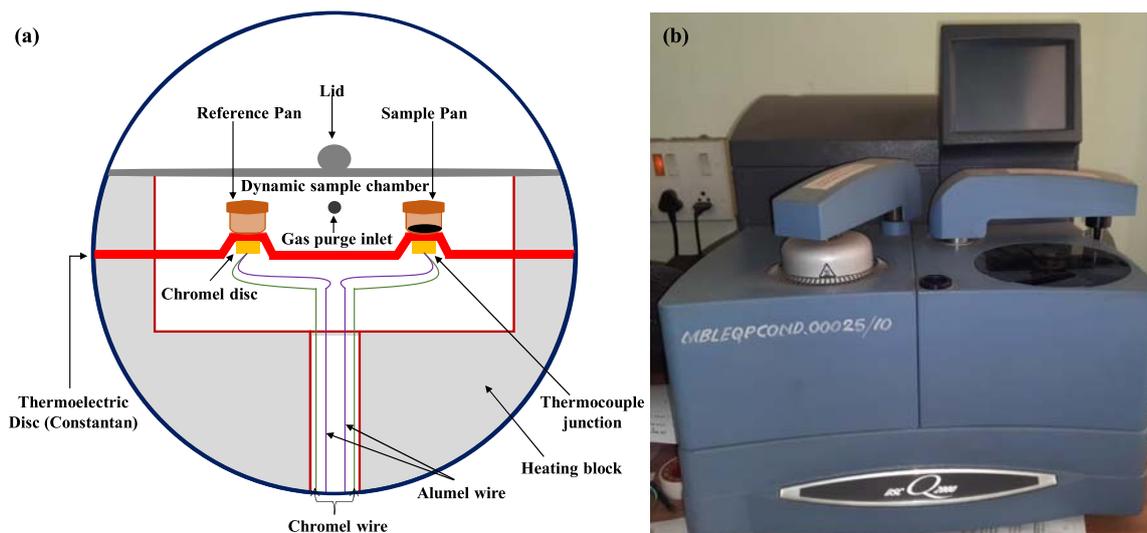


Figure 2.7: (a) The schematic diagram of a heat-flux DSC. This image is adapted from reference [19]. (b) The image of the Q2000 heat-flux DSC instrument, available under the central equipment facilities of SNBNCBS.

A Calorimeter is a tool to measure the amount of heat absorbed or produced in a reaction of chemical or electrical or mechanical. Also, it is used to measure the heat capacity of a material. The differential scanning calorimeter (DSC) is one of the most used calorimeter to study the type of phase transition of a sample such as the first order or second order transitions. The DSC operates in two methods [19], the first one is the heat-flux DSC (the schematic presentation and image of the instrument are shown in Fig.2.7) and the second one is the power compensated DSC (the schematic presentation is shown in Fig. 2.8). In the heat flux DSC, the sample pan and the reference pan are heated simultaneously in same furnace. The temperature difference is measured by a thermocouple and converted into heat flow rate via a calibration process. In the power compensated DSC, sample pan and the reference pan are heated separately in two separate furnaces in such a way that the temperature remain same in both pans. The difference in thermal power needed to keep them at the same temperature is measured and plotted as a function of temperature. From these measurements, we can get quantitative and qualitative information about the type of a phase transition, physical and chemical changes involving exothermic (heat release) or endothermic (heat

absorption) processes or changes in the heat capacity [20]. We used the Q2000 heat-flux DSC for our measurements at a temperature scan rate of 5-10 K/min within the temperature range of 113 K to 773 K as shown in Fig.2.7.

The sample is mounted on a thermoelectric disc encapsulated by a thermal conducting metal pan made of Al, Pt etc. An empty pan made of same metal as the sample pan is mounted on the thermoelectric disc as reference pan inside a furnace. The sample pan and reference pan are heated simultaneously by varying the furnace temperature. The heat transferred from the furnace to the sample pan and reference pan is measured by a thermocouple made up of chromel (an alloy made of Ni and Cr with weight ratio of approximately 9:1) and alumel (an alloy made of Ni, Al, Mn, Si with weight ratio of approximately 9.5:2:2:1) wires. The heat flow amount q is measured using Ohm's law using the relation $q = \Delta T/R$. Here, R is the thermal resistance of the thermoelectric disc and ΔT is the temperature difference between sample pan and reference pan which is proportional to the voltage difference between the thermocouple junctions. For refrigerated cooling systems (RCS), liquid nitrogen is used.

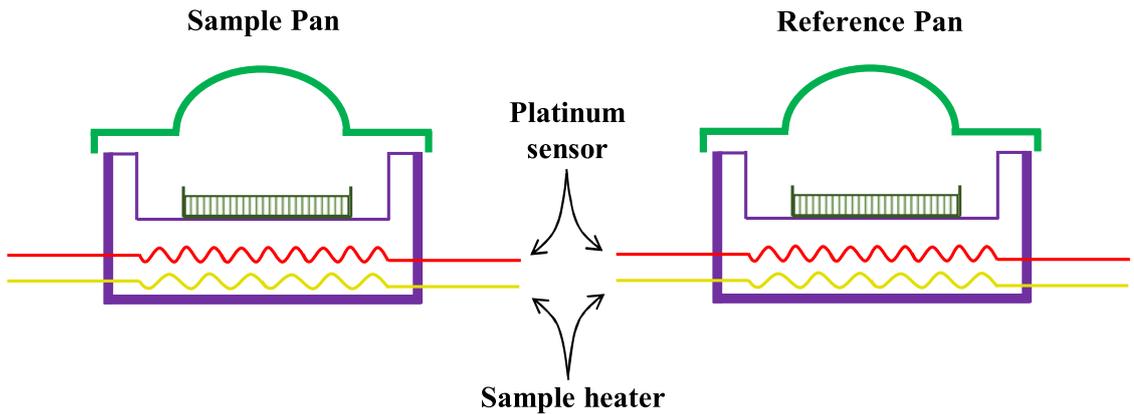


Figure 2.8: The schematic diagram of a power compensated DSC. This image is adapted from reference [19].

2.5 Electrical Transport Measurements

The layered TMDC materials explored in this thesis are metallic in nature having very low resistances ($\approx \text{m}\Omega$). To measure the resistivity of these samples we use a four probe low resistance circuit method [21] to avoid the contact resistance associated with the sample and the leads. A schematic representation of this method is shown in Fig. 2.9, where the a.c. current is passed through the outer two leads, while the voltage drop is measured across the inner two leads. Using ac excitations the change of polarity corresponding to the frequency of the ac signal and emf generated due to

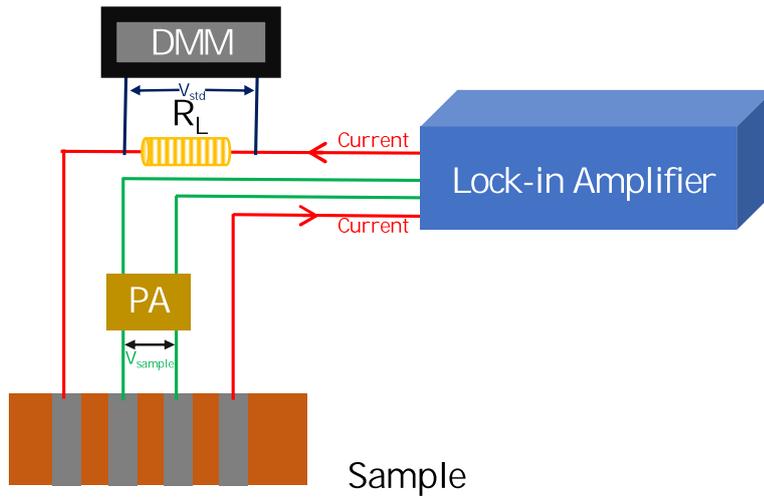


Figure 2.9: The schematic representation of four probe method used for resistivity measurement. DMM stands for digital multimeter

thermoelectric effect get canceled at the contacts. Since the same value of current is passing through the sample (R_{sample}) and the standard resistance (R_L), it can be written that $V_{sample}/R_{sample} = V_{std}/R_L$. Here, V_{sample} and V_{std} are the voltage drops across R_L and the inner two leads of the sample respectively. In an other way, it can be written as $R_{sample} = \frac{V_{sample}}{V_{std}} R_L$. Thus by measuring V_{sample} and V_{std} , we can get the value of sample resistance.

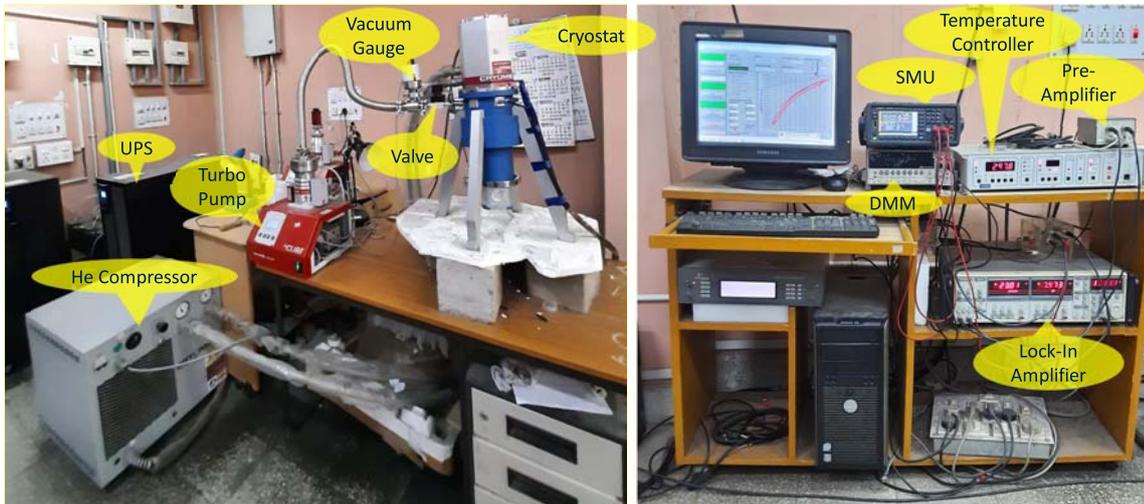


Figure 2.10: The low temperature resistivity set-up in our lab along with supporting instruments.

Also, electrical transport measurements were done in a physical property measurement system (PPMS) (Quantum DesignTM PPMS-9T) using standard puck and rotator set up as shown in Fig. 2.11 with the electrical current applied along the plane of sample (ab -plane). Magnetic field was applied at different polar angles with the axis

perpendicular to the ab -plane for magneto-transport measurements up to 9 T. Four copper (Cu) leads were connected to the sample by vacuum compatible silver epoxy (Epo-Tek H2OE). The sample temperature was varied between 2K and 330 K during the measurements. Also, we have performed a.c. resistivity measurement using a in-house resistivity set up as shown in Fig. 2.10. In this set up, a digital signal processing (DSP) lock-in amplifier [Stanford Research Systems 830 (SR830)] is used as a.c. current source through the outer two leads and to measure the voltage across inner two leads of the sample. The voltage drop across the inner two leads is transferred through a SR554 transformer preamplifier to the lock-in amplifier. The a.c. current of the order of few mA having frequency 111.11 Hz is sent through a load resistance (R_L) of the order of few k Ω . The voltage drop across the R_L is measured using Keithly 2000 digital multimeter (DMM). To reach lowest possible temperature (≈ 4 K), a closed cycle cryostat (CCR) of Oxford InstrumentTM (Optistat AC-V[®]) with pulsed tube refrigerator (PTR) is used. Also, for controlled heating an Oxford InstrumentTM ITC-503 temperature controller is used. A Rh-Fe thermometer is mounted on the sample mounting stage of the cryostat to detect the temperature of the sample environment. The whole set up is connected via General Purpose Interface Bus (GPIB) to a computer and the measurement is controlled using a program developed in LabVIEWTM. Using this set up we can measure the resistivity within the temperature range of 4-325 K with a ramp rate of 1 K/min during heating.

2.6 DC Magnetic Measurements

2.6.1 VSM Measurements

The vibrating sample magnetometer (VSM) is a tool to measure the magnetization of a sample as a function of magnetic field and temperature. This was invented in 1955 by Simon Foner at Lincoln labs MIT. The VSM works on the principle of Faraday's law of induction which states that an emf (ϵ) is induced when magnetic flux (Φ_B) across the coil is changes with time, written as $\epsilon = -\frac{d\Phi_B}{dt}$. The direction of induced emf is governed by Lenz's law. Figure 2.12 (a) shows the schematic diagram of the VSM.

The sample is mounted on a thin nonmagnetic stick made of quartz or glass and kept at the centre of the magnetic poles. This stick is connected to the transducer assembly which vibrates the sample vertically in accordance with the sinusoidal signal generated by an oscillator. Under the application of magnetic field (B), a magnetic moment (m) is induced in the sample. Due to the vibration of sample, an emf is generated according to Faraday's law of induction associated with the variation of magnetic flux density linked with the pick-up coils. The induced emf can be written as $\epsilon = -\frac{d\Phi_B}{dz} \frac{dz}{dt}$. Here, z is the sample position along the z axis with respect to the centre of the electromagnet. Under



Figure 2.11: (a) Picture of the Quantum DesignTM PPMS-9T instrument available under technical research centre at SNBNCBS. (b) The picture of the ETO puck mounted on a puck-wiring test station. Two samples are connected to the ETO puck. (c) Picture of the rotator puck.

the application of sinusoidal oscillation, $A\cos(\omega t)$ to sample stick, ϵ can be written as, $\epsilon = KmAw\sin(\omega t)$ where K is the coupling constant, m is the magnetic moment of the sample, $\omega = 2\pi\nu$ where ν is the frequency of oscillation of the sample stick and A is the amplitude of oscillation. VSM can sense very low magnetic moment down to order of 10^{-5} - 10^{-6} emu [23].

VSM measurements were performed using Quantum Design PPMS (Quantum DesignTM PPMS-9T) based VSM set up with magnetic field up to 9 Tesla by varying the temperature between 2 and 300 K during the measurements. Also, high temperature VSM measurement in the range of 300-1000 K is possible with a different set up in PPMS. We also used the Lake Shore CryotronicsTM based VSM as shown in Fig. 2.12 (b) with a magnetic field up to 1.6 Tesla within the temperature range of 80-400 K for low temperature measurements and 300-1273 K for high temperature measurements. The temperature dependence of magnetization at different magnetic fields in zero-field-cooled (ZFC) and field-cooled (FC) protocol in the warming cycle has been carried out

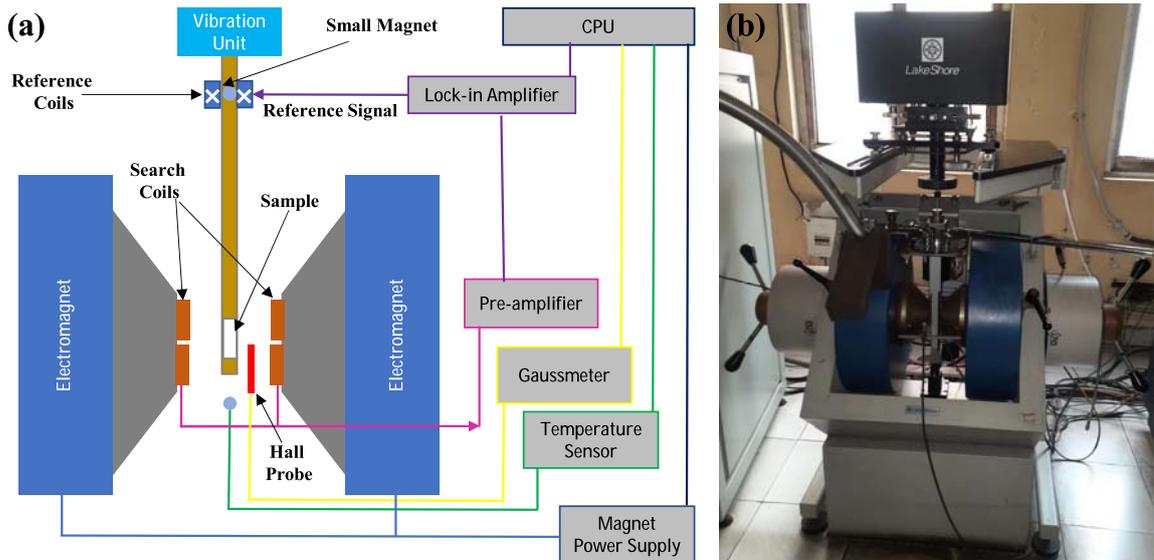


Figure 2.12: (a) Schematic diagram of vibrating sample magnetometer (VSM). This image is adapted from reference [22]. (b) Image of Lake Shore CryotronicsTM based VSM instrument, available under the central equipment facilities of SNBNCBS.

and field dependence of magnetization ($M(H)$) has also been carried out for different temperatures in VSM.

2.6.2 SQUID Measurements

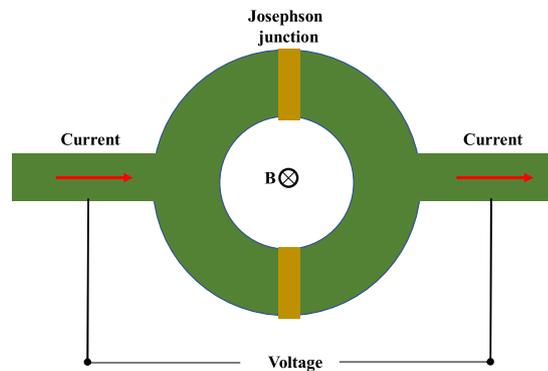


Figure 2.13: Schematic diagram of DC-SQUID loop containing two Josephson junctions. This image is adapted from reference [24].

The superconducting quantum interference device (SQUID) is a very sensitive magnetometer used to measure very low magnetic moment of sample [23]. SQUID operates on the basis of flux quantization (magnetic flux quantum, $\phi = h/2e = 2.0678 \times 10^{-15} Tm^2$ where e is the charge of electron and h is the Plank constant) and Josephson effect. SQUID is of two types, one is DC-SQUID based on DC Josephson effect and RF-SQUID based on AC Josephson effect. The Josephson effect is a

macroscopic quantum phenomena where tunneling of Cooper pairs of electron occurs through a junction between two superconductors in absence of applied voltage where the junction is made of insulating layer (S-I-S) or non-superconducting metal (S-N-S), or a physical constriction that weakens the superconductivity at the junction (S-C-S). A current flows through the Josephson junction even in the absence of applied voltage which is proportional to the sine of the Josephson phase (phase difference across the junction), it is called DC Josephson effect. When a DC voltage is applied across the Josephson junction, the current passing through the junction oscillates with a characteristic frequency proportional to the voltage applied across the junction. This phenomenon is known as AC Josephson effect. In case of DC-SQUID, a superconducting loop is cut off by two insulating layers placed parallel as shown in Fig. 2.13. As a result two Josephson junctions form within the loop. Under application of perpendicular magnetic field to the plane of the loop, the loop generates current due to quantization of flux. The generated critical current and the output voltage difference are periodic function of external applied magnetic flux. The schematic diagram of SQUID is shown in Fig. 2.14.

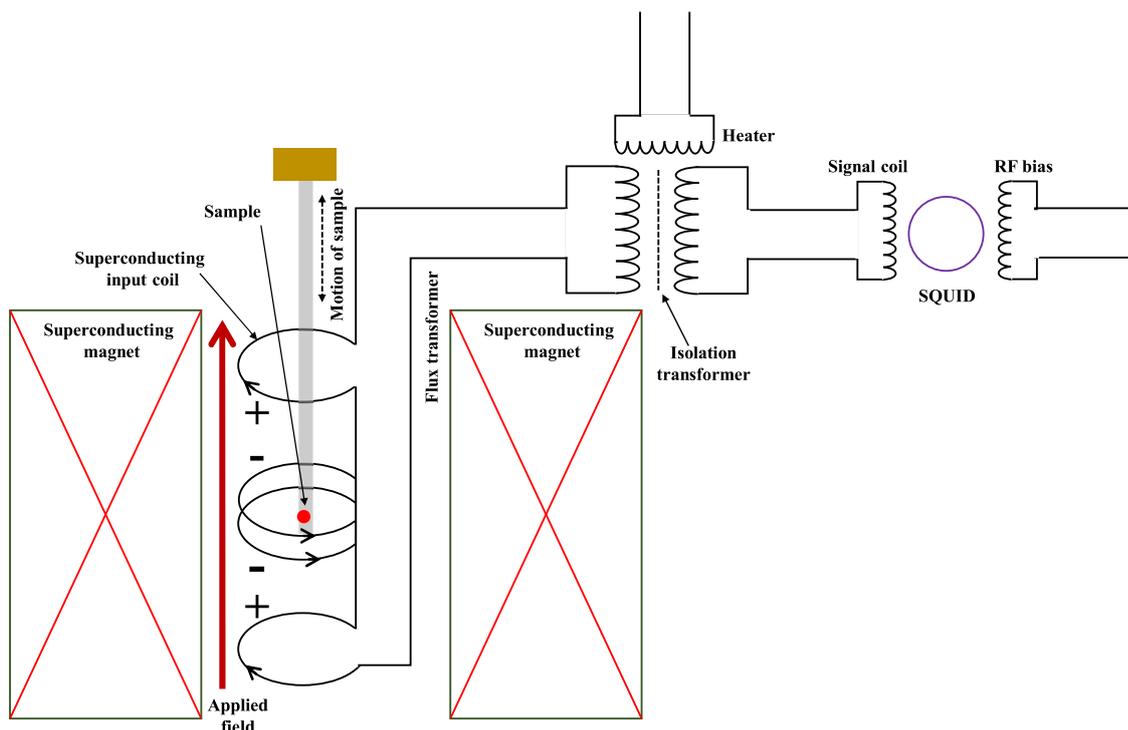


Figure 2.14: Schematic representation of superconducting quantum interference device (SQUID). This image is adapted from Quantum Design MPMS 3 user's manual.

We used the Quantum DesignTM magnetic property measurement system (MPMS) based SQUID to measure magnetization of a sample with a magnetic field up to 7 Tesla by varying the sample temperature between 2-300 K during the measurements. Here,

the magnetic field is generated by a superconducting coil. Similar to VSM, the sample is mounted on a thin nonmagnetic stick made of quartz or glass and kept at the centre of the superconducting coil. The stick is oscillated along z-axis using a vibrator. Hence, magnetic flux enclosed by the pick-up coils changes due to the magnetic moment of the vibrating sample. The SQUID sensor and superconductive pick-up coils are coupled to each other inductively. Hence the output voltage of SQUID is the reflection of the pick-up coil signal. The SQUID magnetometer can sense very low magnetic moment which are not detectable using conventional VSM. Temperature dependence of magnetization in ZFC and FC protocol in the warming cycle has been carried out under different magnetic fields and field dependence of magnetization $[M(H)]$ has also been carried out for different temperatures.

2.7 Electronic Structure Studies

2.7.1 XPS Measurements

X-ray photoemission spectroscopy follows the photoelectric effect theory which was discovered by Heinrich Rudolf Hertz in 1887 [26] and explained by Albert Einstein in 1905 [25]. The photoelectric effect theory states that when photon of kinetic energy $h\nu$ hits a metal it emits photoelectrons of kinetic energy $h\nu - \phi - E_B$ where E_B is the binding energy (BE) of the electron measured relative to the chemical potential. ϕ is the work function of the material. A schematic representation of photoemission spectroscopy is shown in Fig. 2.15. If the photon energy source is X-ray, mainly generated by Al k_α or synchrotron radiation, it is called X-ray photoemission spectroscopy (XPS). If the photon energy source is ultraviolet ray, mostly generated by Helium lamp or synchrotron radiation, it is called ultraviolet photoemission spectroscopy (UPS). The penetration depth of XPS is more than 1000 \AA . Hence, it collects electronic structure information from surface and bulk. XPS spectra of each atom in the periodic table is unique from one other. Hence, by evaluating the binding energy of the emitted electrons, the element present in the system can be identified. The XPS spectrum usually indicates different energy level of elements present within the sample. By evaluating relative intensities (counts) of these peaks, the atomic percentage of the sample can be measured as well. From XPS, we can get the information of elements present in the material, chemical composition, information on doping or intercalation etc by measuring the kinetic energy and the intensity of emitted photoelectrons.

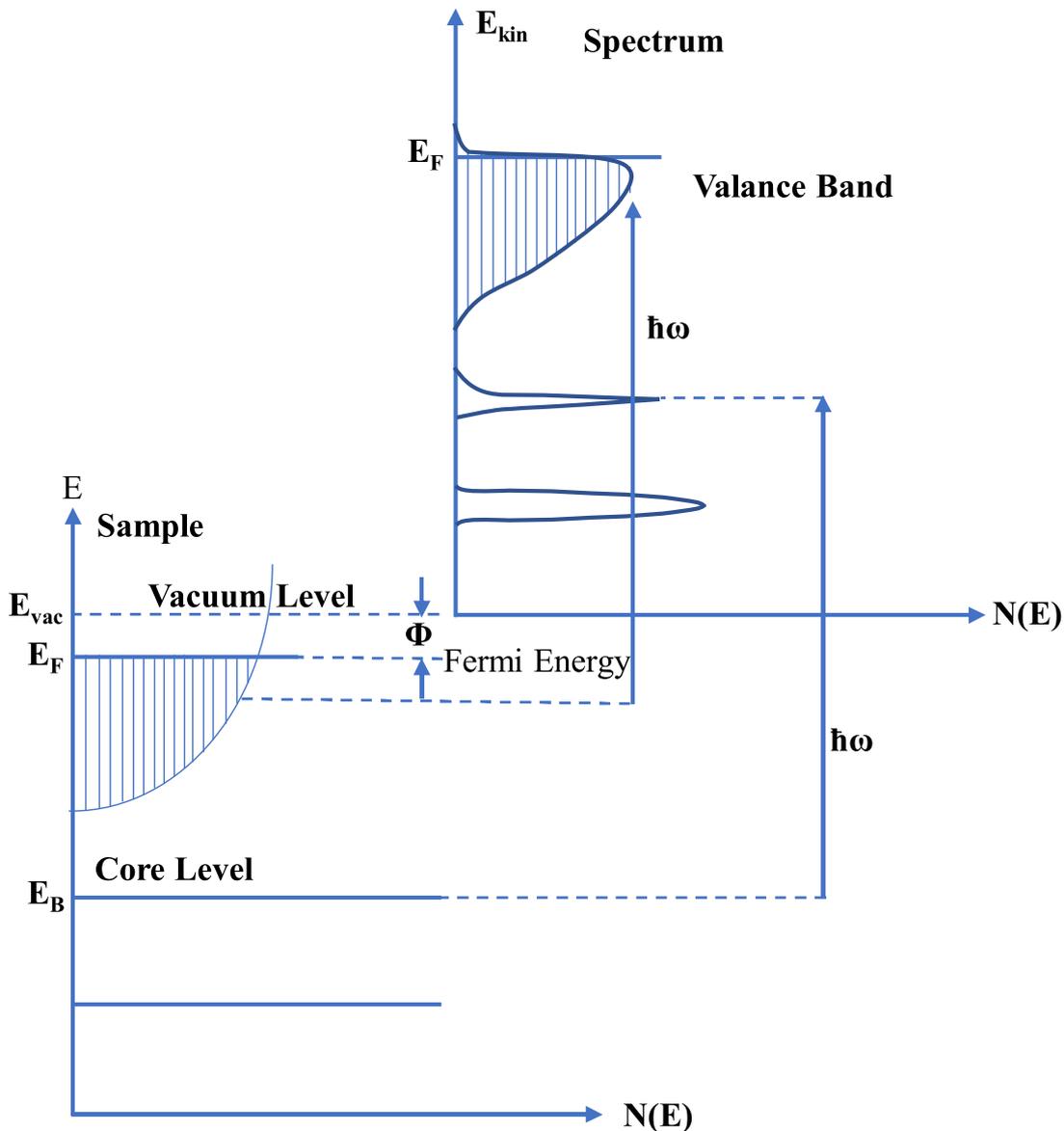


Figure 2.15: A schematic representation of the relation between energy levels in a solid and the electron distribution of photoelectrons produced by photons of energy $\hbar\omega$. This image is reproduced from reference [25].

2.7.2 ARPES Measurements

Angle resolved photoemission spectroscopy (ARPES) [25] is also based on the photoelectric effect theory with an upgradation. Here, emission angle, kinetic energy and intensity of emitted electrons are measured simultaneously. As a result, we can get the electronic band dispersions in the energy-momentum plot of a material directly. In conventional ARPES, ultraviolet ray generated by Helium lamp or synchrotron radiation is used mostly with photon energy within the range of 20-200 eV. The universal curve of the inelastic mean free path of electrons in Fig. 2.16 shows that the penetra-

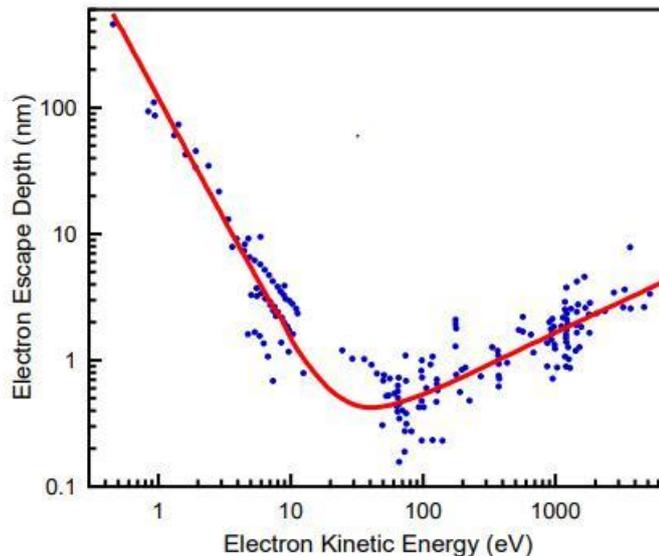


Figure 2.16: “The universal curve” of the inelastic mean-free path of electrons within a solid as a function of kinetic energy are plotted for a broad range of solids. This figure is taken from reference [27]

tion depth of electrons within the photon energy range of 20-200 eV is 5-10 Å. Hence, ARPES is a surface sensitive tool to directly probe surface states as well as the bulk band structure of materials.

In ARPES, the probability of a transition from initial to final state can be described by two models [25]: the three-step model and the one-step model. The schematic representation of these models are shown in Fig. 2.17. The three-step model is most commonly used phenomenological approach which was described by Berglund and Spicer [28, 29]. The three steps of this model are,

- 1st step: optical excitation of a photoelectron in the solid,
- 2nd step: transport of the photoelectron to the surface,
- 3rd step: escape of the photoelectron into the vacuum.

On the other hand, the one-step model is a quantum mechanical approach where an optical transition between initial and final states consists of many body wave functions and obeys necessary boundary conditions at the surface of the solid. Because of the complexity involved in the one-step model, most of the experimental results are described in terms of the three-step model.

The spherical deflection analyzer is one of the important components of ARPES set-up. It plays the crucial role to measure and analyze kinetic energy and momentum of the emitted photoelectrons from the sample. It consists of two concentric hemispheres as shown in Fig. 2.18 with a mean radius of two concentric hemispheres $R_0 = (R_1 + R_2)/2$, where R_1 and R_2 are the radii of inner and outer hemispheres respectively. Here, the photoelectrons emitted at various angles are focused by an electron lens to

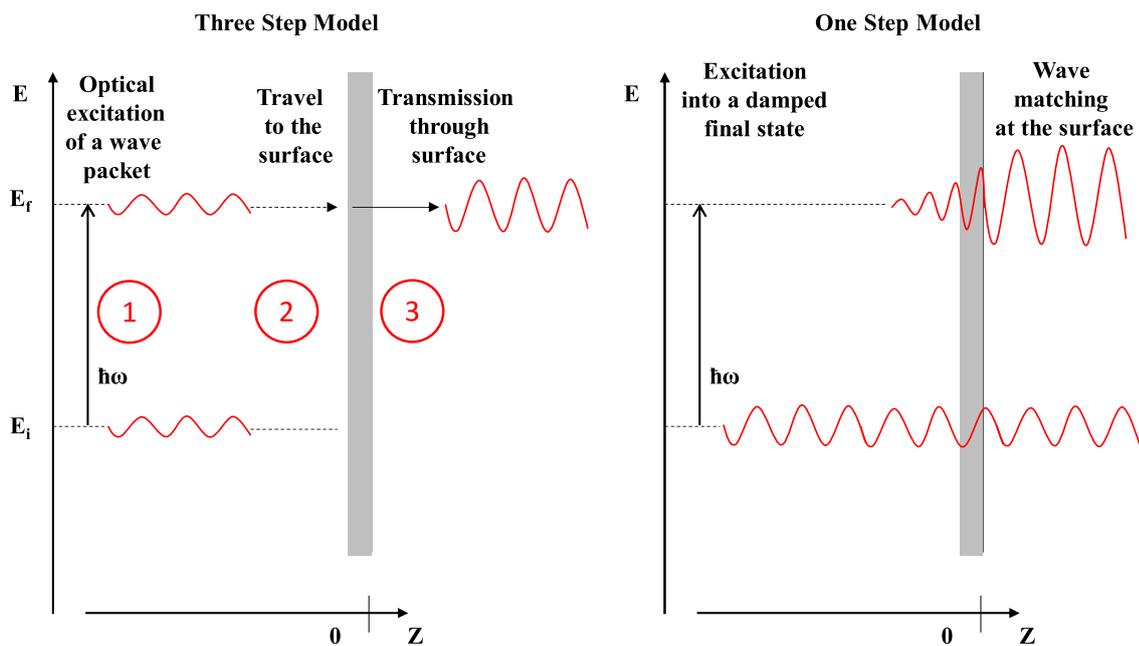


Figure 2.17: A schematic representation of three-step and two-step model in photoemission spectroscopy. This figure is reproduced from reference [25].

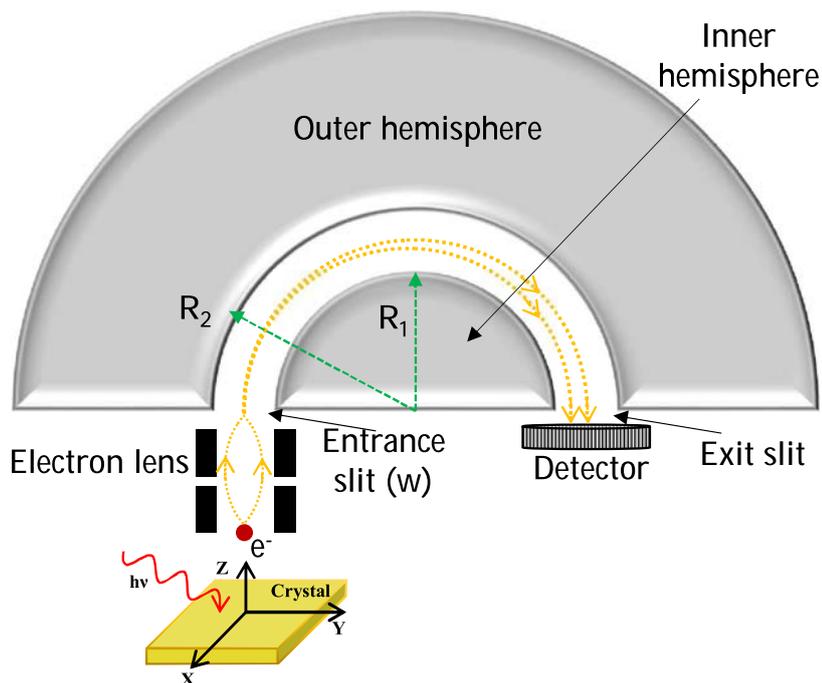


Figure 2.18: A schematic diagram of a hemispherical electron analyzer. This image is adapted from references [25, 30].

the entrance slit of the analyzer. These photoelectrons follow different trajectories inside the hemisphere and hit the two dimensional detector as a function of the kinetic energy. The detector simultaneously measures the counts (intensity) as a function of

escape angle and kinetic energy. Hence, a 3D map is generated to get band structure information in terms of energy-momentum diagram. The energy resolution of a hemispherical electron analyzer can be estimated using the formula $\Delta E = \frac{\omega E_P}{R_0}$. Here, w is the width of entrance slit and E_P is pass energy of the photoelectrons. Photoelectrons with a kinetic energy E_{kin} are accelerated or decelerated without changing the actual energy spread using preretardation stage before entrance slit. In this way the energy resolution increases by a factor E_{kin}/E_P . Also, smaller size of hemisphere can be used for energy detection.

The geometry of ARPES is shown in Fig. 2.19(a). The relation between momentum vector components (k_{\parallel} , k_{\perp}) while transmitting through the sample surface to vacuum is shown in Fig. 2.19(b). Here,

k^{in} is the momentum vector of the electron inside the crystal

$k_{\parallel}^{\text{in}}$ is the parallel component of momentum vector of the electron inside the crystal

k_{\perp}^{in} is the perpendicular component of momentum vector of the electron inside the crystal

k^{out} is the momentum vector of the electron in vacuum

$k_{\parallel}^{\text{out}}$ is the parallel component of momentum vector of the electron in vacuum

k_{\perp}^{out} is the perpendicular component of momentum vector of the electron in vacuum

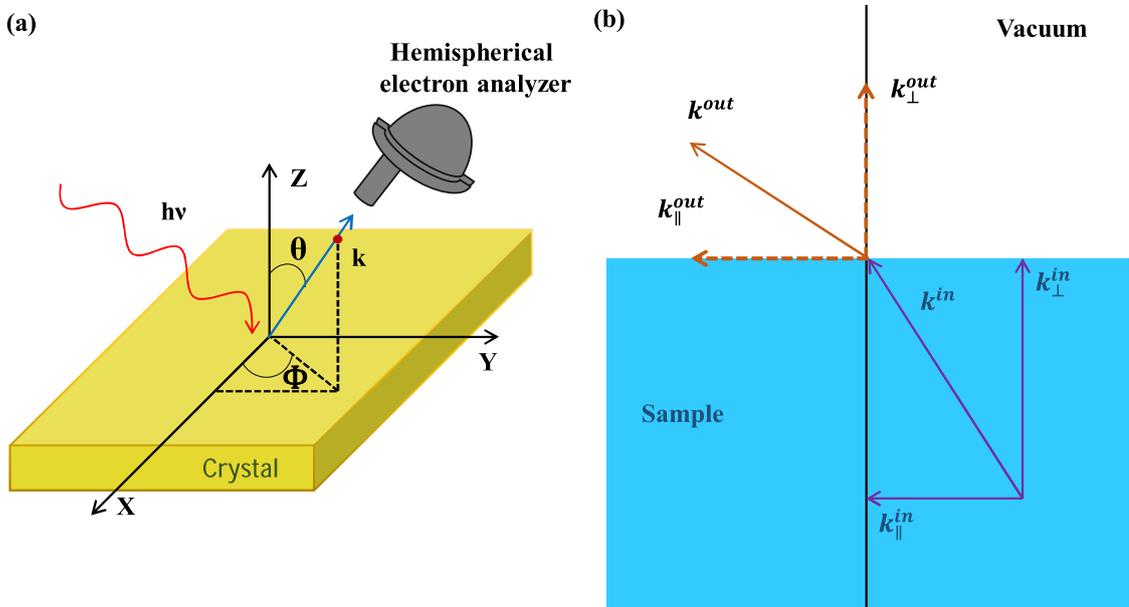


Figure 2.19: (a) A schematic representation of angle-resolved photoemission spectroscopy (ARPES) geometry. (b) The schematic diagram of momentum vector (k) components (k_{\parallel} , k_{\perp}) while transmitting through the sample surface to vacuum. This figure is reproduced from reference [31].

According to law of conservation of kinetic energy of photoelectrons can be written

as,

$$E_{kin} = \frac{\hbar^2 k^{in2}}{2m} - V_0 \quad (2.2)$$

Or,

$$E_{kin} = \frac{\hbar^2 k_{\parallel}^{in2} + k_{\perp}^{in2}}{2m} - V_0 \quad (2.3)$$

Here, V_0 is known as inner potential which can be estimated from band mapping along vertical direction or theoretical calculations. Also, kinetic energy of photoelectrons can be written as,

$$E_{kin} = \frac{\hbar^2 k_{\parallel}^{out2} + k_{\perp}^{out2}}{2m} \quad (2.4)$$

According to the three-step model of photoemission, the parallel component of momentum remain unchanged during transmission from crystal to vacuum i.e.,

$$k_{\parallel}^{out} = k_{\parallel}^{in} \quad (2.5)$$

Hence, by equating Eq. 2.3 and Eq. 2.4, we can get

$$\frac{\hbar^2 k_{\perp}^{out2}}{2m} = \frac{\hbar^2 k_{\perp}^{in2}}{2m} - V_0 \quad (2.6)$$

Hence, one can obtain the perpendicular component of internal momentum vector in terms of perpendicular component of outer momentum vector using Eq. 2.7

$$k_{\perp}^{in} = \sqrt{k_{\perp}^{out2} + \frac{2m}{\hbar^2} V_0} \quad (2.7)$$

Now, the perpendicular component of momentum vector in vacuum can be written as $k_{\perp}^{out} = \sqrt{\frac{2mE_{kin}}{\hbar^2}} \cos\theta$.

So, Eq. 2.7 can be written as,

$$k_{\perp}^{in} = \sqrt{\frac{2m}{\hbar^2} (E_{kin} \cos^2\theta + V_0)} \quad (2.8)$$

The parallel component of momentum vector in vacuum has two parts such as k_x^{out} and k_y^{out} where $k_x^{out} = \sqrt{\frac{2mE_{kin}}{\hbar^2}} \sin\theta \cos\phi$ and $k_y^{out} = \sqrt{\frac{2mE_{kin}}{\hbar^2}} \sin\theta \sin\phi$. From Fig. 2.19 (a), it can be noticed that the angle ϕ can be adjusted to very small value (≈ 0) by rotating the sample stage. Then the parallel component can be written as Eq. 2.9 using Eq. 2.5 where k_{\parallel}^{in} could be either k_x^{in} and k_y^{in} . Conventionally, it is called as k_{\parallel}^{in} .

$$k_{\parallel}^{in} = \sqrt{\frac{2m}{\hbar^2} E_{kin}} \sin\theta \quad (2.9)$$

By measuring the emission angles (θ) and kinetic energies simultaneously of photoelectrons using hemispherical analyzer and detector as shown in Fig. 2.18, we can get in-plane energy-momentum plot using Eq. 2.9. To get out-of-plane energy-momentum plot, one has to vary the photon energies. Then, by using Eq. 2.8 and inner potential one can get out-of-plane energy-momentum plot.

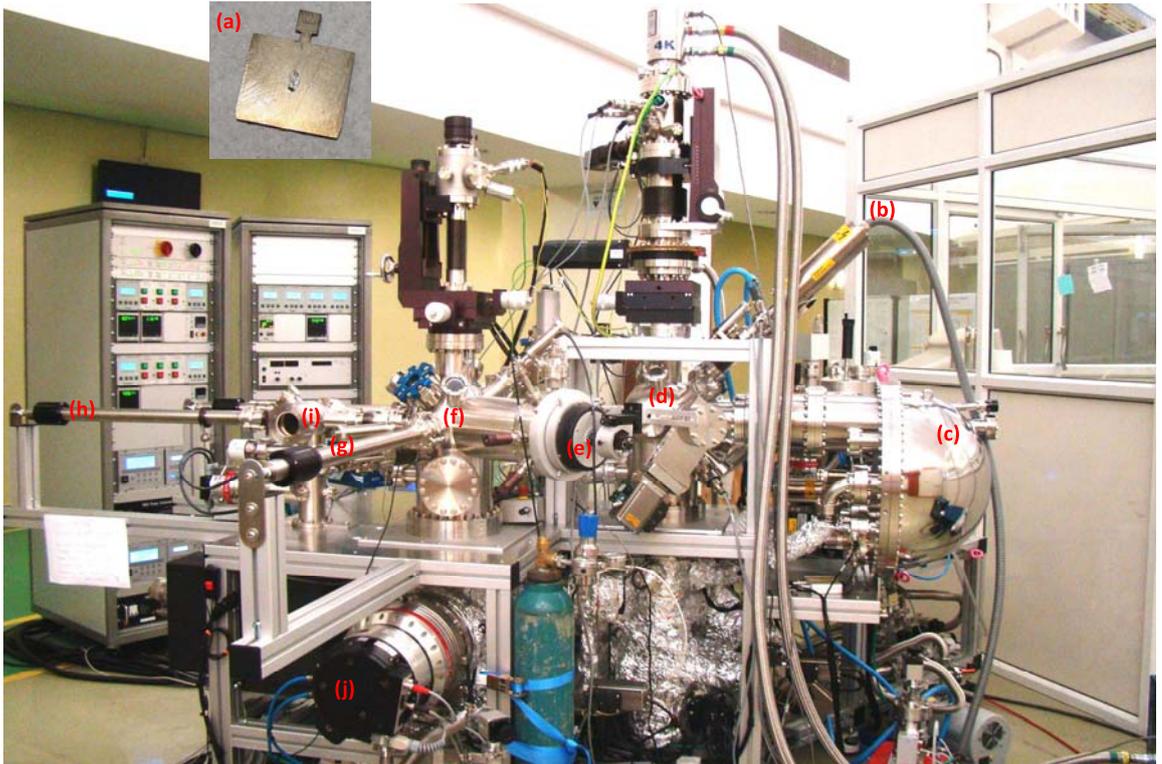


Figure 2.20: ARPES experimental station attached with XPS and LEED facilities, Indus-2, RRCAT, India. (a) is the sample holder with the sample glued on it, (b) is the X-ray source, (c) is the hemispherical electron analyzer, (d) is the main chamber, (e) is the LEED camera, (f) is the sample preparation chamber, (g) is the cleaving rod, (h) is the transfer rod, (i) is sample insertion chamber, (j) is a turbo pump.

We performed ARPES measurements using He light source of photon energies 21.2 eV and 40.8 eV and synchrotron light source with energy resolution of 10 meV. The samples were cleaved *in situ* in the sample preparation chamber to get a clean and smooth surface. Then, the data were recorded at a chamber vacuum of the order of 9×10^{-11} mbar. The sample temperature was varied in the range of 1 K and 300 K during the experiment. Schematic representation of ARPES experimental station at Indus-2, RRCAT, India is shown in Fig. 2.20.

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Chapter 3

ARPES Studies on ZrX_2 ($\text{X} = \text{Se}$ and Te)

3.1 Introduction

Transition metal dichalcogenides (TMDCs) [1–3] are gaining a great deal of research interests, especially, from the past few decades due to their potential applications in spintronics [4–8] as well in the optoelectronics [9–13] because of their wide range of electronic properties starting from the metallic [14–16], to the semimetallic [17–20], to the semiconducting [21–24], and to the Mott-insulators [25–27], obtained mainly by the band engineering [28–30]. In addition, the diversity of electronic properties of TMDCs includes the CDW [31, 32], the magnetism [33–35], and the superconductivity [36–38].

Tunable band gap in layered TMDCs is one of the major research topics in recent days from both the theory and experiment [39–42]. It is known that the band gap in IVB TMDCs (TiX_2 , ZrX_2 , and HfX_2 , where $\text{X} = \text{S}$, Se , and Te) change with the strain [43]. However, among the IVB TMDCs, ZrX_2 compounds in their monolayer thickness show peculiarity beyond a critical pressure. That means, instead of increasing band gap with the increasing pressure, it starts decreasing beyond the critical pressure. On the other hand, the monolayer thickness ZrTe_2 transforms from metal to a semiconductor beyond a critical pressure. Hence, understanding the pressure effects on the electronic structure of TMDCs has a significance in their band engineering [44]. Specifically, performing ARPES studies under external pressure is a non-trivial method [45, 46]. However, studying the electronic structure using ARPES under the application of chemical pressure induced by the substitution of an isovalent atom is highly viable [47]. So far there exists very few ARPES reports in this direction [48–52]. Moreover, these studies report only the electronic structure of $\text{Zr}(\text{Se}_{1-x}\text{S}_x)_2$ which are semiconductors. Although several theoretical reports on ZrTe_2 predicted it to be a metal [53–55], so far no ARPES study exists on this compound in bulk phase confirm-

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ing the same, except that a recent ARPES study on monolayer ZrTe_2 deposited on InAs (111) substrate showing linear Dirac states near the Fermi level at the Γ -point [56]. Thus, a thorough understanding of the electronic structure of bulk ZrTe_2 has interests from other reasons as well, whether ZrTe_2 is another topological system like ZrTe_5 [57–61]. Therefore, ARPES studies on the bulk ZrTe_2 are essential to understand whether ZrTe_2 is a simple metal or a topological semimetal.

In this chapter, we report on the low-energy electronic structure of bulk ZrTe_2 and ZrSe_2 using high resolution angle resolved photoemission spectroscopy and compare it with density functional theory performed by our theoretical collaborators. On the Fermi surface map of ZrTe_2 , we observe several well disconnected hole and electron pockets at $\Gamma(A)$ and $M(L)$ points, respectively. Further, in ZrTe_2 , we realize three holelike non-degenerate band dispersions near the $\Gamma(A)$ point and an electronlike band dispersion at the $M(L)$. DFT calculations performed by our theoretical collaborators predict all hole pockets are composed by the Te p orbital characters and the electron pocket is composed by the Zr d_{z^2} orbital character. An equal number of hole and electron carrier density estimated from our ARPES data using Luttinger’s theorem [62, 63] suggests ZrTe_2 to be a semimetal. In addition, DFT calculations performed by our theoretical collaborators on ZrTe_2 in presence of SOC predict band inversion between Te p and Zr d characters near Γ point, pointing ZrTe_2 to a topological semimetal. In contrast to ZrTe_2 , from the Fermi surface topology of ZrSe_2 we observe only electron pockets located at the $M(L)$ point, while the hole pockets are noticed well below the Fermi level at a binding energy of ≈ 1 eV. Nevertheless, our studies on ZrSe_2 are inline with the existing reports that it is a semiconductor with an indirect band gap of 0.9 eV between $\Gamma(A)$ and $M(L)$ high symmetry points [51, 52, 64]. In order to understand the origin of semimetallic state in ZrTe_2 and semiconducting state in ZrSe_2 , our theoretical collaborators quantified the electronic structure by mapping it onto a tight-binding model. They relate the electronic structure changes in going from ZrSe_2 to ZrTe_2 to the change in the metal-chalcogen bond lengths.

3.2 Experimental Details

High quality single crystals of ZrSe_2 and ZrTe_2 were grown by the CVT method using iodine as a transport agent [65] at IISER, Pune by our collaborator. In the first step, stoichiometric quantities of elements, Zr (sponge, $\geq 99.9\%$, metals basis, Sigma Aldrich) with Se (shot, Alfa Aesar, 99.999%, metals basis) or Te (ingot, 99.99%, metals basis, Alfa Aesar), were loaded into an alumina crucible and subsequently sealed in a quartz ampoule under vacuum. The ampoule was slowly heated to 500 °C, with a rate of 50 °C/h, kept there for 5 h and then heated further to 900 °C. This temperature has

been maintained for a period of 2 days, in order to complete the reaction between Zr and the chalcogen (Se/Te). The polycrystalline powders of $ZrSe_2$ and $ZrTe_2$ were used for the single crystal growth. The powdered samples were placed and sealed under vacuum in quartz ampoules together with pieces of crystallized iodine (5 mg/cm^3). The ampoules had subsequently been loaded in a three-zone furnace, where a gradient of temperature of $100 \text{ }^\circ\text{C}$ was maintained between the source ($880 \text{ }^\circ\text{C}$) and the sink ($780 \text{ }^\circ\text{C}$) zone. After 10 days of transport, many single crystals with dimensions as large as $5 \text{ mm} \times 5 \text{ mm} \times 0.1 \text{ mm}$ were obtained at the cold part of the ampoule. Crystal structure, morphology and chemical composition of the single crystals were determined using XRD and scanning electron microscope (SEM) equipped with an EDX, see Figure 3.1. From EDX, the studied samples in this contribution have the chemical compositions of $Zr_{1.02}Se_2$ and $Zr_{1.16}Te_2$ with 2% and 16% excess Zr per formula unit, respectively. Both the compounds crystallized in the space group of $P\bar{3}m1$ (164), with lattice parameters at room temperature as $a = b = 3.945 \text{ \AA}$ and $c = 6.624 \text{ \AA}$ for $ZrTe_2$ and $a = b = 3.766 \text{ \AA}$ and $c = 6.150 \text{ \AA}$ for $ZrSe_2$.

Angle resolved photoemission spectroscopy measurements were carried out at the UE-112 beam-line equipped with 1^3 -ARPES end station located in BESSY II (Helmholtz zentrum Berlin) synchrotron radiation center [66, 67] by Dr. Thirupathaiiah Setti. Photon energies for the measurements were varied between 30 eV to 110 eV. The energy resolution was set between 10 and 15 meV depending on the excitation energy. Data were recorded at a chamber vacuum of the order of 1×10^{-10} mbar and the sample temperature was kept at 1 K during the measurements. We employed various photon polarizations in order to extract the electronic structure comprehensively. Measuring geometry of s and p -polarized photons with respect to the scattering plane (SP) and analyzer entrance slit (ES) is shown in Fig. 3.1(f). s -polarized light has odd parity and p -polarized light has even parity with respect to scattering plane. This means that the wave oscillates parallel to the scattering plane for p -polarized light and perpendicular to the scattering plane for s -polarized light. Even parity orbitals are visible with p -polarized light such as s , p_x , p_z , d_{xz} , $d_{x^2-y^2}$, d_{z^2} . Odd parity orbitals are visible with s -polarized light such as p_y , d_{xy} , d_{yz} .

Figure 3.1(a) shows the trigonal crystal structure of ZrX_2 . Figure 3.1(b) shows the projected Zr-chalcogen layer on the ab -plane where one can notice each Zr ion is octahedrally coordinated with six Te (Se) ions. From the EDX measurements on $ZrTe_2$ and $ZrSe_2$ we derived the chemical formulae of $Zr_{1.02}Se_2$ and $Zr_{1.16}Te_2$, respectively, suggesting that there is a 2% of Se (excess Zr) and a 16% of Te (excess Zr) deficiency from the stoichiometric compositions. Such a chalcogen deficiency or excess Zr in these systems generally leads to excess electron carrier density. Nevertheless, from our EDX we noticed that the samples are homogeneous. As shown in Figures 3.1(g) and 3.1(h), we performed XPS on $ZrTe_2$ and $ZrSe_2$, respectively. Most of the atomic core levels are

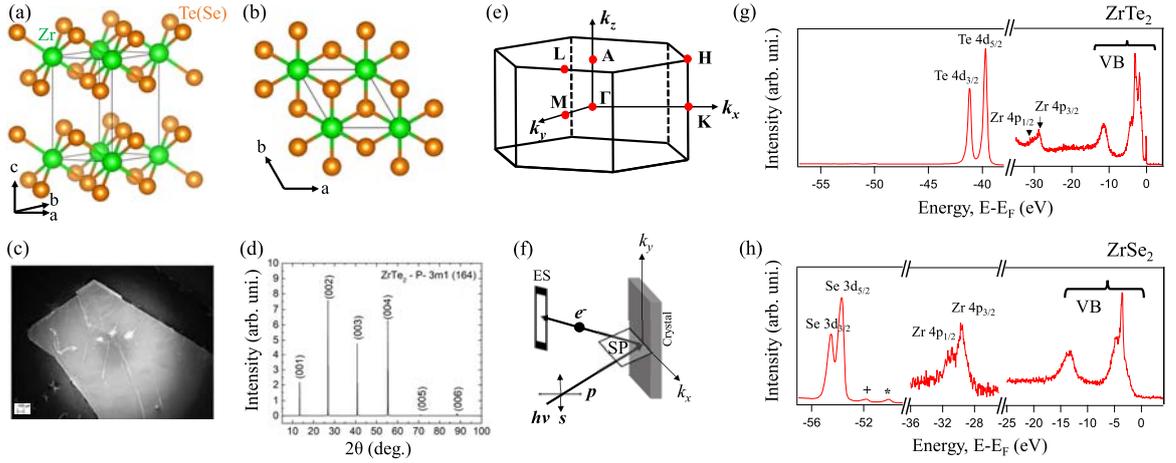


Figure 3.1: (a) Trigonal crystal structure of $ZrTe_2$ and $ZrSe_2$. (b) ZrX_2 layer projected on the ab -plane, showing the metal-chalcogen hexagonal pattern. (c) Scanning electron microscope image of the $ZrTe_2$ single crystal with a spacial resolution of $100 \mu m$. (d) X-ray diffractogram of $ZrTe_2$ single crystal. (e) 3D view of the hexagonal Brillouin zone with high symmetry points. (f) Measuring geometry of photoemission spectroscopy, defining the s and p -polarized photons with respect to the scattering plane (SP) and analyzer entrance slit (ES). Core level photoemission spectra of (g) $ZrTe_2$ and (h) $ZrSe_2$. In (h), the symbols $+$ and \star represent the Iodine impurity peaks of $4p_{3/2}$ and $4p_{5/2}$, respectively.

assigned to their respective binding energies for $ZrTe_2$. However, for $ZrSe_2$, we noticed significant intensity peaks at binding energies of 52 eV and 50.6 eV, pointed by $+$ and \star , respectively, that are negligibly visible in $ZrTe_2$. These peaks are identified as the Iodine $4p_{3/2}$ ($+$) and $4p_{5/2}$ (\star) states. Note here that Iodine was used as a transport agent for growing these crystals, hence, some of the Iodine atoms may have stuck in between the $ZrSe_2$ layers during the crystal growth process. But nevertheless, as we discuss below presence of Iodine in $ZrSe_2$ has no net effect on its electronic structure. Apart from this, we do not find any other impurity peaks from the XPS of $ZrSe_2$ and as well from $ZrTe_2$.

Figure 3.2 shows ARPES data of $ZrTe_2$. Fermi surface map of $ZrTe_2$ in the $k_x - k_y$ plane is shown in Figure 3.2(a), measured with p -polarized light using a photon energy of $h\nu=100$ eV. The Fermi surface has hexagonal symmetry which is consistent with the hexagonal crystal structure. As can be observed in Fig. 3.2(a) the Fermi surface of $ZrTe_2$ consists of well disconnected Fermi pockets located at the Γ and M points. In order to understand nature of these Fermi pockets we have taken the energy distribution maps (EDMs) along the cuts #1 and #2 as shown in Fig. 3.2(a). From the EDMs shown in Figure 3.2(b) three holelike band dispersions, α_1 , α_2 , and α_3 , are found at $\Gamma(A)$ and an electronlike band dispersion, β , is found at $M(L)$ high symmetry points. From the polarization dependent EDMs as shown in Fig. 3.2(b), with s -polarized light

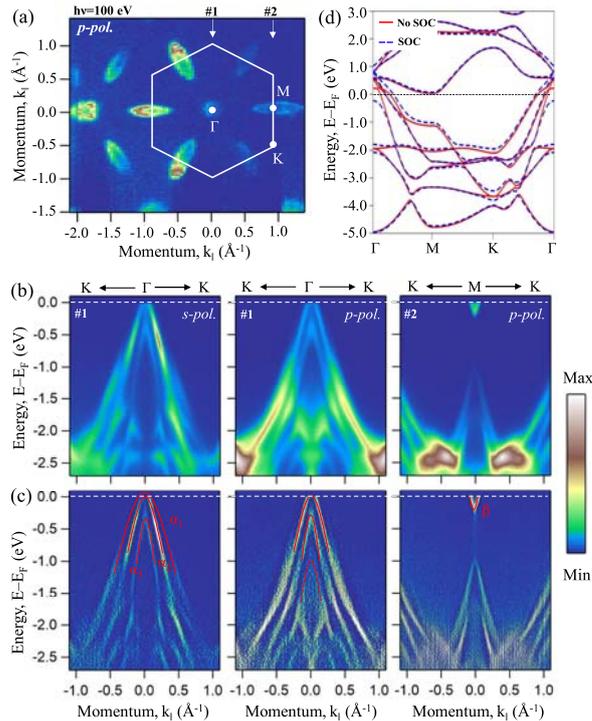


Figure 3.2: In-plane electronic structure of ZrTe_2 . (a) Fermi surface map measured using p polarized light with a photon energy $h\nu = 100$ eV. (b) Energy distribution maps (EDMs) taken along the cuts #1 and #2 as shown in (a). (c) Second derivatives of (b). In (c) the red-dashed curves are eye-guides showing the band dispersions. (d) Energy-momentum plot of ZrTe_2 calculated using density functional theory with (blue) and without (red) including spin-orbit coupling.

we noticed all three hole pockets with reduced intensity for α_1 and α_3 and high intensity for α_2 , whereas with p -polarized light we noticed predominantly two hole pockets (α_2 and α_3). Orbital resolved band structure performed by our theoretical collaborators (see Figure 3.7) in the absence of SOC suggest that the hole pocket α_1 is composed by Te p_x , the hole pocket α_2 is composed by Te p_y , and the hole pocket α_3 is composed by Te p_z character. However, in the presence of SOC the orbital contribution to the hole pockets is more complex as can be observed in Fig. 3.7. Thus, assigning orbital characters to the experimental band structure for ZrTe_2 is non-trivial. Next, an high intense electron pocket (β) has been recorded using p -polarized light which is composed by the even parity orbital Zr d_{z^2} character. Figure 3.2(d) shows calculated band structure with and without including spin-orbit coupling. As we can see from Fig. 3.2(d), three holelike and an electronlike band dispersions are predicted at $\Gamma(A)$ and $M(L)$ high symmetry points. Therefore, calculated band structure is qualitatively in agreement with the experimental data.

Despite being a layered system, we further performed photon energy dependent ARPES measurements on ZrTe_2 to unravel the out-of-plane (k_z) band structure. Fig-

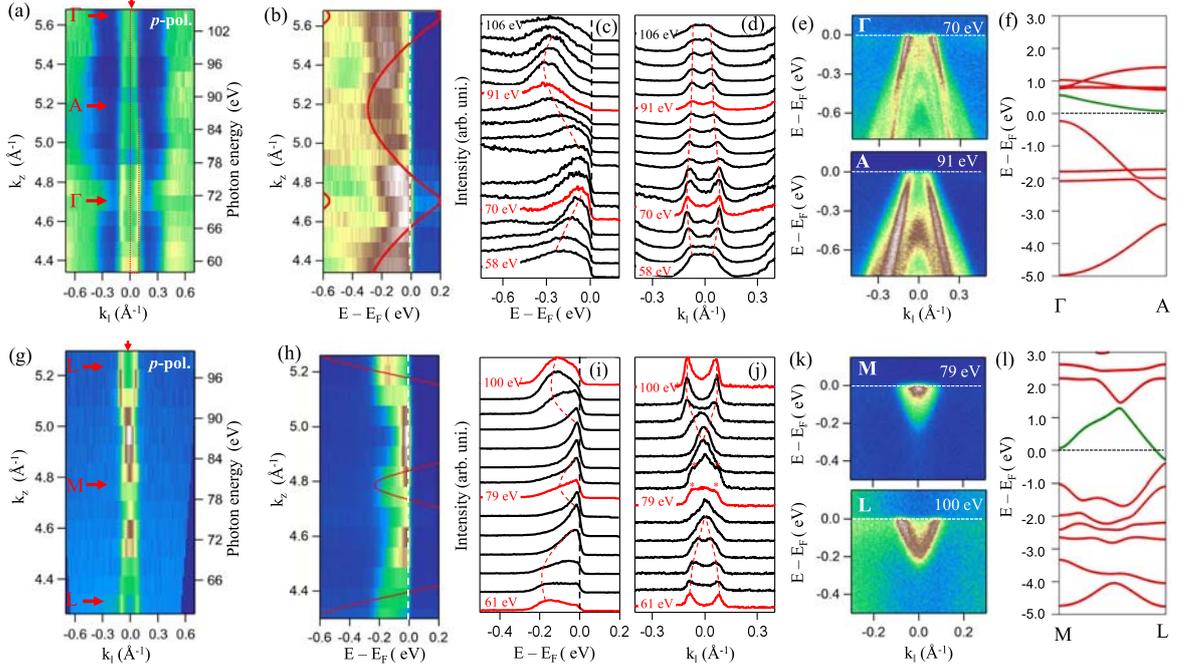


Figure 3.3: Out-of-plane (k_z) electronic structure of ZrTe_2 . (a) k_z Fermi surface map measured using p -polarized light in the $\Gamma M A L$ plane. (b) EDM taken along the $\Gamma - A$ high symmetry line. (c) Photon energy dependent energy distribution curves (EDCs) extracted from (b). (d) Photon energy dependent momentum distribution curves (MDCs) extracted from (a). (e) EDMs taken from Γ and A high symmetry points. (f) Energy-momentum plot from the calculations along the $\Gamma - A$ high symmetry line. (g) k_z Fermi surface map measured using p -polarized light in the $M K H L$ plane. (h) EDM taken along the $M - L$ high symmetry line. (i) Photon energy dependent EDCs extracted from (h). (j) Photon energy dependent MDCs extracted from (g). (k) EDMs taken from M and L high symmetry points. (l) Energy-momentum plot from the calculations along the $M - L$ high symmetry line. In (b) and (h) the DFT band structure along $\Gamma - A$ and $M - L$ is overlapped on the ARPES data by shifting the calculated Fermi level 0.3 eV towards lower binding energy. Note that since the band renormalization effects are not considered, only a qualitative agreement is found between theory and experiment.

Figure 3.3(a) shows Fermi surface map of ZrTe_2 in the $\Gamma M L A$ plane measured with photon energies ranging from 58 to 106 eV in step of 3 eV using p -polarized light. Following the equation, $k_z = \sqrt{\frac{2m}{\hbar^2}} \sqrt{V_0 + E_k \cos^2 \theta}$, here m is the electron mass, \hbar is the Planck's constant, E_k is the photoelectron kinetic energy, θ is escape angle of the photoelectron from the sample surface normal, and V_0 is the inner potential of the sample which is considered to be 16 eV, we found that the photon energies 70 ± 3 eV and 91 ± 3 eV correspond to the high symmetry points of Γ and A , respectively. Figure 3.3(b) shows EDM taken along the $\Gamma - A$ direction as shown by the down arrow in Fig. 3.3(a) extracted by a momentum integration of 0.05 \AA^{-1} . From this EDM one can clearly notice that there is significant k_z dispersion in going from Γ to A for the hole pocket which has a partial

contribution from Zr d_{z^2} along with Te $p_x(p_y)$ under SOC [see Fig. 3.7]. This is further demonstrated using photon energy dependent energy distribution curves (EDCs) as shown in Figure 3.3(c). Figure 3.3(d) shows photon energy dependent Momentum distribution curves (MDCs) extracted from Fig. 3.3(a). We estimated a Fermi vector of hole pocket $k_F \approx 0.09 \text{ \AA}^{-1}$ at the Γ -point, while a Fermi vector $k_F \approx 0.06 \text{ \AA}^{-1}$ is estimated at the A -point. This observation again confirms significant k_z dispersion of the hole pocket. Figure 3.3(e) shows EDMs from Γ (70 eV) and A (91 eV) high symmetry points. This observation is consistent with DFT calculations performed by our theoretical collaborators along $\Gamma - A$ direction as shown in Fig. 3.3(f), where one can see a significant k_z dispersion for the hole pocket (green color band dispersion).

Similarly, Figure 3.3(g) shows k_z Fermi surface map in the $MKLH$ plane measured with photon energies ranging from 61 eV to 100 eV in step of 3 eV using p -polarized light. From these data we found that the photon energy 79 eV detects bands from the M -point whereas the photon energies 61 and 100 eV detect the bands from the L -point. Figure 3.3(h) shows EDM along the $M - L$ orientation and Figure 3.3(i) shows photon energy dependent EDCs taken from Fig. 3.3(h). Figure 3.3(j) shows photon energy dependent MDCs. We estimate a Fermi vector $k_F \approx 0.08 \text{ \AA}^{-1}$ for the electron pocket at the L -point, while no Fermi vector for the electron pocket is found at the M -point. This observation is suggesting that the k_z dispersion of the electron pocket composed by Zr d_z^2 orbital character is relatively stronger. Further, in Fig. 3.3(j) we could identify a couple of peaks shown by \star symbol on the MDC curves taken around the M point. These peaks are caused by the reminiscent spectral intensity of the electron pocket from the L -point. This is because, in ARPES the photon energy dependent data generally suffer from the k_z resolution due to vertical transition of the photoelectrons. Thus, the k_z dependent ARPES data generally consist of overlapping states in the k_z direction. Figure 3.3(k) shows EDMs from M (79 ± 3 eV) and L (100 ± 3 eV) high symmetry points. This observation is consistent with DFT calculations performed by our theoretical collaborators along $M - L$ direction as shown in Fig. 3.3(l), where one can see a significant k_z dispersion for the electron pocket (green color band dispersion).

Next, ARPES data of ZrSe_2 is shown in Figure 3.4. Figure 3.4(a) shows the Fermi surface map measured with a photon energy of $h\nu = 100$ eV using p -polarized light in the $k_x - k_y$ plane. Figure 3.4 (b) shows energy-momentum plot of ZrSe_2 from DFT calculations. On the Fermi surface, we could identify six Fermi pockets located at six $M(L)$ -points in a hexagonal symmetry. On the other hand, we did not find any spectral intensity at the $\Gamma(A)$ point. To further elucidate the nature of the band dispersions at the $M(L)$ and $\Gamma(A)$ points, we measured energy distribution maps along the direction as shown in Fig. 3.4 (a). Figures 3.4 (c) and (d) are such EDMs measured with photon energy of $h\nu = 100$ eV using p - and s polarized lights, respectively. From these EDMs it is clear that there are three well resolved holelike band dispersions, α_1 , α_2 , and

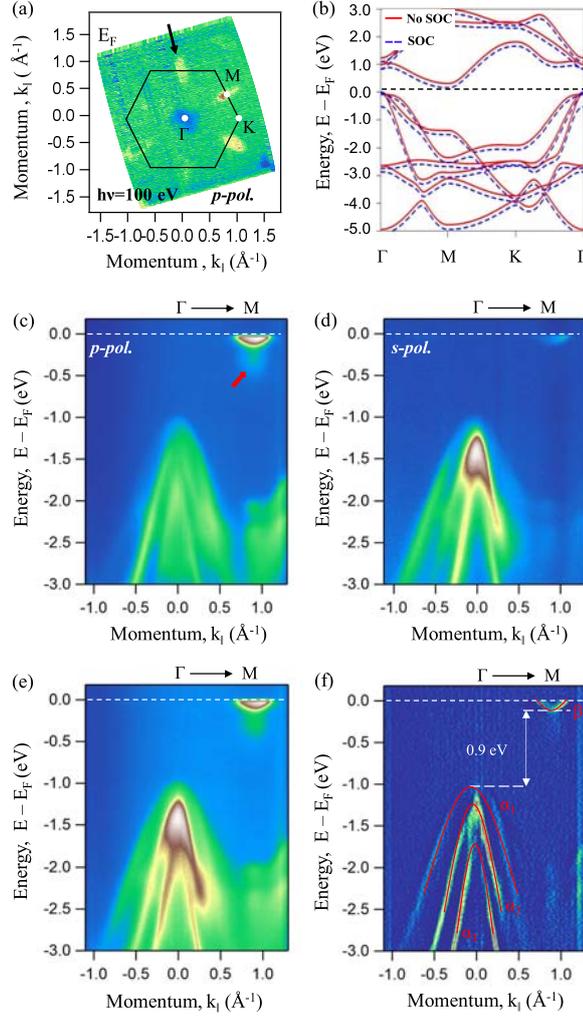


Figure 3.4: ARPES spectra of ZrSe_2 . (a) Fermi surface map measured using p -polarized light with a photon energy of $h\nu = 100$ eV. (b) Energy-momentum plot from the first-principles calculations. (c) EDM cut taken along the direction as shown in (a) measured using p -polarized light. (d) Same as (c) but measured using s -polarized light. (e) Sum of (c) and (d). (f) Second derivative of (e).

α_3 , near the $\Gamma(A)$ -point with the valence band top well below the Fermi level, at a binding energy of $E_B \approx 1$ eV. Further, we found an electronlike band dispersion, β , crossing the Fermi level with a Fermi vector $k_F = 0.06 \text{ \AA}^{-1}$ at the $M(L)$ -point. This electron pocket is almost disappearing when measured with s -polarized light as shown in Figure 3.4 (d). The orbital resolved band structure of ZrSe_2 performed by our theoretical collaborators (see Figure 3.5) suggests that the holelike band dispersions are composed by Se p_x , p_y , and p_z orbital characters, while the electronlike band near the $M(L)$ -point is composed by the Zr d_z^2 orbital character. Thus, the electron pocket is more intense when measured using p -polarized light compared to s -polarized light as in the current measuring geometry near the Fermi level the p -polarized light detects predominantly even parity orbital characters like d_z^2 , p_x and p_z whereas the s -polarized

light detects p_y character. Figure 3.4 (e) shows the sum of EDMs from Figs. 3.4 (c) and (d). Figure 3.4 (f) is the second derivative of Fig. 3.4 (e). From Figs. 3.4 (e) and (f) we estimated an indirect band gap of 0.9 eV between the top of holelike (valance) band, α_1 , near the $\Gamma(A)$ point and the bottom of electronlike (conduction) band, β , near the $M(L)$ -point. These observations are in good agreement with earlier ARPES studies on this system, i.e., ZrSe_2 is a semiconductor with an indirect band gap [49–52]. In addition, near the $M(L)$ point, from the ARPES data a bright spectral feature has been noticed at a binding energy of 0.3 eV [shown by red arrow in Fig. 3.4(c)], which is not predicted from DFT calculations performed by our theoretical collaborators. But similar spectral feature has been observed in an earlier ARPES report on ZrSe_2 [52].

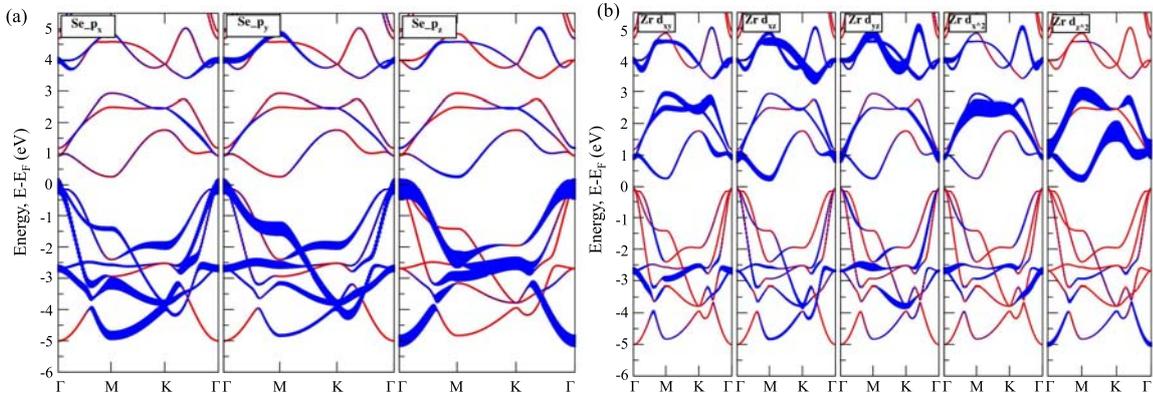


Figure 3.5: Orbital resolved calculated band structure of ZrSe_2 without SOC for (a) Se p states (b) Zr d states. The blue color represents the strength of contributions.

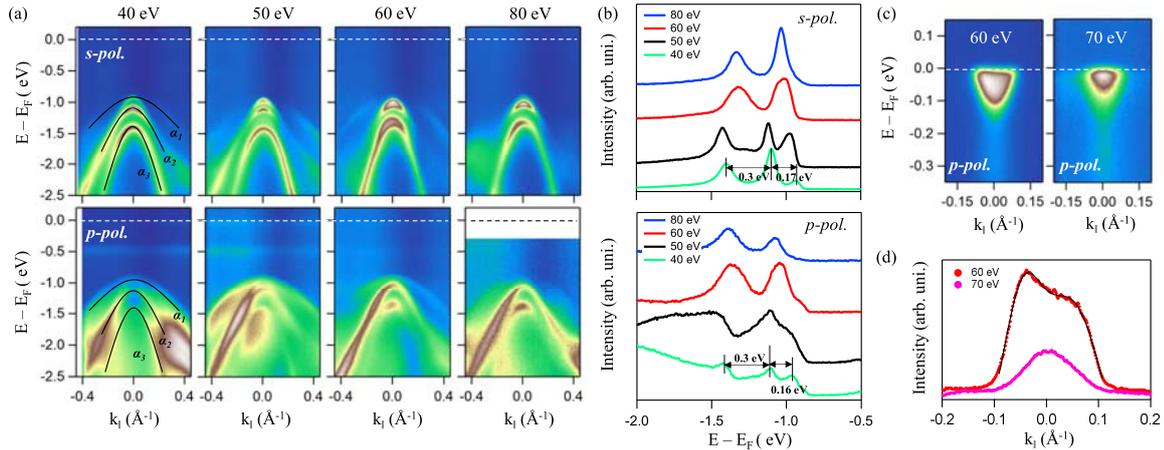


Figure 3.6: Photon energy dependent ARPES spectra of ZrSe_2 . (a) Photon energy dependent EDMs measured using s -polarized (top panel) and p -polarized light (bottom panel) at the zone center. (b) Photon energy dependent EDCs from s - and p -polarized lights. (c) Photon energy dependent EDMs taken for the electron pocket. (d) MDCs extracted by integrating over 10 meV about the Fermi level, taken from (c).

Top panels in Figure 3.6 (a) show photon energy dependent EDMs taken along the

zone center using s -polarized light. Similarly, bottom panels in figure 3.6 (a) show photon energy dependent EDMs taken along the zone center using p -polarized light. Using inner potential of 10.9 eV [50], we identify that 40 eV, 50 eV, 60 eV and 80 eV photon energies, along $\Gamma - A$ direction, detect the bands at k_z values of $13.65 \frac{\pi}{c'}$, $15.05 \frac{\pi}{c'}$, $16.33 \frac{\pi}{c'}$, and $18.63 \frac{\pi}{c'}$. Similarly, in Fig. 3.6 (c), the photon energies 60 eV and 70 eV, along $M - L$ direction, detect the bands at k_z values of $15.75 \frac{\pi}{c'}$ and $16.97 \frac{\pi}{c'}$, respectively. Here, $c' = 2c$ and $c = 6.150 \text{ \AA}$. From the EDMs of both polarizations, we do notice three well resolved hole pockets at the zone centre. As discussed above, the p -polarized light should detect two holelike bands composed of the even parity orbitals p_x and p_z and the s -polarized light should detect one holelike band composed of odd parity orbital p_y . But since the crystal is not oriented in any high symmetry direction, the photoemission process does not follow the parity dependent selection rules and moreover the orbital contribution to the low-energy band structure of ZrSe_2 under SOC is more complex. Hence, we do see all three bands from both p - and s - polarized lights. Top panel in Figure 3.6 (b) shows photon energy dependent EDCs taken at $k_{\parallel}=0$ from the EDMs of s -polarized light. Similarly, bottom panel in Figure 3.6 (b) shows photon energy dependent EDCs taken at $k_{\parallel}=0$ from the EDMs of p -polarized light. From the EDCs shown in Fig. 3.6 (b) one can clearly see that the three holelike bands are well separated. For instance from the EDCs of 40 eV in Fig. 3.6 (b), one peak has been observed at a binding energy of 1.4 eV, corresponding to the top of holelike band α_3 . Another two peaks have been observed at binding energies of 1.1 eV and 0.93 eV, corresponding to the top of holelike bands α_2 and α_1 , respectively. Similar observations were made with 50 eV photon energy. However, when measured with 60 and 80 eV the band separation is not that clear due to either the matrix element effects or k_z dispersion. Figure 3.6 (c) shows EDMs of the electron pocket measured using p -polarized light with 60 eV and 70 eV. Figure 3.6 (d) shows MDCs extracted near the Fermi level from the EDMs of Fig. 3.6 (c). From the MDC of 60 eV we estimated a Fermi vector of $k_F \approx 0.05 \text{ \AA}^{-1}$, while the MDC of 70 eV shows no Fermi vector for the electron pocket. This suggest that the electron pocket composed of Zr d_{z^2} character has significant k_z dispersion in ZrSe_2 .

3.3 Discussions

Despite sharing the same trigonal crystal structure, the low-energy electronic structures of ZrTe_2 and ZrSe_2 are clearly differing from one another. ZrTe_2 has a metallic band structure with overlapping valance and conduction bands above the Fermi level at the $\Gamma(A)$ point, composed by Te p and Zr d orbitals, respectively. On the other hand, ZrSe_2 has a semiconducting nature of the band structure with an indirect band gap

of $E_g=0.9$ eV between the $\Gamma(A)$ and $M(L)$ high symmetry points, with the valence and conduction bands composed of Se p and Zr d orbitals, respectively. In agreement with a previous ARPES report on $ZrSe_2$ [52], a tiny spectral feature near the $M(L)$ -point at a binding energy of 0.3 eV has been observed. This feature is more intense when measured with p -polarized light than the s -polarized light, suggesting that it is composed by an even parity orbital. However, experimentally we are unable to pin point its band dispersion neither to electronlike nor to holelike. Further, this feature is not predicted from the DFT calculations performed by our theoretical collaborators, thus cannot be of the intrinsic origin. As the XPS data of $ZrSe_2$ (see Fig. 3.1) shows Iodine peaks, perhaps, this Iodine impurity could be a plausible source of this strange spectral feature in $ZrSe_2$ than the back-folding of the bands from the $M(L)$ to $\Gamma(A)$ as suggested in the Ref. [52]. Moreover, an earlier ARPES study on $ZrSe_2$ showed Fermi level lying in between valence and conduction band [64], whereas from our study we found that the Fermi level is moved to the conduction band. This could be due to the Se deficiency in $ZrSe_2$ as observed from the EDX measurements, which is giving rise to the net electron doping.

Spin-orbit coupling seems to be significantly affecting the low-energy electronic structure of these compounds. Especially, in $ZrSe_2$ the DFT calculations without SOC suggest two of the three hole pockets are degenerate, i.e., the two hole pockets composed by p_x and p_y are degenerate while the third hole pocket composed by p_z is well separated from them. The ARPES data of $ZrSe_2$ show three well separated hole pockets near the Γ point. This can only be reproduced in DFT calculations with the inclusion of SOC. In addition, the ARPES data of $ZrSe_2$ suggest a SOC splitting of hole pockets to as high as 0.17 eV. This observation is in very good agreement with the SOC split size of 0.16 eV for the same hole pockets reported by an earlier ARPES study on $ZrSe_2$ [50]. On the other hand, DFT calculations with SOC performed by our theoretical collaborators only produced a SOC splitting size of 0.085 eV (see Fig. 3.2).

We further would like to show DFT calculations performed by our theoretical collaborators [see Figure 3.7] on $ZrTe_2$ in which we identify a band inversion between Zr d and Te p states at the Γ point. As discussed above, in $ZrSe_2$ a clear separation is noticed between the valence and conduction bands composed by Se p and Zr d states, respectively. In $ZrTe_2$, the Zr d_{z^2} state comes closer to the valence band and in fact touches the valence band at Γ . Further, in the presence of SOC we clearly observe a band inversion in $ZrTe_2$ in such a way that the Te p_y state moves up to the conduction band, while the Zr d_{z^2} moves further down to the valence band. As a result, a band gap of 10 meV is noticed [see inset in Fig. 3.7(b)].

Next, coming to the discussion on the electronic structure of $ZrTe_2$, for the first time we report here the ARPES studies on bulk $ZrTe_2$. The only existing ARPES study is on monolayer thickness $ZrTe_2$ film deposited on InAs (111), suggesting a Dirac like

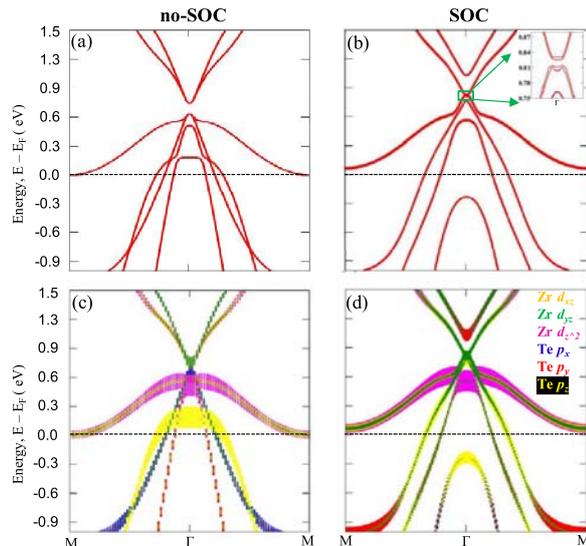


Figure 3.7: Calculated band structure of ZrTe_2 (a) without SOC and (b) with SOC. (c) and (d) are same as (a) and (b) but with orbital information. Inset in (b) shows a gap of 10 meV opening at the Γ point due to the band inversion under the SOC.

linear bands at the $\Gamma(A)$ point [56]. Interestingly, DFT calculations performed by our theoretical collaborators predict a band inversion between Zr d and Te p states at the $\Gamma(A)$ point, hinting at a possible non-trivial band structure in ZrTe_2 despite experimentally failing to detect any linear Dirac surface states. Furthermore, with the help of in-plane and out-of-plane ARPES data and Luttinger's theorem [62, 63] we calculated the number of hole and electron carriers enclosed by the hole and electron Fermi pockets. According to this theorem the carrier density is directly proportional to the volume enclosed by the Fermi surface in a uniform system of noninteracting Fermions. The carrier density per unit cell can be written as the ratio of volume enclosed by the Fermi surface and total volume of the Brillouin zone. Our calculation results to hole carrier density $n_h = 0.003$ per unit cell ($1.1 \times 10^{19}/\text{cm}^3$) and electron carriers $n_e = 0.0026$ per unit cell ($0.95 \times 10^{19}/\text{cm}^3$). Our estimated electron carrier density value is very close to the value of the order of $10^{19}/\text{cm}^3$ reported for $\text{Cu}_{0.3}\text{ZrTe}_{1.2}$ [55], but much higher than the value of $5.07 \times 10^{15}/\text{cm}^3$ reported for ZrTe_2 [68]. In any case, carrier density reported in Ref. [68] is rather pointing ZrTe_2 to a semiconductor, while ZrTe_2 is widely known as a metal as also confirmed by the present study. Nearly equal number of hole and electron carrier density as derived from our ARPES data in addition to the band inversion as seen from the DFT calculations performed by our theoretical collaborators is suggesting that ZrTe_2 is a topological semimetal.

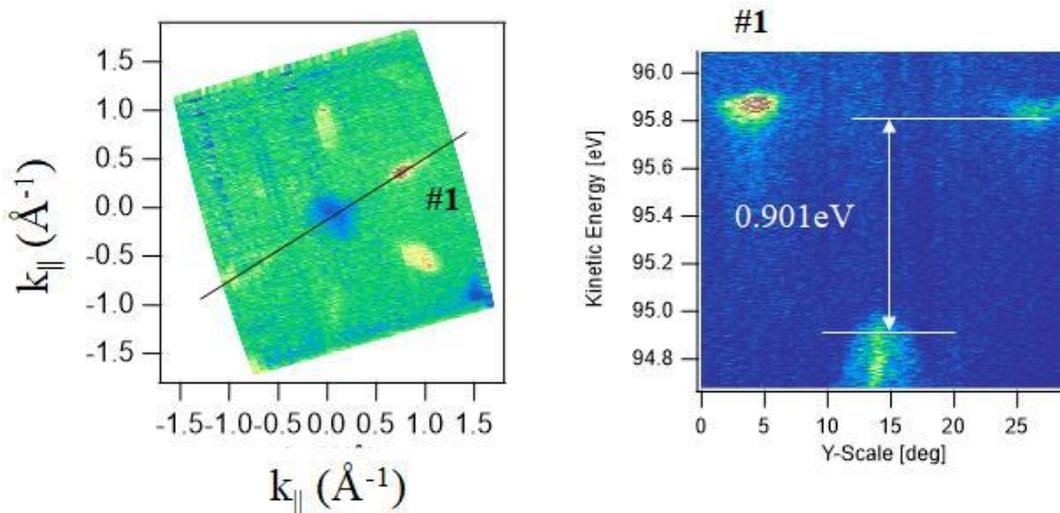


Figure 3.8: ARPES data of ZrSe_2 . The figure suggests the gap between valence and conduction band to be 0.9 eV. The data is measured using p -polarized light with a photon energy of 100 eV.

3.4 Conclusions

In conclusion, we systematically studied the low-energy electronic structure of ZrTe_2 and ZrSe_2 using angle resolved photoemission spectroscopy and compared with density functional theory calculations performed by our theoretical collaborators. ARPES data of ZrTe_2 suggest several well disconnected hole and electron pockets at $\Gamma(A)$ and $M(L)$ points, respectively. From the ARPES data of ZrTe_2 , we realize three holelike non-degenerate band dispersions near the $\Gamma(A)$ point and an electronlike band dispersion at the $M(L)$ point. The experimental observations are in good agreement with the DFT calculations performed by our theoretical collaborators. An equal number of hole and electron carrier density as estimated from our ARPES data suggesting ZrTe_2 to be a semimetal. In addition, the DFT calculations of ZrTe_2 in the presence of spin-orbit coupling suggest a band inversion between Te p and Zr d bands near Γ point, hinting ZrTe_2 to be a topological semimetal. On the other hand, from the Fermi surface topology of ZrSe_2 we observe only the electron pockets located at $M(L)$ point, while the hole pockets are noticed well below the Fermi level. Our studies on ZrSe_2 further suggest it to be a semiconductor with an indirect band gap of 0.9 eV between $\Gamma(A)$ and $M(L)$ high symmetry points (see Figure 3.8). Also, calculations performed by our theoretical collaborators demonstrate that the metal-chalcogen bond-length plays a vital role on the electronic structure changes of ZrX_2 ($X = \text{Se and Te}$) in such a way that an electronic phase transition takes place from semiconductor to topological semimetal in going from ZrSe_2 to ZrTe_2 .

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Chapter 4

ARPES Studies on CDW Candidate, TaTe₂

4.1 Introduction

Investigation of the TMDCs is quite fascinating as these systems host a wide variety of structural, physical, and electronic properties [1–4]. Particularly, the structural polymorphism in TMDCs (1T, 2H, and 3R phases) play a significant role for their exotic physical properties such as CDW [5, 6], superconductivity [7], magnetic ordering [8], topological properties [9], and the valleytronics [10] by tuning the electronic band structure. Therefore, a thorough knowledge on the electronic band structure of TMDCs is vital for understanding their diverse electronic and physical properties.

TMDCs are in general formed by one transition metal atom (group IV, V, VI, VII, IX, and X) and two chalcogen atoms (S, Se, and Te) with the chemical formula of MX₂ (M=transition metal and X=chalcogen). Among these, the group V TMDCs, NbX₂ and TaX₂ are most attractive as they show interplay between the charge ordering (CDW) and the superconductivity both in the 1T and 2H phases [11]. The mechanism of charge ordering and the superconductivity in TMDCs are under debate. Fermi surface nesting [12], electron-phonon coupling [13], and the van Hove singularities [14] have been proposed as the origin of CDW ordering in these systems [15]. Though, the electronic properties are almost similar in both NbX₂ and TaX₂, their structural properties are rather different. That means, at room temperature, the bulk Ta(Nb)S₂ and Ta(Nb)Se₂ can be formed in the 1T and 2H phases with octahedral and trigonal prismatic coordination in the hexagonal crystal symmetry [4] having ($\sqrt{13} \times \sqrt{13}$) superstructure on the surface [16], while the bulk TaTe₂ and NbTe₂ are in the distorted octahedral coordination with the monoclinic crystal symmetry (1T' phase) having (1×3) superstructure on the surface [17]. Also at low temperatures, the surface of Ta(Nb)Te₂ goes into the (3×3) superstructure with 1T' phase intact [18–20]. Further,

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TaTe₂ shows IC-CDW phase below $T_{CDW} \approx 170K$ [13, 18, 19, 21], whereas NbTe₂ shows C-CDW order at room temperature but then turns into IC-CDW phase just above the room temperature [22, 23].

So far TaTe₂ and NbTe₂ are experimentally studied for their structural [5, 13, 17, 24], physical properties [18, 20], magnetic properties [25], and potential applications in the energy storage materials [26–28]. Further, unlike TaTe₂ which does not show superconductivity down to lowest possible temperature, NbTe₂ shows superconductivity below $\approx 0.5K$ [29]. Several theoretical calculations were performed to understand their structural transition and origin of CDW in these systems by studying the electronic band structure using the DFT calculations [13, 16, 20]. However, till date, one experimental ARPES report available on NbTe₂ [22] and none on TaTe₂. One quantum oscillation study discussing the speculative band structure of TaTe₂ is available [25]. Since ARPES studies are utmost needed to unambiguously understand the low-energy electronic structure of these systems, we performed ultra-high resolution ARPES studies on TaTe₂ to understand the origin of CDW transition and to examine whether it is a Dirac semimetal as speculated by the quantum oscillations [25].

In this chapter, we report on the low-energy electronic structure of $1T'$ -TaTe₂ using high-resolution angle-resolved photoemission spectroscopy (ARPES) and compare it with density functional theory calculations performed by our theoretical collaborator. We observe that the Fermi surface of $1T'$ -TaTe₂ is in the hexagonal symmetry, which is in contradiction to its monoclinic crystal structure. We observe a totally different electronic structure of TaTe₂ when compared with the isostructural compound NbTe₂. Further, despite being in the hexagonal symmetry the Fermi surface topology of TaTe₂ is quite different when compared to the isovalent compounds, TaSe₂ and TaS₂. To fully understand the experimentally obtained electronic band structure of TaTe₂, the surface states are disentangled from bulk with the help of the slab calculations performed by our theoretical collaborator. Thus, we realize that the surface states resemble the $2H$ phase electronic structure, while the bulk states replicate the $1T$ phase electronic structure of TaTe₂. This is an interesting discovery as TaTe₂ does exist neither in $1T$ phase nor in $2H$ phase as per the observation of crystal structure. We further notice that the $1T$ phase electronic structure shows substantial band dispersion in the k_z direction. We realize that the band structure of TaTe₂ is temperature independent above and below the CDW transition temperature.

4.2 Experimental Details

Single crystals of TaTe₂ were grown by chemical vapor transport method using iodine as transporting agent at IISER, Pune by our collaborator. The sample preparation

and storage were carried out in an Ar-filled glove box where the moisture and oxygen were maintained below 0.1 ppm. Polycrystalline sample of TaTe₂, obtained by reacting stoichiometric amounts of Ta powder (Sigma Aldrich , 99.9%, metals basis) and Te (ingot, 99.99%, metals basis, Alfa Aesar) under vacuum (10^{-5} Torr) at 750° C for 48 h, was used for the single crystal growth. The polycrystalline sample was then grinded in glove box, loaded and sealed under vacuum in a quartz ampoule together with the transporting agent (iodine – 5 mg/cm³). Subsequently, the ampoule has been placed in a three-zone furnace, where a gradient temperature of 50° C has been maintained between the source ($T_1 = 870^\circ$ C) and the sink zones ($T_2 = 820^\circ$ C) for a period of 12 days. By this procedure platelet shaped single crystals with a typical lateral dimensions of 10-15 mm were obtained.

The chemical purity and composition were confirmed using SEM (ZEISS Gemini SEM 500) equipped with an EDX probe. The phase purity of the single crystal was checked using X-ray diffraction (Bruker D8 diffractometer, Cu K α radiation) measurements.

ARPES measurements were carried out at 1³-ARPES end station using UE112-PGM2b beamline equipped with a Scienta R4000 analyzer having angular resolution better than 0.2° located in BESSY II (Helmholtz zentrum Berlin) synchrotron radiation center [30, 31] by Dr. Thirupathaiah Setti. Photon energies for the measurements were varied between 50 to 100 eV. The energy resolution was set between 5 and 15 meV depending on the excitation energy. Data were recorded at a chamber vacuum better than 1×10^{-10} mbar. Sample was cleaved *in situ* before the measurements. The sample temperature was kept at 1 K during the measurements. Temperature dependent ARPES data were recorded at the SIS beamline located in Swiss Light Source [32] equipped with a Scienta R4000 electron analyzer with an angular resolution of better than 0.2° by Dr. Thirupathaiah Setti. The energy resolution was set at 10 meV. The sample was cleaved *in situ* before performing the measurements. The base pressure was better than 1×10^{-10} mbar during the measurements.

4.3 Results and Discussions

The crystal structure of TaTe₂ in the 1*T'* phase with monoclinic crystal symmetry is shown in Figure 4.1a at high temperature ($T > 170$ K) and in Figure 4.1b at low temperature ($T < 170$ K) reproduced from Ref.[18]. As can be seen from Figures 4.1a and 4.1b the crystal symmetry does not change but only the lattice constants do alter above and below the CDW order transition temperature ($T \approx 170$ K). Figure 4.1c shows the hypothetical crystal structure of TaTe₂ in the 1*T* phase with the hexagonal crystal symmetry projected onto the *ab* plane. SEM image of TaTe₂ single crystal is shown

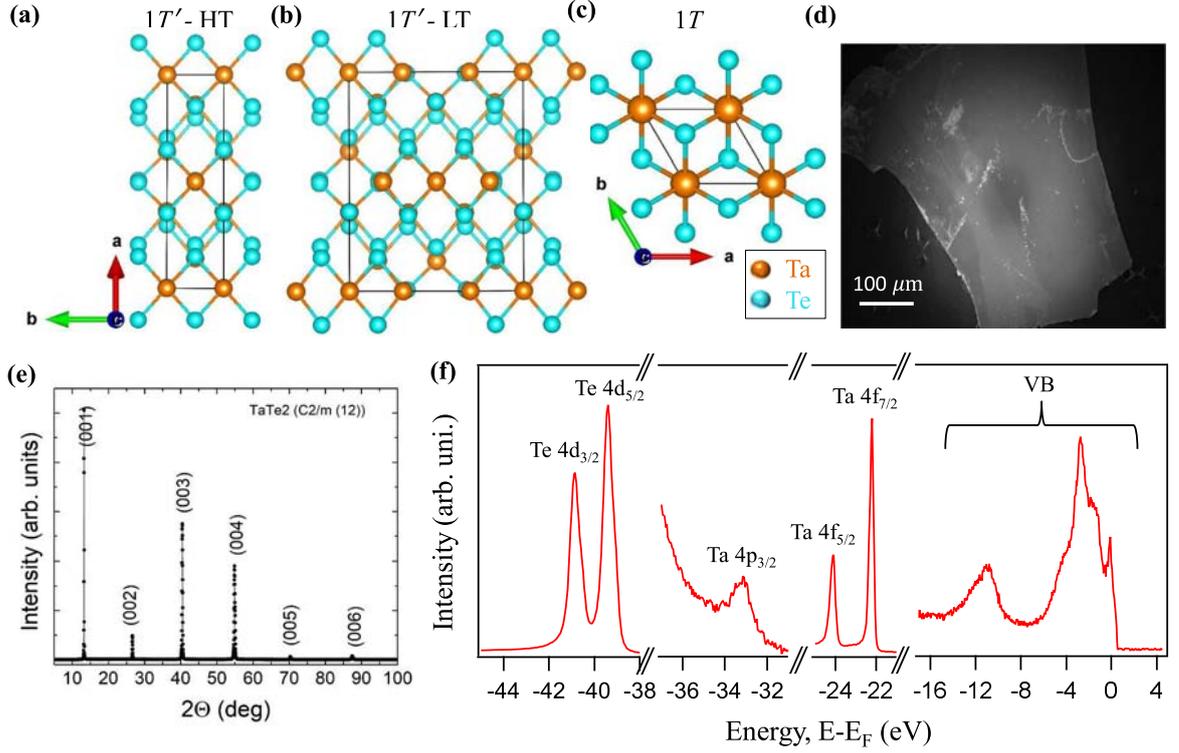


Figure 4.1: Distorted 1T phase (1T') or the monoclinic crystal structure of TaTe₂ at high temperature (a) and at low temperature (b). (c) Hypothetical 1T phase crystal structure of TaTe₂ projected onto the *ab*-plane. (d) Scanning electron microscope image of the 1T'-TaTe₂ single crystal. (e) X-ray diffractogram of the 1T'-TaTe₂ single crystal. (f) XPS measured with a photon energy of 100 eV. On the XPS, all core levels are identified either to Ta or Te atom.

in Figure 4.1d. From the EDX measurements, we derived the chemical composition as Ta_{1.06}Te₂. This suggests that there exists a 6% of excess Ta per unit cell. Such an excess amount of transition metal or chalcogen deficiency in these systems generally leads to excess electron carrier density. Otherwise, from the EDX and SEM data the sample is noticed as homogeneous. Figure 4.1e shows XRD pattern, measured at room temperature, indicating that TaTe₂ single crystal is crystallized in the space group of C2/m(12), with lattice parameters as $a = 14.76 \text{ \AA}$, $b = 3.63 \text{ \AA}$, $c = 9.32 \text{ \AA}$, and $\beta = 110.89^\circ$. The crystal surface normal is parallel to the *c*-axis, analogous to the earlier reports [20, 25]. Also, we performed XPS measurements on the cleaved TaTe₂ to check the purity of the sample, before performing the ARPES measurements as shown in Figure 4.1f. All observed core levels from XPS are referred to respective binding energies of Ta and Te atoms and no other impurity peaks are detected.

Fermi surface topology of TaTe₂ in the k_x - k_y plane is shown in Figure 4.2a, measured with a photon energy of 100 eV using *p*-polarized light at a sample temperature of 1K and corresponding constant energy contour taken at a binding energy of 100 meV below the Fermi level is shown in Figure 4.3a. As can be seen from these maps, the

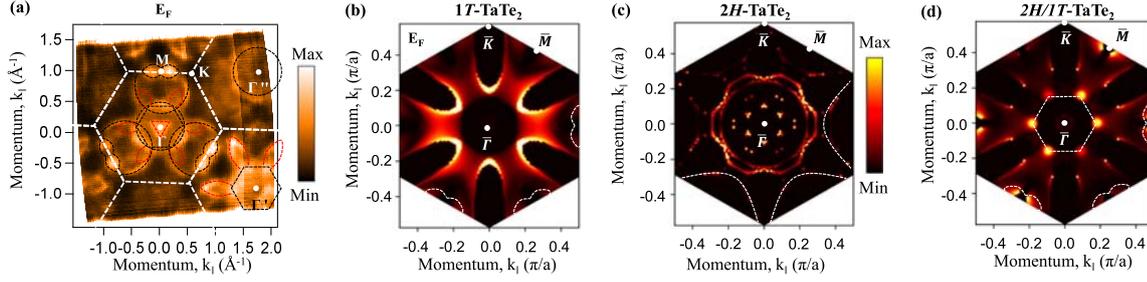


Figure 4.2: (a) Experimental Fermi surface map measured with a photon energy $h\nu = 100$ eV. (b), (c) and (d) Show the calculated spectral function distribution at the Fermi level plotted for semi-infinite slabs of $1T$, $2H$, and $2H$ -monolayer placed on top of the semi-infinite $1T$ -TaTe₂ slab, respectively.

Fermi pockets follow the hexagonal symmetry that is in contrast to its monoclinic crystal structure as observed from the XRD measurements (Figure 4.1e). The symmetry difference between the crystal and electronic structures could be related to the formation of bulk $(3 \times 1 \times 3)$ supercell structure as reported earlier in the case of isostructural $1T'$ -NbTe₂ [22]. Further, on the Fermi surface map, we could identify various Fermi pockets of circular-shaped, hexagonal-shaped, petal-shaped, half-circular-shaped, and triangular-shaped. In detail, at the Γ point we see a large circular-shaped Fermi pocket. Though we identify another large hexagonal-shaped Fermi pocket at the Γ point, due to the matrix element effects it is not very clear. But this hexagonal-shaped Fermi pocket is clearly visible as we move to the Γ' point. Further, at the Γ point we do find six petal-shaped, three half-circle-shaped and one triangular-shaped Fermi pockets. Interestingly, as we move away from Γ to the Γ' point we find a large hexagonal-shaped Fermi pocket that is not clear at the Γ point. Also, at Γ' , the size of the petal-shaped Fermi pockets is significantly enhanced compared to their size at Γ . We did not observe any half-circle-shaped Fermi pockets at Γ' . Next, at Γ'' , we could readily observe one circular-shaped Fermi pocket of the same size to the one at Γ and with a careful observation we could also find the half-circular-shaped Fermi pockets. At the M point, we observe peanut-shaped Fermi pocket. Due to the matrix element effects out of six peanut-shaped Fermi pockets, only three are readily visible due to their high spectral intensity and the other three peanut-shaped pockets exist with reduced spectral intensity.

Since the ARPES is a surface sensitive technique, inevitably, it probes the surface electronic structure in addition to the bulk electronic structure. Therefore, it is crucial to disentangle the surface electronic structure from the bulk resulted from the surface reconstruction due to crystal cleavage under ultra-high chamber vacuum. For this purpose, slab calculations of TaTe₂ in its $1T$ -phase, $2H$ -phase, and $2H$ -phase monolayer on top of the bulk $1T$ -phase (hybrid-phase) TaTe₂ have been performed using the density functional theory by our theoretical collaborator. Thus, Figures 4.2b, 4.2c,

and 4.2d are the calculated spectral function intensity plots in the k_x - k_y plane at the Fermi level from the top surface layer of the semi-infinite slabs of $1T$, $2H$, and $2H$ -monolayer placed on top of the semi-infinite $1T$ -TaTe₂ slab (hybrid-phase), respectively. On comparing the experimental Fermi surface with those obtained from the slab calculations, we can find a qualitative agreement between experiment and theory. For instance, the circular-shaped and half-circular-shaped hole pockets are reproduced from the $2H$ -phase. The peanut-shaped hole pocket can be noticed very well from the hybrid-phase, though we can see it from the $1T$ -phase with reduced intensity. Next, the hexagonal-shaped hole pocket is visible only in the hybrid-phase. Nevertheless, the triangular-shaped electron pocket and the petal-shaped hole pockets are not visible from any of these slab calculations, possibly due to their bulk origin.

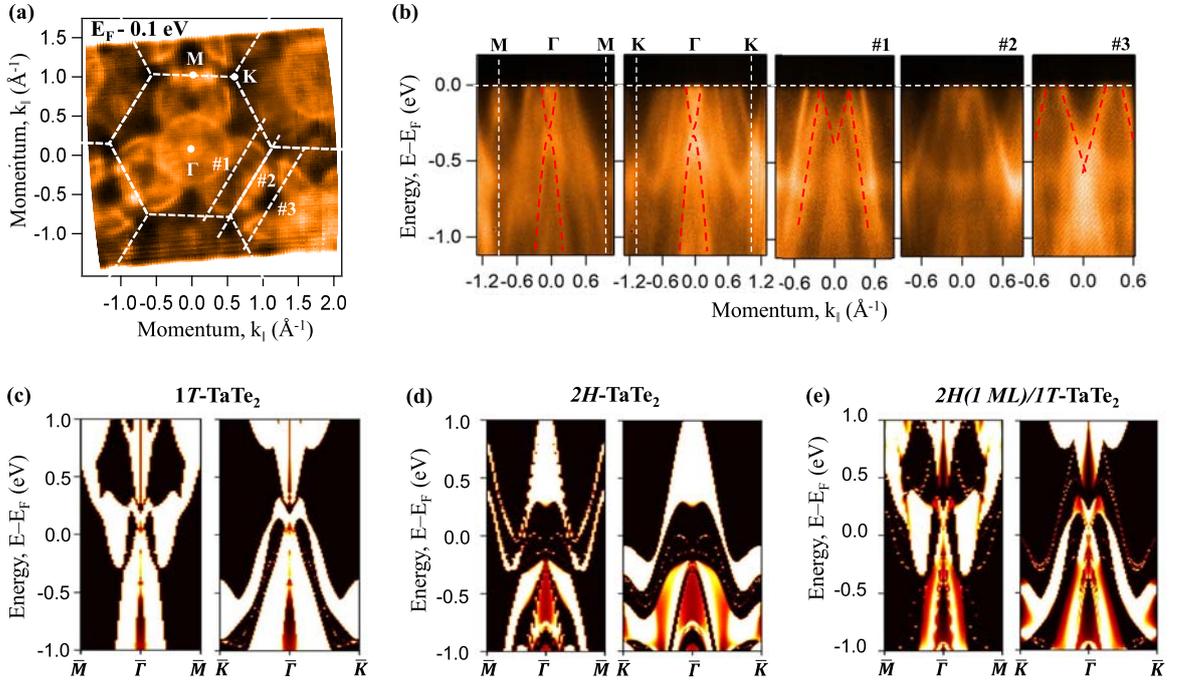


Figure 4.3: (a) Constant energy contour map taken at binding energy of 0.1 eV below E_F measured with a photon energy $h\nu = 100 \text{ eV}$ (b) EDMs taken along Γ - K , Γ - M , and along the cuts #1-#3 as shown in (a). (c), (d), and (e) are the calculated energy distribution maps along the high-symmetry lines of $\overline{\Gamma M}$ and $\overline{\Gamma K}$ plotted for the semi-infinite slabs of $1T$, $2H$, and $2H$ -monolayer placed on top of the semi-infinite $1T$ -TaTe₂ slab, respectively.

To elucidate the nature of band dispersions contributing to the Fermi pockets, we plotted in Figure 4.3b the experimentally obtained EDMs taken along ΓK , ΓM , #1, #2, and #3 directions as shown in Figure 4.3a. From these EDMs one can realize that at the Γ point the triangular shaped Fermi sheet has an electronlike band dispersion, while the larger circular- and hexagonal-shaped Fermi sheets have the holelike band dispersions. From the EDM cut #1 we can see that the petal-shaped and half-circle-

shaped Fermi sheets have the holelike band dispersions. Similarly, from the EDM cut #2 we find that the peanut-shaped Fermi sheet at the M point has the holelike band dispersions. Next, the EDM cut #3 identifies holelike band dispersion for the petal-shaped Fermi sheet corresponding to Γ' . Note here that the Fermi vector of the petal-shaped hole pocket is negligible at Γ as it does not cross the Fermi level, however, it has a finite Fermi vector of 0.1\AA^{-1} near the Γ' point. Therefore, the petal-shaped hole pocket has significant k_z dispersion and must be originating from the bulk band structure. Similarly, the triangular-shaped hole pocket at Γ totally disappears at Γ' which is possible only if it is of the bulk nature. Thus, from our experimental data we can unambiguously conclude that the petal-shaped and triangular-shaped Fermi sheets are originated from the bulk bands, while the rest of Fermi sheets are originated from the surface bands. Importantly, overall, the experimental band dispersions are qualitatively in agreement with the calculated band structure of $2H$ (1 ML)/ $1T$ -TaTe₂ as shown in Figure 4.3e. In addition, for a better understanding of the calculated band dispersions, we plotted individual EDMs along the high-symmetry lines of $\overline{\Gamma M}$ and $\overline{\Gamma K}$ from the semi-infinite slab of $1T$ -TaTe₂ (see Figure 4.3c) and $2H$ -TaTe₂ (see Figure 4.3d). Thus, from Figure 4.3d it is clearly evident that the experimental band dispersion noticed at the M point (see Figure 4.3b) is originated from the $2H$ phase.

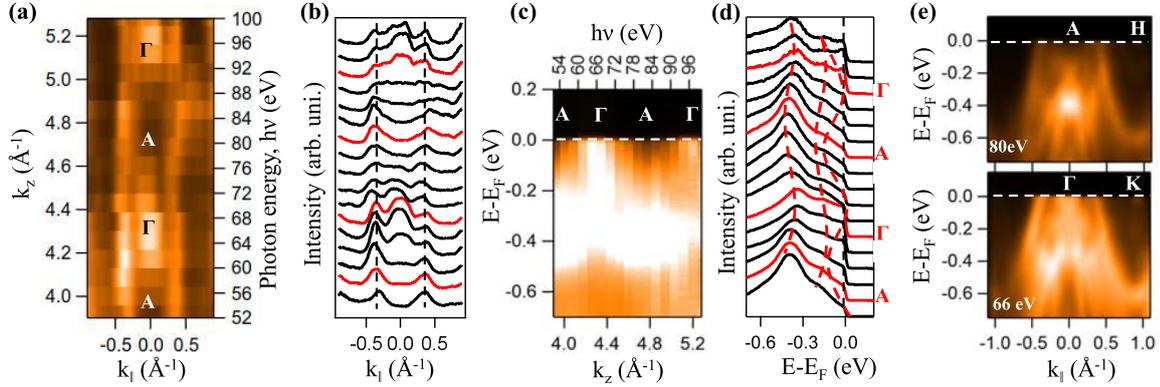


Figure 4.4: Out-of-plane (k_z) electronic structure of TaTe₂ measured at 1K. (a) k_z Fermi surface map in $\Gamma K H A$ plane. (b) Photon energy dependent momentum distribution curves extracted from (a). (c) EDM taken along the Γ - A high symmetry line. (d) Photon energy dependent energy distribution curves extracted from (c). (e) EDMs taken from Γ - K and A - H high symmetry lines.

Figure 4.4 shows out-of-plane (k_z) ARPES measurements on TaTe₂. Figure 4.4a shows k_z Fermi surface map in k_{\parallel} - k_z plane measured with photon energy ranging from 52 to 100 eV with a step of 3 eV. High symmetry points Γ and A are located on the k_z map. Figure 4.4b depicts the photon energy dependent MDCs. From Figure 4.4b we can notice mainly three peaks, out of which two peaks (shown by the vertical-dashed lines) always present irrespective of the applied photon energy while the middle-peak appears significantly at the photon energies of 66 and 94 eV and then the peak

intensity gradually decreases as we move away from these photon energies to totally disappear at photon energies of 52 and 80 eV. Figure 4.4c shows EDM taken along the Γ - A direction. From Figure 4.4c we can notice that the band is crossing the Fermi level near Γ . Observation of the experimental k_z dispersions along the Γ - A high symmetry line are in very good agreement with the bulk band structure calculations of $1T$ -TaTe₂ (see Figure 4.5) performed by our theoretical collaborator. Figure 4.4d shows EDCs extracted from the EDM shown in Figure 4.4c. EDMs along Γ - K and A - H orientation are shown in Figure 4.4e. Following the equation $k_z = \sqrt{\frac{2m}{\hbar^2}(V_0 + E_k \cos^2 \theta)}$ and considering the inner potential $V_0 = 11 \pm 2$ eV with a lattice constant $c = 9.30 \text{ \AA}$ of low temperature [18], we identified that the Γ point can be probed with photon energies $h\nu = 66$ and 92 eV, while the A point can be probed with photon energies 54 and 80 eV. Here, m is the rest mass of electron, \hbar is the Planck's constant, E_k is the photoelectron kinetic energy, and θ is photoelectron emission angle with respect to the sample surface normal.

The 3D view of the calculated Fermi surface topology of bulk TaTe₂ in $1T$ and $2H$ are plotted in Figure 4.5 a and c, respectively to compare the experimental results with theory. Our ARPES data qualitatively agree with bulk $2H$ -TaTe₂ where one can find the circular-shaped, and half-circular-shaped hole pockets at the Γ point. The petal-shaped hole pocket noticed in $1T'$ -TaTe₂ is consistent with bulk $1T$ -TaTe₂. Though the triangular shaped electron pocket is not observed from the $1T$ -TaTe₂. Further, for better understanding of electronic band structure, the electronic band structure of bulk TaTe₂ in $1T$ and $2H$ are plotted in Figure 4.5 b and d, respectively. The experimental k_z dispersions along the Γ - A high symmetry line (see Figure 4.4c) are in very good agreement with the bulk band structure calculations of $1T$ -TaTe₂ as shown in Figure 4.5b. This observation suggests that triangular shaped electron pocket is coming from bulk origin.

Since TaTe₂ shows CDW order at 170 K [11], we have measured the ARPES data above and below the CDW transition temperature to understand the effect of electronic band structure on the CDW order. Figure 4.6 shows the FS maps measured with 100 eV photon energy using p polarized light. Left panel in Figure 4.6 shows the FS map measured at a sample temperature of 20 K, middle panel is the FS map measured at 180 K, and right panel is the FS map measured at 20 K immediately after cooling down from 180 K. As can be noticed from Figure 4.6, the FS topology hardly changes across the CDW transition temperature, except that over a full temperature cycle the spectral intensity reduced for the surface states (see right panel) due to sample aging.

Next, we compare the experimental band structure of TaTe₂ with the existing literature on the isovalent compounds like, $1T$ -TaS₂, $1T$ -TaSe₂ and the isostructural compound $1T'$ -NbTe₂. Despite being the FS topology of these compounds in the hexago-

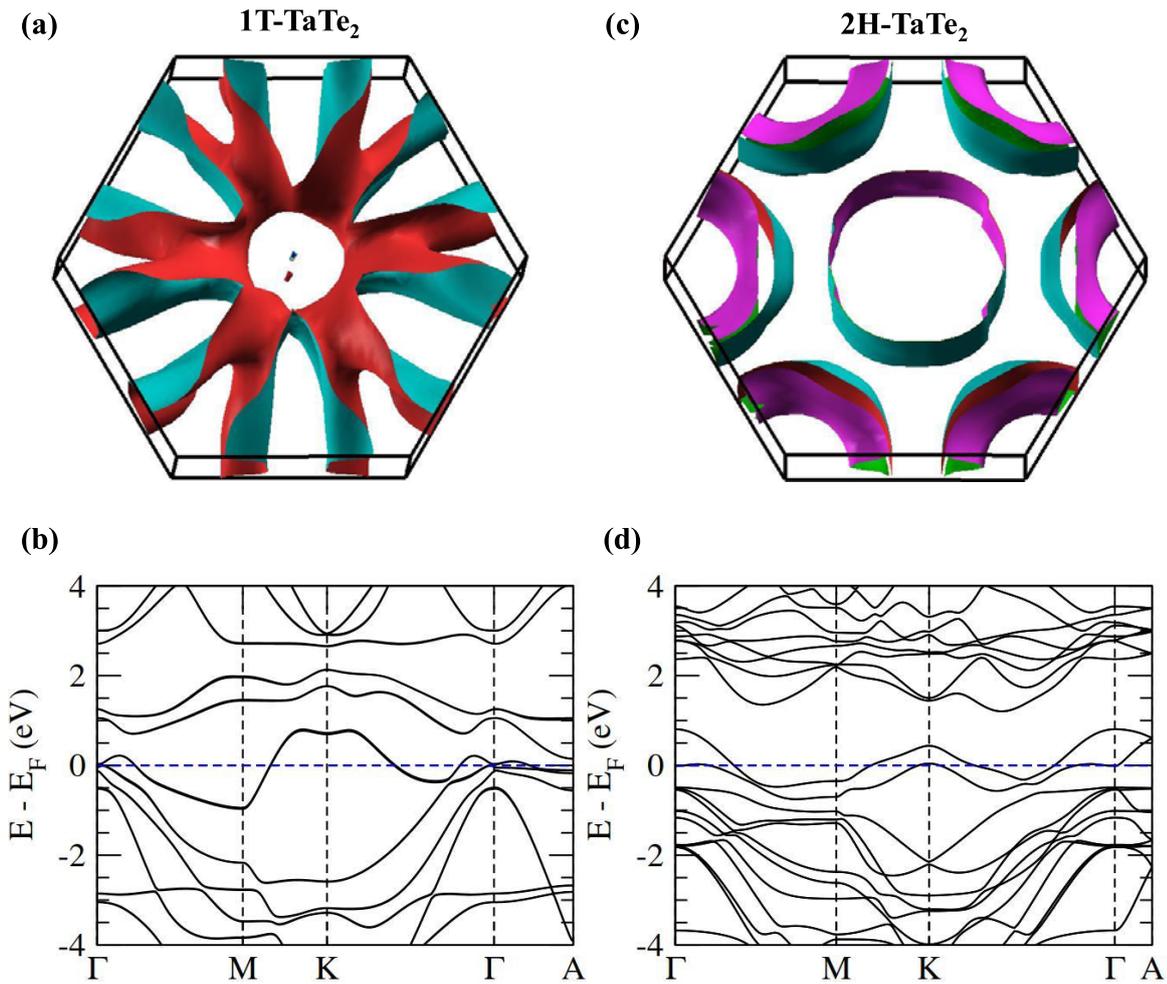


Figure 4.5: (a) 3D view of the calculated Fermi surface topology of bulk $1T$ -TaTe₂. (b) Electronic band structure of bulk $1T$ -TaTe₂. (c) 3D view of the calculated Fermi surface topology of bulk $2H$ -TaTe₂. (d) Electronic band structure of bulk $2H$ -TaTe₂.

nal symmetry we do not find any qualitative agreement with TaTe₂. We identify one circular-shaped and one hexagonal-shaped hole pockets at the Γ point from TaTe₂, whereas no such circular-shaped hole pockets have been found from $1T$ -TaS₂ [33], $1T$ -TaSe₂ [34, 35], and $1T'$ -NbTe₂ [22]. However, the petal-shaped hole pocket noticed in $1T'$ -TaTe₂ is consistent with these systems. Further, the electronic band dispersions of TaTe₂ do neither agree with TaSe₂ nor with TaS₂ which are formed by the isovalent substitution of Se/S at the Te site. This is rare to find drastic changes in the electronic structure by isovalently replacing the chalcogen atom in the TMDCs. It is known that a relative shifting of the valance and conduction bands with isovalent substitution takes place [9]. Hence, understanding the electronic structure of TaTe₂ has become very complex by simply comparing with the $1T$ -phase of the other similar systems. Importantly, we notice from the total energy calculation of slab $1T$ -TaTe₂ (20 monolayers) that the top surface layer of $1T$ -TaTe₂ favourably transforms into the $2H$ -phase. Moreover, the

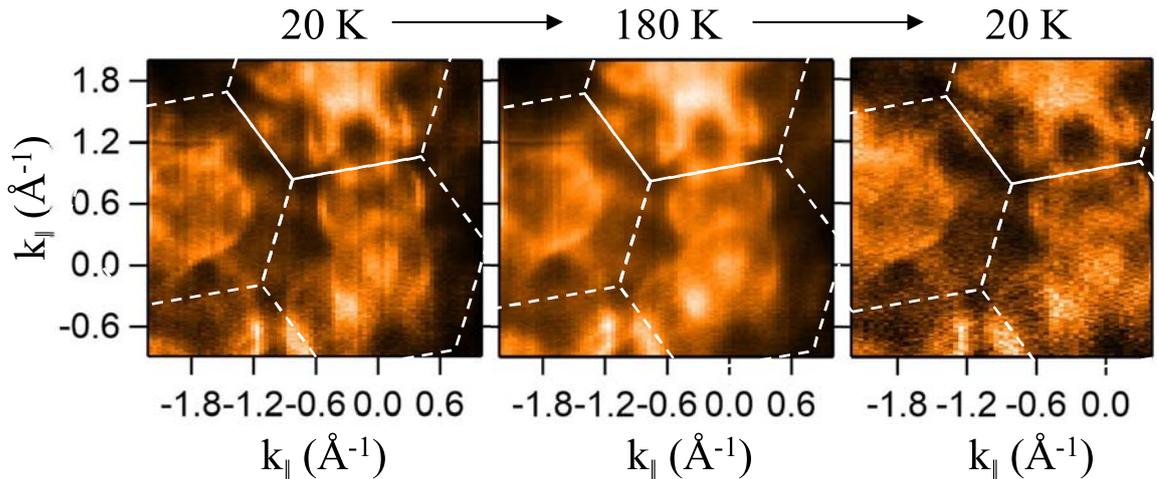


Figure 4.6: Temperature dependent Fermi surface (FS) topology of TaTe₂. FS measured at 20 K (left), 180 K (middle), and 20 K after cooling down from 180 K (right).

calculated spectral function of the stand alone $2H$ phase monolayer and the $2H$ phase surface monolayer on top of the semi-infinite $1T'$ - phase slab, matches quite well with our ARPES data. In addition, our ARPES data qualitatively agree with the ARPES data of $2H$ -TaSe₂ [36, 37] and $2H$ -TaS₂ [38] where one can find the circular-shaped, half-circular-shaped, and hexagonal-shaped hole pockets at the Γ point. Therefore, the surface states observed on top of the bulk $1T'$ -TaTe₂ from our studies are originated by the surface reconstruction to form $2H$ -TaTe₂.

Finally, we notice that the band structure of TaTe₂ hardly changes across the CDW transition temperature ($T_{CDW} \approx 170\text{K}$) as shown in Figure 4.6, thus, ruling out the Fermi surface nesting mechanism as the origin of CDW ordering in this system. Perhaps, the electron-phonon scattering could be the reason for CDW phase in TaTe₂ as discussed in the previous reports [16, 22]. Also, it is worth to mention here that the $2H$ -phase surface layer is stable even after raising the sample temperature up to 180 K and moving to back 20 K as the Fermi surface topology does not change much with the temperature. An earlier quantum oscillations study on TaTe₂ suggested the presence of topological Dirac cone in this system [25]. But from our systematic ARPES measurements and DFT calculations, we do not observe any signature of the suggested Dirac cone. On the other hand, some of the transport studies on TaTe₂ reported it to be a semimetal [25, 39, 40]. Our experimental studies qualitatively support this argument, if we ignore the large number of surface states, as we observe a bulk triangular-shaped electron pocket at the Γ point and six petal-shaped hole pockets surrounding it.

4.4 Conclusions

In conclusion, we have systematically studied the low-energy electronic structure of layered Tantalum ditelluride ($1T'$ -TaTe₂) using angle-resolved photoemission spectroscopy and compared with density functional theory performed by our theoretical collaborator. We find that the Fermi surface topology of TaTe₂ is rather different when compared to the isovalant compounds of TaTe₂ such as TaS₂, TaSe₂, and isostructural compound like NbTe₂. Interestingly, we realize that the surface electronic structure of $1T'$ -TaTe₂ has more resemblance to the $2H$ -TaTe₂, while the bulk electronic structure $1T'$ -TaTe₂ has more resemblance to the $1T$ -TaTe₂. These experimental observations are systematically compared with our DFT calculations performed on $1T$ -, $2H$ - and $2H$ (monolayer)/ $1T$ -TaTe₂. We further notice that the Fermi surface topology is temperature independent up to 180 K, confirming that $2H$ phase on the top layer is very stable and the CDW order is not due to the Fermi surface nesting.

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Chapter 5

Magneto-transport and ARPES Studies on type-II Dirac semimetal, NiTe₂

5.1 Introduction

Discovery of Dirac and Weyl semimetals in condensed matter physics has attracted much attention from the research community. TMDCs are widely known for their diverse electronic properties including exotic topological states, such as, topological insulator [1, 2] or topological semimetals [3, 4]. Interestingly, in these systems, physical and electronic properties can be tuned by changing the sample thickness, twisting-angle between layers, making hetero-structures or by applying external perturbations like stress, strain, and pressure [5–15]. These systems are also considered to be quasi two-dimensional layered systems due to the weak van der Waals forces between the layers [16]. Among these, group X TMDC compounds, XZ₂ (X = Ni, Pd, Pt; Z = Se, Te) are of great research interest as they show linearly dispersive Dirac surface states [17–32]. Among them, PdTe₂ is a superconductor having a type-II Dirac point below the Fermi level. ARPES measurements on PdTe₂ clearly show a type-II Dirac point at a binding energy of 0.5 eV and another surface Dirac point at a binding energy of 1.7 eV [23]. Also, PtTe₂ and PtSe₂ are type-II Dirac semimetals [18–20, 25]. ARPES measurements on these systems show a Dirac point at a binding energy of 0.86 eV for PtTe₂ and 1.48 eV for PtSe₂ [20]. Deep topological surface states along with another Dirac cone are also observed in PtTe₂ and PtSe₂ [20, 25]. There are several ARPES measurements on PtTe₂, PtSe₂ and PdTe₂ suggesting that these are Dirac semimetals [20, 23–25]. On the other hand, so far only one report was available on the ARPES studies of NiTe₂ with no discussion on the presence of Dirac point

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in this system. They reported a comparative study among NiTe₂, PdTe₂ and PtTe₂. The valence band, composed of d-orbitals of transition metal, shifts to higher binding energy upon going from Ni to Pt [32]. Another theoretical study on NiTe₂ reported a pair of Dirac nodes in NiTe₂ very close to the Fermi level [27]. The calculated band structure shows a Dirac point at an energy of 0.08 eV above the Fermi level and surface state calculations using Wannier functions show another Dirac point at a binding energy of 1.6 eV below the Fermi level, connecting the bulk and surface state. Also, a quantum oscillations study revealed the existence of a nontrivial Berry phase connected with Dirac fermions [27]. In addition, it shows a large linear anisotropic MR and planar Hall effect as observed from the magneto-transport measurement [27, 31]. The non-saturating linear MR at higher magnetic fields is a novel phenomenon, which has potential applications in the spintronic technology such as magnetic field sensors and magnetic memory devices [33].

ARPES is a sophisticated tool to probe the electronic structure of a system directly. Hence, motivated by the previous theoretical study [27], we have performed ARPES measurements on NiTe₂ to observe the predicted Dirac surface states experimentally. Electrical transport in metals and semiconductors is one of the basic and frontline properties in the condensed matter systems as it consists of important information on the electronic phase transitions [34, 35]. Hence, keeping the literatures on NiTe₂ in the mind, we successfully synthesized NiTe₂ single crystals and performed electrical-transport and magneto-transport measurements to understand the electronic properties. The system is found to be metallic with dominant s-d electron-phonon scattering. The calculated Debye temperature using BG formula is $\Theta_D \approx 230$ K. Below $T \approx 25$ K, the BG fitting deviates from experimental data. A small resistivity up-turn is observed at low temperature possibly due to the presence of electron-electron interaction [36, 37].

5.2 Experimental Details

High quality single crystals of NiTe₂ were synthesized using flux-growth method with Te as a flux at IISER, Pune by our collaborator. Also, we have synthesized single crystals of NiTe₂ following the same method. High purity nickel (Alfa Aesar, metal basis Ni, 99.9%) and tellurium (Sigma Aldrich, metal basis Te, 99.997%), taken in a molar ratio of 1:8, were thoroughly mixed in an argon filled glove box. The precursors mixture was then sealed in a quartz ampoule under vacuum. The quartz ampoule was then slowly heated to 950°C for 10h, kept there for 48h to ensure homogenization and then slowly cooled to 595°C at a rate of 3°C/h. At this temperature the ampoule was removed from the oven. Then we separated large dark – grey single crystals with typical

lateral dimensions of 10 mm × 9 mm from the flux using surgical scalpel blade. The crystal purity, morphology, chemical composition were confirmed using SEM (Quanta 250 FEG) equipped with an EDX probe. The phase purity of the grown crystals was checked using X-ray diffraction (PANalytical X'Pert PRO diffractometer with Cu k_α-radiation).

ARPES measurements were carried out at the ARPES experimental station, Indus-2, RRCAT, Indore using a He light source of photon energy 21.2 eV and an energy resolution of 10 meV. The sample was cleaved in situ in the sample preparation chamber to get a clean and smooth surface. Then data were recorded at a chamber vacuum of the order of 9×10^{-11} mbar and the sample temperature was kept 15 K during the experiment.

Electrical transport were done in a PPMS (Quantum Design PPMS-9T) using a standard four-probe method, with the electrical current applied along the plane of sample (*ab*-plane). A magnetic field was applied at different polar angles with the axis perpendicular to the *ab*-plane for magneto-transport measurements up to 9 T. Four copper (Cu) leads were connected to the sample by vacuum compatible silver epoxy (Epo-Tek H2OE). The sample temperature was varied between 2 K and 310 K during the transport measurements.

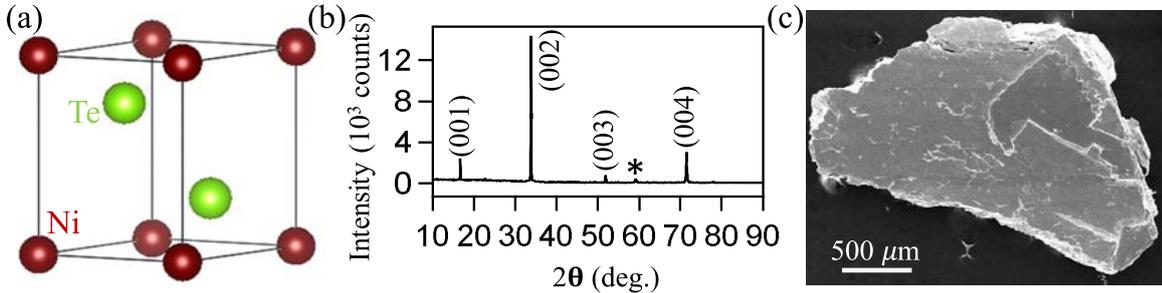


Figure 5.1: (a) Trigonal crystal structure of NiTe₂. (b) XRD of NiTe₂ single crystal. (c) Scanning electron microscope image of NiTe₂ single crystals.

5.3 Results and Discussions

Figure 5.1 (a) shows the trigonal crystal structure of NiTe₂. Figure 5.1 (b) shows XRD peaks. The strong diffraction peak can be indexed as (00*l*). The NiTe₂ single crystals crystallize in the 1*T* phase with space group $P3\bar{m}1$ (164). From EDX measurements, we derived the chemical formula Ni_{0.99}Te_{2.0} suggesting that there is a 1% deficiency of Ni (excess of Te) from the stoichiometric composition. Such an excess of Te or deficiency of Ni in these systems generally leads to excess hole carrier density. From the SEM as shown in Fig. 5.1 (c) and EDX we noticed that the sample is homogeneous.

Temperature dependent resistivity data is shown in Fig. 5.2(a), measured within the temperature range of 2 to 310 K. The resistivity data is fitted with the BG formula 5.1.

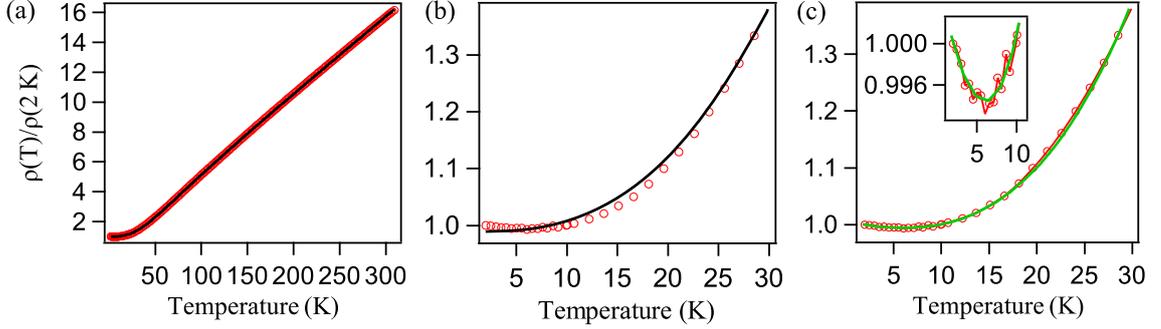


Figure 5.2: (a) Temperature dependent zero-field in-plane electrical resistivity normalized to the residual resistivity of NiTe₂. Black curve is the fitting result of Eq. 5.1. (b) Zoomed-in image of (a) below 30 K. (c) Temperature dependent zero-field in-plane electrical resistivity normalized to the residual resistivity plotted below 30 K. Green curve is the fitting result of Eq. 5.2. Inset shows small resistivity upturn below 10 K.

$$\rho(T) = \rho(0) + \alpha(T/\theta_D)^n \int_0^{\theta_D/T} \frac{x^n dx}{(e^x - 1)(1 - e^{-x})}. \quad (5.1)$$

In the above expression the first term, $\rho(0)$ is temperature independent residual resistivity due to impurity scattering and second term mainly discusses about various electronic interactions. α is a constant, proportional to the interaction strength and θ_D is the Debye temperature. Here, $n = 2$ suggests the electron-electron interaction, $n = 3$ suggests the electron-magnon or s-d interband electron scattering, and $n = 5$ suggests the electron-phonon interaction [38]. From the fitting, we obtained the value of $n = 2.8 \pm 0.1$, which is close to $n = 3$. Generally, T^3 dependence of resistivity ($n = 3$) due to the electron-magnon scattering is observed in antiferromagnets [39]. Since NiTe₂ is a paramagnetic semimetal [40], electron-magnon scattering may not be the origin of T^3 dependence of resistivity. Therefore, the resistivity of NiTe₂ is dominated by s-d electron-phonon scattering [41–43]. Many other TMDCs like 2H-NbS₂, 2H-NbSe₂, T_d-WTe₂ also show a T^3 dependence of the resistivity at low temperature [44, 45]. From the fitting we obtained the value of $\theta_D = 230 \pm 2$ K which is in excellent agreement with the value of $\theta_D = 230$ K obtained from the heat capacity measurements on this system [27]. The linear temperature dependence of resistivity at high temperatures suggests that electron-phonon (e-ph) scattering is the dominant scattering mechanism at high temperatures. As can be noticed from Fig. 5.2(b), below 25 K, the fitting is not perfect. A small resistivity upturn at low temperature is shown in the inset of Fig. 5.2(c). This low temperature upturn may arise due to (i) the Kondo effect [46], (ii) WL [47], and (iii) EEI [36]. The resistivity upturn decreases with increasing applied magnetic field in the case of a Kondo effect or a WL. Isothermal MR curves of NiTe₂

single crystal at 2K and 10K are overlapping each other perfectly within 0 to 9T (MR at 10K is not shown). This indicates that the resistivity upturn remains the same for an applied magnetic field in the range from 0T to 9T. Also, we fitted this region with Kondo equation [46] and WL using Eq. 5.2 with $p = 2, 3, 3/2$ [47].

$$R = a + bT^3 - cT^{p/2}. \quad (5.2)$$

Apparently, these fittings are not compatible with our experimental data. Thus, ruling out WL and Kondo effect as the origin of the low temperature resistivity upturn. The electron-electron interaction is field-independent [46, 48]. The low temperature region is best fitted with Eq. 5.2 with $p=1$ as shown by the green curve in Fig. 5.2(c). A $T^{1/2}$ behavior of resistivity is expected to arise from electron-electron interaction in disordered systems [36, 37].

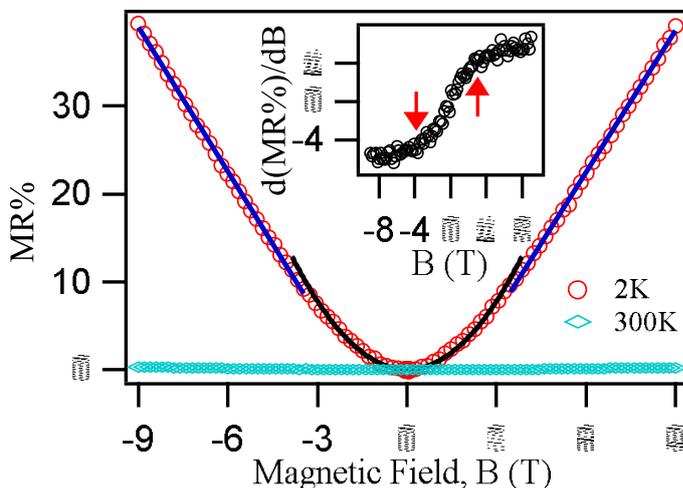


Figure 5.3: Transverse MR at 2 K and 300 K. Black solid line is parabolic fit and blue solid lines are linear fit. The inset shows the first derivative of the MR at 2 K.

Next, from the data shown in Fig. 5.3, we observe that the MR significantly changes with B at low temperatures. However, at room temperature the MR is negligible and almost constant with B, consistent with earlier reports [27, 31]. We further observe that the MR changes from quadratic to linear at an applied magnetic field of 3.5 T. This could be due to the topological properties of the system [27, 28, 30–32, 49]. In general, normal metals show quadratic MR dependence on B and get saturated at higher fields [46]. In case of some topological semimetals, the MR shows an unusual linear dependency without saturation at higher fields due to quantum effects [41, 50]. Here the MR can be written as $MR = \frac{\alpha B^2}{\beta + B^2} + \gamma|B|$ [27, 33]. The inset of Fig. 5.3 clearly shows a change in slope ($\frac{dMR\%}{dB}$) at ≈ 3.5 T (indicated by the red arrows). This kind of MR crossover from a quadratic to a linear dependence on B is also observed in other systems such as the topological insulator Bi₂Se₃, or the semimetal antimony

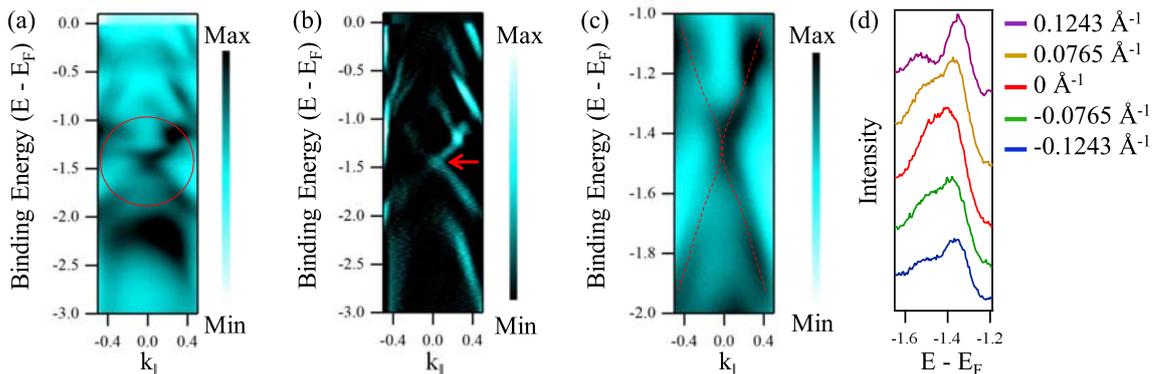


Figure 5.4: (a) EDM of NiTe₂ single crystal measured using photon energy of 21.2 eV at a sample temperature 8.9 K. (b) Second derivative of (a). (c) Zoomed-in image of the region marked by red dotted circle in (a). (d) EDCs of (a) taken at different momentum values.

crystal [48, 51]. Therefore, the MR crossover from classical quadratic dependence on B at lower fields to non-saturating linear dependence on B at higher fields is mainly due to the linear band dispersions near the Fermi level [27, 28, 30, 49].

In order to understand the electronic structure of NiTe₂ we measured an EDM. Figure 5.4(a) shows the EDM which reveals that it is metallic as the bands are crossing the Fermi level. An interesting feature indicating a conical band dispersion is observed at a binding energy near about 1.45 eV as shown by the red dotted circle. Our EDM supports the calculated surface spectrum using Wannier functions along $K - \Gamma - K$ direction as reported earlier [27]. In this work a type-II bulk Dirac point at 0.08 eV was predicted above the Fermi level along the Γ to A direction and a conical surface band dispersion at a binding energy of 1.6 eV, using a first principle calculation. Observing the type-II Dirac point above the Fermi level using ARPES is not possible. In Fig. 5.4(b) we performed a second derivative of the EDM. This figure clearly reveals the existence of Dirac point marked by red arrow. This figure exactly measures the position of Dirac cone at a binding energy of 1.45 eV which is 0.15 eV lower than the calculated value previously reported. This crystal has 1% excess Te, it is self-doped with hole carriers. Excess hole lowers the position of the Fermi level. This could be a possible reason for this slight deviation of the experimental value from the theoretical value. Figure 5.4(c) is a zoomed-in image of the region encircled by the red circle in Fig. 5.4(a) for better visualization of the Dirac states. Figure 5.4(d) is the plot of EDCs taken from Fig. 5.4(a) near the band crossing point for momentum values 0.1243, 0.0765, 0, -0.1243, and -0.0765 Å⁻¹ within an energy range of -1.65 eV to -1.19 eV. From the EDCs we can see only one peak at a binding energy near about 1.45 eV for momentum value 0 Å⁻¹. The observation of Dirac point is further confirmed from the EDCs shown in Fig. 5.4(d).

5.4 Conclusions

In conclusion, we have grown single crystals of NiTe₂ and performed electrical transport and magnetotransport studies on these single crystals. The BG fitting to the resistivity data suggests of a dominant s-d electron-phonon scattering in these systems [38]. Further, we estimated a Debye temperature of 230 K. Also, from the magnetotransport studies, we observe a crossover from quadratic to linear dependence on the applied magnetic field as we increase the field. Also, we have done ARPES measurements to understand the electronic structure. A similar electronic structure was observed in its sister compounds including PdTe₂, PtTe₂ and PtSe₂. All of them show a pair of Dirac points. For PdTe₂, a type-II Dirac point is observed below the Fermi level at a binding energy of 0.5 eV and another Dirac point at a binding energy of 1.7 eV. For NiTe₂ our measured value is more close to the Fermi level when compared with PdTe₂. The origin of surface states in these samples is due to the nontrivial \mathbb{Z}_2 topology, induced by band inversion. Later, the position of Dirac cone at 1.45 eV is confirmed by other ARPES studies as well [28, 30].

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CHAPTER 5. MAGNETO-TRANSPORT AND ARPES STUDIES ON TYPE-II DIRAC SEMIMETAL, NiTe₂

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CHAPTER 5. MAGNETO-TRANSPORT AND ARPES STUDIES ON TYPE-II DIRAC SEMIMETAL, NiTe₂

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CHAPTER 5. MAGNETO-TRANSPORT AND ARPES STUDIES ON TYPE-II DIRAC SEMIMETAL, NiTe₂

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Chapter 6

Electrical-transport, Magnetotransport, and Magnetic Properties Studies of VX_2 ($X = \text{Se}$ & Te) Single Crystals

6.1 Introduction

For more than six decades, the TMDCs are widely discussed in the verge of the ground state properties like CDW and superconductivity [1–9]. Among them, from the group V TMDCs, the ditelluride-based compounds are isostructural to each other having the monoclinic crystal structure with $1T'$ phase below 300 K [10–15], while the diselenide- and the disulfur-based compounds are available in the trigonal crystal structure with $1T$ or $2H$ phase [16–21]. Despite being isostructural, these compounds show differing electronic and magnetic properties. For instance, $V\text{Te}_2$ shows a normal to C-CDW transition at 474 K [13, 22, 23], while NbTe_2 shows an IC-CDW transition at 550 K and IC-CDW to C-CDW transition at room temperature [11, 24], in addition to a superconducting transition (T_c) at ≈ 0.5 K [25, 26]. On the other hand, TaTe_2 shows a normal to IC-CDW transition at 170 K [27–29]. Similarly, $V\text{Se}_2$ shows an IC-CDW transition at around 110 K and an IC-CDW to C-CDW at 70 K [30–33]. NbSe_2 shows an IC-CDW transition at 33 K and superconductivity at 7.2 K [34]. TaSe_2 shows an IC-CDW transition at 600 K and a C-CDW transition at 473 K in the $1T$ phase [35], while an IC-CDW transition at 122 K and a C-CDW transition at 90 K is found in the $2H$ phase [16, 36]. $V\text{S}_2$ is reported to show a CDW transition below 304 K [21, 37, 38].

Though NbS_2 is not found to show a clear CDW order, recently it was suggested to be at the verge of a CDW order following the diverse electronic properties in NbS_2 [20, 39]. On the other hand, TaS_2 shows an IC-CDW phase below 550 K and a NC-

Most of this chapter is communicated for publication.

CDW phase below 350 K and a C-CDW phase was found below 180 K in the 1T phase [40], while a short range CDW transition is found below 75 K in the 2H phase [41]. Magnetotransport studies on TaTe_2 and NbTe_2 suggest a linear dependence of the MR [11, 27], while a quadratic MR is found for VTe_2 [42]. In contrast to TaTe_2 and NbTe_2 , VTe_2 shows a weak ferromagnetic ordering at low temperature. As a result, VTe_2 is found to show a resistivity upturn due to the Kondo effect [33, 42–44]. However, the resistivity upturn found in VSe_2 is still under debate. Some reports interpreted the resistivity upturn to the Kondo effect in the paramagnetic regime [43], while the other reports interpreted it to a WL [42]. TaSe_2 in $2H$ phase is reported to show antiferromagnetic ordering [45]. TaS_2 in the 1T phase shows ferromagnetic ordering due to localized spins [46]. As a matter of fact, Kondo effect was not observed in TaTe_2 and NbTe_2 systems.

In this contribution, we report a comprehensive comparison study between VSe_2 and its isovalent compound VTe_2 on their electrical transport, magnetotransport, and magnetic properties. We observe a low temperature resistivity upturn in both systems due to the Kondo effect induced by the exchange interaction between localized moments and conduction electrons at low temperature. The electrical transport data of VSe_2 measured under various applied magnetic fields can be explained well with the modified Hamann equation following the Brillouin function in the quantum limit [47]. From a similar transport study on VTe_2 we find that the data can be fitted well with modified Hamann equation following the Langevin function in the classical limit [42]. Foremost these observations confirm the presence of Kondo effect in both systems, specifically for VSe_2 in which the mechanism of resistivity upturn is elusive [33, 42, 43]. Further, we find a negative MR for both systems in the Kondo state, while the MR behaves differently in the normal state.

6.2 Experimental Details

Single crystal of VTe_2 was grown by the CVT technique using iodine (crystalline, 99.99%, metals basis, Alfa Aesar) (2 mg/cm^3) as a transport agent[48]. In the first step, stoichiometric amounts of V (powder, 99.5%, metals basis, Alfa Aesar) and Te (powder, 99.99%, metals basis, Alfa Aesar) were mixed thoroughly and sealed in a quartz ampoule under vacuum. The ampoule was then slowly heated to 1000°C at a rate of $2.5^\circ\text{C}/\text{min}$ and kept there for 8 h before quenching into the normal water. The powder was regrinded, sealed into a quartz ampoule under vacuum together with pieces of crystallized iodine (crystalline, 99.99%, metals basis, Alfa Aesar) (2 mg/cm^3). The ampoule was loaded into a three-zone tube furnace where the temperatures were set at 900°C at the hot-zone and 810°C was set at the cold-zone. After 5 days of

reaction, we obtained shiny single crystals with a typical dimension of $5 \text{ mm} \times 5 \text{ mm}$ at the cold-zone. Similarly, single crystals of $V\text{Se}_2$ were grown by the above method by mixing the stoichiometric amounts of V (powder, 99.5%, metals basis, Alfa Aesar) and Se (shot, 99.999%, metals basis, Alfa Aesar), except that 1000°C was set at the hot-zone and 950°C was set at the cold-zone. After 5 days of reaction, we obtained shiny single crystals of typical dimension $15 \text{ mm} \times 6 \text{ mm}$ at the cold-zone.

The chemical composition of the single crystals were determined by an EDX equipped with a scanning electron microscope (Quanta 250 FEG) and the phase purity was checked by XRD patterns measured using Cu-k_α -radiation (Rigaku MiniFlex II and Rigaku SmartLab 9KW). DSC (Q2000 of TA Instruments) was performed to measure the heat flow curve of the sample in both heating and cooling modes.

Electrical transport measurements were done in a PPMS (Quantum Design PPMS-9T) using a standard four-probe method, with the electrical current applied along the plane of sample (ab -plane). For magnetotransport measurements the magnetic field was applied at different polar angles with respect to the ab -plane. Copper (Cu) leads were connected to the sample by vacuum compatible silver epoxy Epo-Tek H2OE. The sample temperature was varied between 2 K and 330 K during the transport measurements. DC magnetization measurements were performed using the MPMS (Quantum Design MPMS-7T) equipped with a vibrating sample magnetometer superconducting quantum interference device (VSM-SQUID). The temperature dependence of the magnetization in ZFC and FC modes has been carried out under different applied magnetic fields up to 7 T in the temperature range of 5-300 K. Field dependent magnetization [$M(H)$] has also been carried out at different temperatures.

6.3 Results and Discussion

Single crystals of $V\text{Te}_2$ and $V\text{Se}_2$ were structurally analyzed using the powder XRD at room temperature. High intense diffraction peaks corresponding to the (001) plane of $V\text{Te}_2$ are shown in Fig. 6.1(a). For the (001) plane of $V\text{Se}_2$, similar data are shown in Fig. 6.1(b). $V\text{Te}_2$ crystallizes into the monoclinic structure with the space group $C2/m$ (12) in the $1T'$ phase at room temperature, while $V\text{Se}_2$ crystallizes into the trigonal structure with space group of $P\bar{3}m1$ (164) in the $1T$ phase [13, 49]. From EDX measurements as shown in Figs. 6.1(c) and 6.1(d) we identify that the studied samples have actual compositions of $V_{1.08}\text{Te}_2$ and $V_{0.86}\text{Se}_2$, respectively. Thus, we obtained 8% vanadium excess $V\text{Te}_2$ and 14% vanadium deficient $V\text{Se}_2$ single crystals. Herein, for the convenience, we represent these crystals by their nominal compositions of $V\text{Se}_2$ and $V\text{Te}_2$.

Fig. 6.2(a) shows temperature dependent in-plane electrical resistance of $V\text{Te}_2$. The

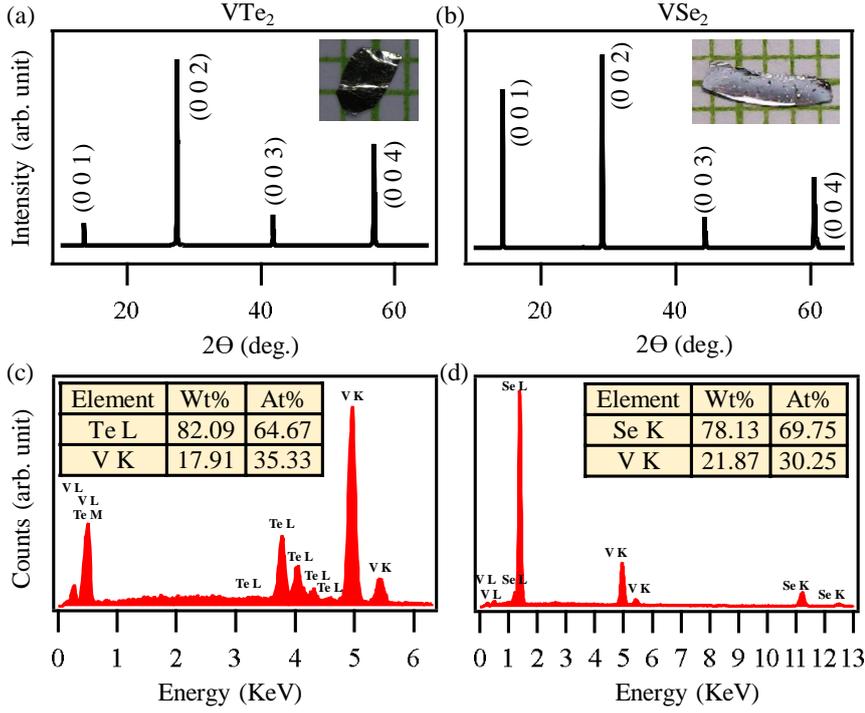


Figure 6.1: (a) and (b) Powder X-ray diffraction (XRD) pattern of $V\text{Te}_2$ and $V\text{Se}_2$ single crystals. Insets in (a) and (b) are the optical images of the single crystals. (c) and (d) Energy dispersive X-ray spectroscopy (EDX) data of $V\text{Te}_2$ and $V\text{Se}_2$ single crystals, respectively.

resistance curve shows a metallic nature, in principle, except that an upturn is noticed at low temperature with a resistance minima at $T_m=17$ K as can be seen from the inset of Fig. 6.2(a). To explore further on the resistance upturn mechanism, it was measured at various magnetic fields within the temperature range of 2-40 K as plotted in Fig. 6.2(b). We observe a decrease in resistance upturn with increasing magnetic field of up to 9 T. Further, the field dependent resistance curves are perfectly fitted with the Eq. 6.1 and Eq. 6.2 without and with applied magnetic field, respectively.

$$R(T) = R_0 + qT^2 + R_{KO} \left[1 - \frac{\ln\left(\frac{T_{eff}}{T_K}\right)}{\sqrt{\ln^2\left(\frac{T_{eff}}{T_K}\right) + S(S+1)\pi^2}} \right] \quad (6.1)$$

$$R(T) = R_0 + qT^2 + R_{KO} \left[1 - \frac{\ln\left(\frac{T_{eff}}{T_K}\right)}{\sqrt{\ln^2\left(\frac{T_{eff}}{T_K}\right) + S(S+1)\pi^2}} \right] \left[1 - L^2\left(\frac{\mu B}{k_B T_{eff}}\right) \right] \quad (6.2)$$

In Eq. 6.1, the first term (R_0) is residual resistance, the second term (qT^2) represents

CHAPTER 6. ELECTRICAL-TRANSPORT, MAGNETOTRANSPORT, AND MAGNETIC PROPERTIES STUDIES OF VX_2 ($X = \text{Se} \ \& \ \text{Te}$) SINGLE CRYSTALS

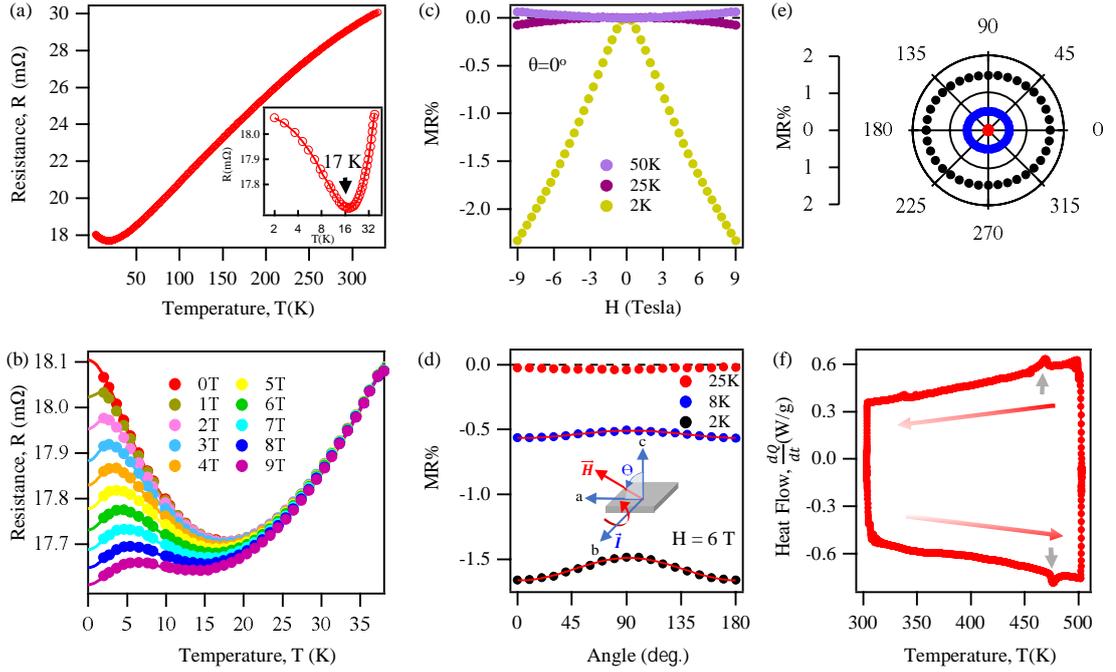


Figure 6.2: (a) In-plane electrical resistance of $V\text{Te}_2$ plotted as a function of temperature. Inset in (a) shows low-temperature resistance upturn at a temperature minima $T_m = 17$ K. (b) Temperature dependent electrical resistance plotted for various magnetic fields applied parallel to c -axis. Solid lines in (b) are fittings to Eq. 6.1 and Eq. 6.2. (c) Magnetoresistance, MR (%), plotted as a function of field applied parallel to the c -axis ($\theta = 0^\circ$). (d) MR (%) plotted as function of applied field angle. Measuring geometry is shown in the inset of (d). (e) Symmetrized MR (%) of (d) is plotted in the polar graph. (f) Differential scanning calorimetry (DSC) data is plotted as function of temperature for both cooling and heating cycle. In (f), the charge density wave ordering temperature (T_{CDW}) is marked from both heating and cooling cycles.

the electron-electron interaction, and the third term is the Hamann expression [50] due to $s - d$ exchange interaction [42, 51]. Hamann expression is an empirical equation used to calculate the exchange interaction between localized magnetic moments and conduction electrons. In the third term, R_{KO} is the temperature-independent Kondo resistance, T_K is the Kondo temperature, and S is the total spin of the magnetic impurities. $T_{eff} = \sqrt{T^2 + T_W^2}$, where $k_B T_W$ is the effective Ruderman-Kittel-Kasuya-Yosida (RKKY) interaction strength. From the fitting, we obtained a Kondo temperature $T_K = 12$ K and $S = 0.5$. These values are in good agreement with previous report on $V\text{Te}_2$ single crystal [42]. In Eq. 6.2, the Hamann term is multiplied by $[1 - L^2(\frac{\mu B}{k_B T_{eff}})]$ where $L(x)$ is Langevin function $L(x) = \coth(x) - 1/x$ (see Table 6.1 for the fitting parameters). Primarily, Hamann equation involves Brillouin function [47] to describe the quantum mechanical behavior of magnetic moments and provides a more accurate description of their statistical distribution. In quantum theory, the magnetic moments are quantized and the orientations of magnetic moment with respect to applied mag-

CHAPTER 6. ELECTRICAL-TRANSPORT, MAGNETOTRANSPORT, AND MAGNETIC PROPERTIES STUDIES OF VX_2 ($X = \text{Se} \ \& \ \text{Te}$) SINGLE CRYSTALS

netic field are specified by some possible components of magnetic moment along field direction [52]. On the other hand, the Langevin function [42] is a simplified model derived from classical statistical mechanics. In Langevin's classical theory, it is assumed that the mutual interaction between the magnetic dipoles is negligible and magnetic moments can possess any orientation with respect to applied magnetic field [52].

B(T)	R_0 ($m\Omega$)	q ($\mu\Omega/K^2$)	R_{KO} ($m\Omega$)	T_W (K)	μ (μ_B)
0	16.6140(4)	0.5719(4)	1.0782(4)	3.900(3)	
1	16.6530(4)	0.5645(4)	1.0316(4)	2.423(3)	4.042(3)
2	16.6563(4)	0.5641(4)	1.0295(4)	2.665(3)	2.569(3)
3	16.6786(4)	0.5596(4)	1.0028(4)	2.823(3)	2.045(3)
4	16.7015(4)	0.5561(4)	0.9740(4)	3.147(3)	1.789(3)
5	16.7343(4)	0.5508(4)	0.9331(4)	3.396(3)	1.631(3)
6	16.7778(4)	0.5435(4)	0.8780(4)	3.632(3)	1.510(3)
7	16.8104(4)	0.5398(4)	0.8334(4)	3.916(3)	1.445(3)
8	16.8592(4)	0.5320(4)	0.7692(4)	4.135(3)	1.379(3)
9	16.9061(4)	0.5263(4)	0.7054(4)	4.332(3)	1.329(3)

Table 6.1: Kondo fitting parameters of $V\text{Te}_2$

Fig. 6.2(c) depicts $\text{MR}\%$, $\text{MR}\% = \frac{R(H)-R(0)}{R(0)} \times 100$, plotted as a function of applied field measured at below (2 K) and above (25 K & 50 K) the Kondo temperatures. We notice that at 2 K, below the Kondo temperature, $V\text{Te}_2$ shows a negative MR and above the Kondo temperature, the MR is negligible. This is because the magnetic field reduces fluctuations of localized impurity magnetic moments and spin dependent exchange scattering [53]. Hence, below a critical magnetic field (B_c), the MR is negative and above B_c , the Kondo effect vanishes. In case of $V\text{Te}_2$ the value of B_c is very high [42] which is beyond our experimental scope. Fig. 6.2(d) shows $\text{MR}(\%)$ plotted as a function of field angle with respect to the sample surface normal (c -axis) at an applied field of 6 T. The measuring geometry is shown in the inset of Fig. 6.2(d). Red solid-lines in Fig. 6.2(d) are fittings to the Eq. 6.3.

$$\text{MR}(\theta) = \text{MR}_0 + \alpha \cos(2\theta) \quad (6.3)$$

In Eq. 6.3, the first term is a constant and α is an amplitude. Fig. 6.2 (e) shows symmetrized angular dependent MR plotted in the polar graph for the temperatures 2 K, 8 K, and 50 K. As can be seen from Fig. 6.2 (e), MR shows in-plane small anisotropy in the Kondo state (2 K) but becomes completely isotropic in the normal state (8 and 50 K). Ideally, Kondo systems show an isotropic MR but a small anisotropy could be there due to the presence of a crystal anisotropy [53]. DSC measurements are performed

in both heating and cooling modes with a ramp rate of $dT/dt=10$ K/min as depicted in Fig. 6.2(f). During cooling and heating cycles of DSC measurements we noticed a hump and a dip, respectively, at a sample temperature of 470 ± 5 K that is originated from the CDW [22].

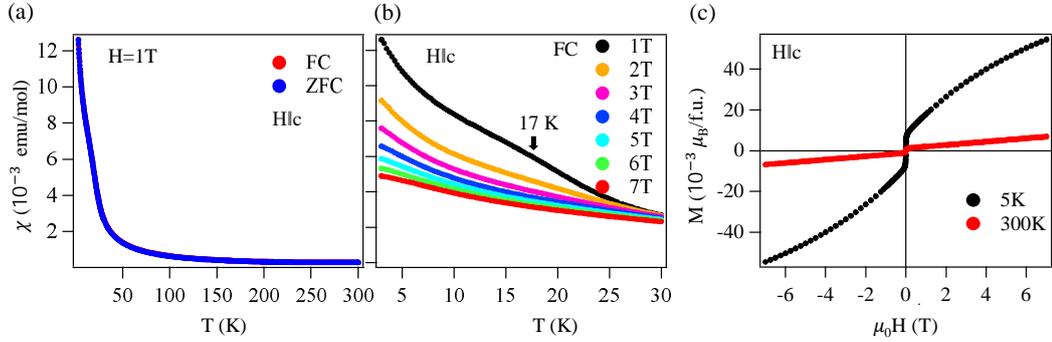


Figure 6.3: (a) Magnetic susceptibility of $V\text{Te}_2$ plotted as a function of temperature with a field of 1 T applied parallel to c -axis measured in the FC and ZFC modes. (b) Same as (a) but measured for different magnetic fields in the FC mode. (c) Magnetization isotherms $M(H)$ measured at 5 K and 300 K.

Fig. 6.3 shows magnetic measurements on $V\text{Te}_2$ with field applied parallel to the c -axis. Fig. 6.3(a) shows temperature dependent magnetic susceptibility measured with a field of 1 T within the temperature range of 3-300 K in the FC and ZFC modes. Here, it can be seen that ZFC and FC are identical. Further, we measured the temperature dependent magnetic susceptibility by varying the field in the FC mode as shown in Fig. 6.3(b). A broad hump-like structure with a maximum at around 17 K has been noticed in the susceptibility data when measured with a magnetic field of 1 T, which then eventually disappears above 3 T due to the crossover from high temperature localized moments of Kondo impurities to fully compensated moments at low temperature [42, 54]. However, at higher magnetic fields this crossover gets weaker as a result the resistance upturn decreases. Fig. 6.3(c) shows magnetization isotherms, $M(H)$, measured at 5 and 300 K. From the $M(H)$ data we notice a soft ferromagnetic-like ordering at the room temperature which is in agreement with previous reports on $V\text{Te}_2$ [47, 55, 56].

Next, Fig. 6.4(a) shows temperature dependent zero-field in-plane electrical resistance of $V\text{Se}_2$. Overall, the resistance curve suggests a metallic behaviour except a hump at around 100 K and a resistance upturn at low temperature is observed. The hump at 100 K is due to the IC-CDW as noticed in the previous reports from this system [30, 33, 43, 44, 57–61]. The inset in Fig. 6.4(a) shows a resistance minima (T_m) at 6 K. To understand the resistance upturn mechanism, we performed field dependent resistance measurements within the temperature range of 2 K to 12 K by varying the magnetic fields up to 9 T as plotted in Fig. 6.4(b). As can be seen from Fig. 6.4(b), re-

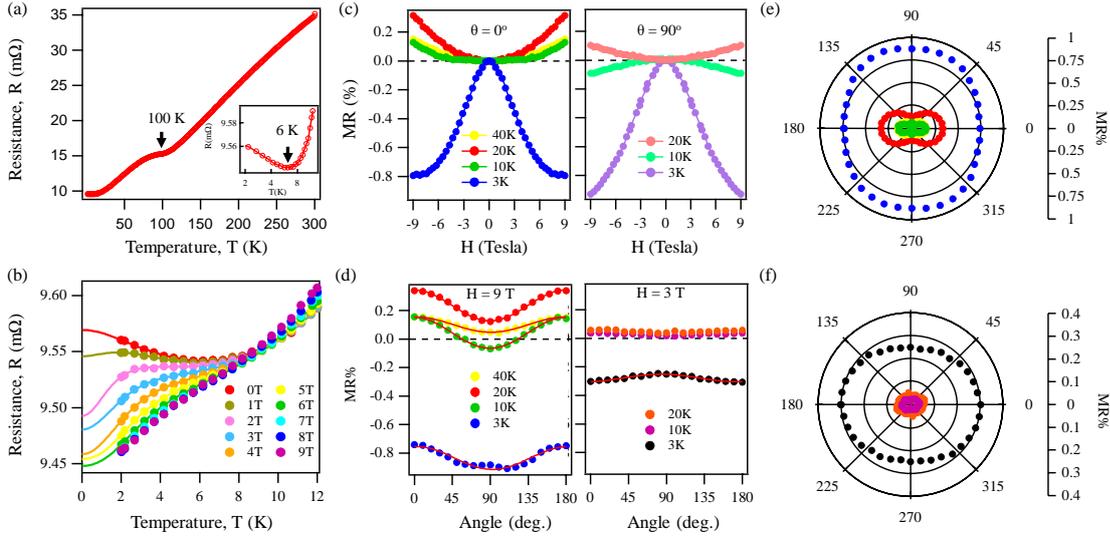


Figure 6.4: (a) In-plane electrical resistance of $V\text{Se}_2$ plotted as a function of temperature. A hump at the CDW transition temperature of 100 K is noticed on the resistance curve. The inset in (a) shows the resistance upturn at a temperature minima $T_m=6$ K. (b) Temperature dependent electrical resistance plotted for various magnetic fields applied parallel to the c -axis. Solid lines in (b) are fittings to Eq. 6.4 and Eq. 6.5. (c) Magnetoresistance, MR (%), plotted as a function of field applied parallel to the c -axis ($\theta = 0^\circ$) is in the left panel and for the field applied perpendicular to the c -axis ($\theta = 90^\circ$) is shown in the right panel. (d) The MR (%) is plotted as function of the field angle with applied field of 9 T (left panel) and 3 T (right panel). (e) Symmetrized MR (%) of (d) is plotted in the polar graph with an applied field of 9 T. (f) Symmetrized MR (%) of (d) is plotted in the polar graph with an applied field of 3 T.

sistance upturn decreases with increasing field and disappears at 7 T, suggesting Kondo effect as the possible origin of the resistance upturn. Solid-lines in Fig. 6.4(b) are the fittings to Eq. 6.4 in absence of an applied magnetic field and Eq. 6.5 in presence of applied magnetic field with a modified Hamann term [43, 44, 47, 62].

$$R(T) = R_0 + qT^3 + R_{KO} \left[1 - \ln \left(\frac{T_{eff}}{T_K} \right) \left\{ \ln^2 \left(\frac{T_{eff}}{T_K} \right) + S(S+1)\pi^2 \right\}^{-1/2} \right] \quad (6.4)$$

$$R(T) = R_0 + qT^3 + R_{KO} \left[1 - \ln \left(\frac{T_{eff}}{T_K} \right) \left\{ \ln^2 \left(\frac{T_{eff}}{T_K} \right) + S(S+1)\pi^2 \right\}^{-1/2} \right] \left[1 - B^2 \left(\frac{g\mu_B S B}{k_B T_{eff}} \right) \right] \quad (6.5)$$

Eq. 6.4 is almost similar to Eq. 6.1, except that the second term (qT^3) in Eq. 6.4 represents the interband ($s-d$) $e-ph$ scattering while it is $e-e$ scattering (qT^2) contribution in Eq. 6.1. From the fitting, we estimated a Kondo temperature (T_K) of

CHAPTER 6. ELECTRICAL-TRANSPORT, MAGNETOTRANSPORT, AND MAGNETIC PROPERTIES STUDIES OF VX_2 ($X = \text{Se} \ \& \ \text{Te}$) SINGLE CRYSTALS

about 6 K and the spin component $S=0.5$, which are in good agreement with previous report on $V\text{Se}_2$ [43]. In Eq. 6.5, the Hamann expression is modified using the Brillouin function, $B(x) = (\frac{2S+1}{2S})\coth \frac{2S+1}{2S}x - \frac{1}{2S}\coth \frac{1}{2S}x$ in the quantum limit. See Table 6.2 for the fitting parameters.

Left panel in Fig. 6.4(c) depicts the field dependent MR(%), measured at various temperatures for the field applied parallel to c -axis ($\theta = 0^\circ$). The right panel in Fig. 6.4(c) depicts MR(%) measured perpendicular to c -axis ($\theta = 90^\circ$). We can see from Fig. 6.4(c) that for both field orientations the MR is negative (positive) below (above) the Kondo temperature (6 K). Fig. 6.4(d) depicts the angle dependent MR(%) measured at various temperatures with a magnetic field of 9 T (left panel) and 3 T (right panel). From Fig. 6.4(d) we can see that the MR(%) has a maximum for $H\parallel c$ and minimum for $H\perp c$ under the magnetic field of 9 T. On the other hand it is reversed when the applied field is 3 T, which means that the MR(%) is minimum for $H\parallel c$ and maximum for $H\perp c$. Nevertheless, MR (%) sinusoidally depends on the field angle in going from $H\parallel c$ to $H\perp c$. The Red solid lines are the fits to Eq. 6.3. Figs. 6.4 (e) and 6.4 (f) represents the polar maps of the symmetrized data shown left and right panels of Fig. 6.4 (d), respectively. Figs. 6.4 (e) and 6.4 (f) clearly show the out-of-plane anisotropic MR(%) in $V\text{Se}_2$. Interestingly, the anisotropy is rotated by 90° between 3 T and 9 T. Anisotropic MR at 9 T is in good agreement with previous study [59]. Also, note here that the anisotropic MR is significant above the Kondo state, i.e. at higher magnetic fields (9 T) irrespective of the temperature. In the Kondo state (3 T), a small anisotropic behaviour is observed which is negligible.

B(T)	R_0 ($m\Omega$)	q ($\mu\Omega/K^3$)	R_{KO} ($m\Omega$)	T_W (K)
0	9.4223(4)	0.0483(4)	0.1121(4)	2.455(3)
1	9.3600(4)	0.0485(4)	0.1751(4)	2.023(3)
2	9.4211(4)	0.0480(4)	0.1124(4)	1.452(3)
3	9.4017(4)	0.0497(4)	0.1367(4)	2.160(3)
4	9.4301(4)	0.0497(4)	0.1061(4)	1.832(3)
5	9.4508(4)	0.0480(4)	0.0843(4)	1.385(3)
6	9.4440(4)	0.0506(4)	0.0965(4)	1.694(3)

Table 6.2: Kondo fitting parameters of $V\text{Se}_2$

Figure 6.5 shows magnetic properties studies on the $V\text{Se}_2$ single crystals. Fig. 6.5(a) shows temperature dependent magnetic susceptibility measured with a magnetic field of 1 T applied parallel to the c -axis within the temperature range of 3-300 K in the ZFC and FC modes. Here, it can be seen that ZFC and FC are identical. To observe the effect of Kondo screening on the magnetic properties, we measured the susceptibility by varying the magnetic field of up to 7 T within the temperature range of 3-30 K in FC mode as shown in Fig. 6.5(b). Unlike in $V\text{Te}_2$, we do not find a significant change in

the susceptibility of $V\text{Se}_2$ as a function of applied field, probably due to the low Kondo temperature (6 K) found in $V\text{Se}_2$. Fig. 6.5(b) shows the magnetization isotherm $M(H)$ measured at 5 and 300 K. We clearly notice a sigmoid like $M(H)$ curve at 5 K due to soft ferromagnetic ordering. This observation is different from previous reports where $V\text{Se}_2$ is found in bulk to be paramagnetic at all temperatures [60, 61, 63]. However, a strong ferromagnetic ordering is reported in monolayer $V\text{Se}_2$ grown on HOPG or MoS_2 substrate at the room temperature [63]. Another recent report also demonstrated a small ferromagnetic signal in stoichiometric bulk $V\text{Se}_2$, which they suggest to arise from vanadium impurities intercalated between the van der Waals layers.

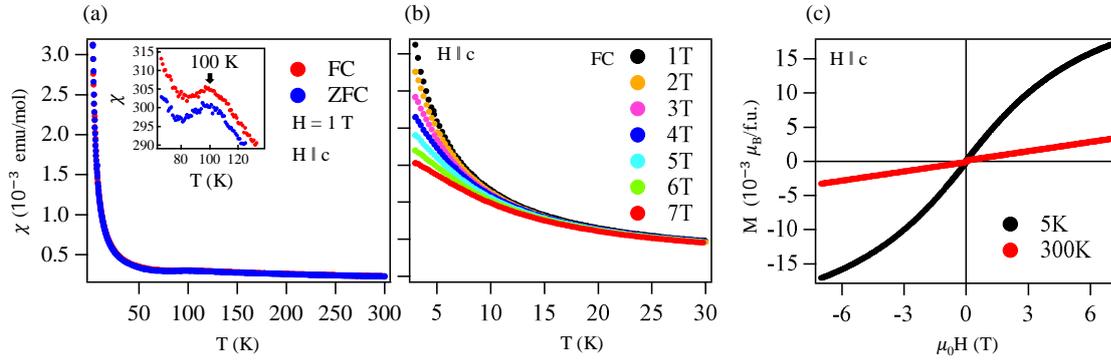


Figure 6.5: (a) Magnetic susceptibility of $V\text{Se}_2$ plotted as a function of temperature measured with a field of 1 T applied parallel to the c -axis in the FC and ZFC modes. (b) Same as (a) but measured for different magnetic fields in the FC mode. A hump in the magnetic susceptibility is noticed both in FC and ZFC modes at a CDW transition temperature of 100 K. (c) Magnetization isotherms $M(H)$ measured at 5 K and 300 K.

Finally, on comparing the electrical resistivity, magnetotransport, and magnetic properties between $V\text{Te}_2$ and $V\text{Se}_2$, we find that both systems show low temperature resistivity upturn due to the Kondo effect with a Kondo temperature of 12 K for the former and 6 K for the later, suggesting stronger magnetic correlations in $V\text{Te}_2$ compared to $V\text{Se}_2$. Both systems show negative MR in the Kondo state. $V\text{Se}_2$ shows negative to positive MR switching across the Kondo temperature of 6 K, while $V\text{Te}_2$ shows negligible MR above the Kondo temperature of 12 K. Moreover, above the Kondo state, a strong anisotropic MR is observed in the case of $V\text{Se}_2$, while it is almost negligible in $V\text{Te}_2$. In case of $V\text{Te}_2$ a weak anisotropic MR in the Kondo state is observed, whereas above the Kondo state the MR is negligible. Interestingly, though both systems show a low-temperature resistivity upturn due to the Kondo effect, under the magnetic field it behaves differently for different compounds. That means, $V\text{Te}_2$ follows the Hamann law modified with the classical Langevin function with dominant contribution from the $e - e$ scattering, while $V\text{Se}_2$ follows the Hamann law modified with the quantum Brillouin function (see Eq. 6.5) with the dominant

contribution from the interband ($s-d$) $e-ph$ scattering. Different functions applicable to the same Kondo effect observed in different compounds can be understood from their differing Kondo temperatures. That means, the Kondo temperature of $V\text{Te}_2$ (12 K) is almost two times higher than the Kondo temperature of $V\text{Se}_2$ (6 K). On the other hand, a previous study on the single-crystalline nanoplates of $V\text{Te}_2$ reported a Kondo temperature of 6 K and the resistivity data was best fitted following the Brillouin function [47]. These observations suggest that the Kondo temperature of these systems is thickness sensitive [64]

6.4 Conclusions

In conclusion, we have drawn a comprehensive comparison between the transition-metal dichalcogenides $V\text{Se}_2$ and $V\text{Te}_2$ on their electrical transport, magnetotransport, and magnetic properties. We observe the Kondo effect in both systems induced by the exchange interaction between localized moments and conduction electrons at low temperature, resulting into resistance upturn at Kondo temperature of 6 K for $V\text{Se}_2$ and 12 K for $V\text{Te}_2$. From the field dependent resistance measurements we find that the data is fitted best with modified Hamann equation corrected by the quantum Brillouin function for $V\text{Se}_2$, while the data is best fitted with modified Hamann equation corrected by the classical Langevin function for $V\text{Se}_2$. Interestingly, we observe a contrasting MR properties between these systems across the Kondo temperature. In both systems examined, there is a manifestation of weak ferromagnetism at low temperatures. This phenomenon can be attributed to the presence of intercalated V atoms in both systems. While the chemical composition of $V\text{Se}_2$ displays a deficiency of V or excess of Se, previous studies have established that V^{4+} ions ($3d^1$) carry the localized magnetic moment in these systems [33, 42, 43]. In the case of $V\text{Se}_2$, some vanadium atoms are intercalated between the layers of $V\text{Se}_2$, similar to $V\text{Te}_2$, but there is also an excess of Se occupying the interstitial spaces within the crystal structure. Consequently, the overall chemical formula exhibits an excess of Se, which is p-Block element. As a result, this excess Se does not influence the Kondo effect or magnetic ordering. The Kondo effect in V deficient $V\text{Se}_2$ system was reported earlier [43].

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Chapter 7

Electrical-transport, Magnetotransport, and Magnetic Properties Studies of V_5S_8 Single Crystal

7.1 Introduction

The discovery of magnetism in 2D layered systems [1–4] has attracted a great deal of research interest for the last few years because of their versatile technological applications in spintronics [5–9]. These systems possess loosely bound 2D layers connected by the van der Waals forces [10]. By stacking [11], twisting [12] the monolayers, or by making the heterostructured magnetic materials [13, 14], the magnetic properties can be tuned. Though magnetism is rare in the TMDCs in their bulk form, there exist few TMDCs showing ferromagnetic ordering mostly in low dimensions. For instance, monolayer $2H$ -NbSe₂ [15, 16], monolayer/bilayer VSe₂ [17, 18], monolayer VTe₂ [19], nano- $2H$ -NbSe₂ [20], and atomically thin $1T$ -NbS₂ [21] show ferromagnetism. Nevertheless, VSe₂ is found to show ferromagnetism in its bulk phase [17]. In addition to ferromagnetism, a very few TMDCs are known to show the Kondo effect such as ZrTe₂ [22], VSe₂ [23, 24], and VTe₂ [25], due to low temperature magnetic ordering induced by the intercalated transition metal. Usually, the Kondo effect arises from the exchange interaction of the localized magnetic moments with the conduction electrons, leading to an increase in the electrical resistivity at extremely low temperatures. Thus, the doped or intercalated magnetic impurities with unfilled d - or f -orbitals [26–29] act as the scattering centres for this type of exchange interactions.

Since both VTe₂ and VSe₂ show ferromagnetism in addition to the low temperature Kondo effect [17, 30], whether both of these rare properties do exist in VS₂ is a natural

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question to arise. In order to address this question, we worked on V_5S_8 , which can be expressed as $V_{1.25}S_2$, having 25% excess V in the composition. Worth to mention here is that the ferromagnetism and Kondo effect arise in VTe_2 and VSe_2 by the intercalated V atoms [23–25]. Similarly, in V_5S_8 , the V atoms are intercalated between the VS_2 layers [31]. The V intercalation in V_5S_8 seems to be completely changing the crystal structure and physical properties of pristine VS_2 . Precisely, the pristine VS_2 is a nonmagnetic metal in the $1T$ phase with a CDW ordering at 305 K [32, 33], while V_5S_8 is an antiferromagnetic metal in the $1T'$ phase without CDW ordering [31, 34–37].

In this work, we present electrical transport, magnetotransport, and magnetic properties studies on V_5S_8 single crystals. Magnetization [$M(T)$] studies suggest an antiferromagnetic ordering at around 27 K, in agreement with previous reports [31, 34–37]. Interestingly, down to the AFM ordering temperature (>27 K) the magnetic susceptibility is found to be nearly isotropic between $H \parallel c$ and $H \perp c$. However, below the Néel temperature, T_N (<27 K) the magnetic susceptibility instantly turns to an anisotropic one. Magnetization isotherms [$M(H)$] suggest a SF transition at a critical field of 3.5 T for $H \parallel c$. Most importantly, we find an upturn in the electrical resistance at low temperature with a resistance minima at 6 K, plausibly due to the Kondo effect. A negative MR is noticed in the antiferromagnetic state, while it is negligible in the paramagnetic state (100 K).

7.2 Experimental Details

Single crystals of V_5S_8 were grown by CVT technique with iodine as a transport agent[38]. In the first step, stoichiometric quantities of V (powder, 99.5%, metals basis, Alfa Aesar) and S (pieces, 99.999%, metals basis, Alfa Aesar) were mixed thoroughly and sealed in a quartz ampoule under vacuum along with pieces of crystallized iodine (crystalline, 99.99+%, metals basis, Alfa Aesar) (2 mg/cm^3). The ampoule was kept in a three-zone tube furnace where the temperatures were set at 1000°C for the hot-zone and 950°C for the cold-zone. After 5 days of reaction, we obtained shiny single crystals of V_5S_8 with a typical dimension of $2 \text{ mm} \times 1 \text{ mm}$ at the cold-zone.

The chemical composition of the single crystals were determined by EDX equipped with SEM (Quanta 250 FEG). Phase purity and crystal structure were confirmed by the XRD technique using Cu k_α -radiation (Rigaku MiniFlex II and Rigaku SmartLab 9KW). Electrical transport studies were done in a PPMS (Quantum Design PPMS-9T) using a standard four-probe method, with the electrical current applied parallel to ab -plane. A magnetic field up to 9 T was applied at different polar angles with respect to the c -axis for magnetotransport measurements. Four copper (Cu) leads were connected to the sample by vacuum compatible silver epoxy (Epo-Tek H20E). The

sample temperature was varied between 2 K and 380 K during the transport measurements. DC magnetization measurements were performed using VSM (Quantum Design PPMS-9T). The temperature dependence of the magnetization in ZFC and FC modes was studied for different magnetic fields within the temperature range of 2-300 K.

7.3 Results and Discussions

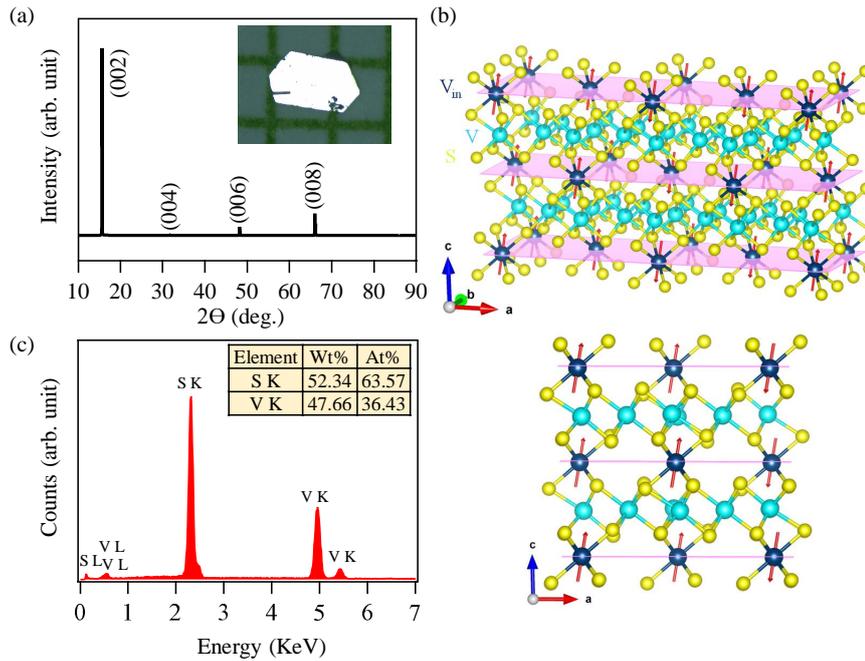


Figure 7.1: (a) Powder X-ray diffractogram (XRD) of V_5S_8 single crystals. Inset is a photographic optical image of the single crystal. The top panel in (b) is the crystal structure of V_5S_8 spanned over two unit cells. Blue color atoms are intercalated vanadium atoms in the van der Waals gap between two layers of VS_2 . Bottom panel in (b) shows the V_5S_8 crystal structure projected onto the ac -plane. (c) Energy dispersive X-ray analysis (EDX) of V_5S_8 single crystals. Inset in (c) shows atomic and weight percentages of the elements present in the compound.

In Fig. 7.1(a) sharp Bragg peaks are observed in the XRD pattern of V_5S_8 single crystal corresponding to the $(00l)$ plane, suggesting that the crystal growth is along the c -axis. The single crystals were in platelike shape with metallic luster as illustrated in the inset of Fig. 7.1(a). The top panel in Fig. 7.1(b) shows the crystal structure of V_5S_8 in which the S atoms form covalent bonding with the intercalated V atoms in the octahedral coordination in between two VS_2 layers. These intercalated V atoms are responsible for the distortion in the octahedral coordination of VS_2 , leading to antiferromagnetism with spins aligned at an angle of 10.4° from the c -axis as demonstrated in the bottom panel of Fig. 7.1(b) [39–41]. Further, V_5S_8 crystallizes into the

monoclinic structure of the space group $F12/m1(12)$ with distorted $1T$ ($1T'$) phase. From the EDX measurements, shown in Fig. 7.1(c), we estimate the actual chemical composition of the obtained single crystals to $V_{4.6}S_8$ which is very close to the nominal composition of V_5S_8 .

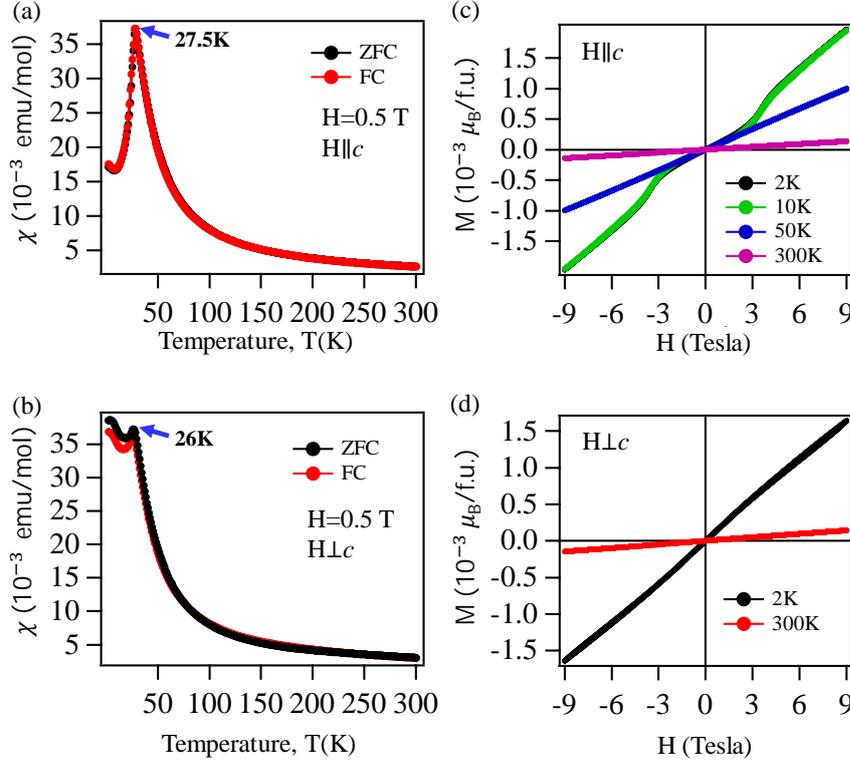


Figure 7.2: (a) Magnetic susceptibility plotted as a function of temperature measured with a field of 0.5 T in the FC and ZFC modes for $H \parallel c$. (b) Same as (a) but measured for $H \perp c$. (c) Magnetization isotherms $M(H)$ measured at different temperatures for $H \parallel c$. (d) Same as (c) but measured for $H \perp c$.

Figs. 7.2(a) and 7.2(b) show the magnetic susceptibility (χ) plotted as a function of temperature for $H=0.5T$ applied parallel ($H \parallel c$) and perpendicular ($H \perp c$) to the c -axis, respectively. From Figs. 7.2(a) and 7.2(b) we notice similar trends of susceptibility with respect to the temperature in going from 300 K down to 27 ± 1 K for both $H \parallel c$ and $H \perp c$, suggesting isotropic magnetic properties between 300 and 27 ± 1 K. But below 27 ± 1 K, we see a sudden drop in χ for $H \parallel c$ similar to an antiferromagnetic system. This observation is consistent with previous reports on these systems where an AFM order is demonstrated below $T_N=27$ K [31, 34–37, 40–42]. On the other hand, for $H \perp c$, though we find a slight drop in χ below 27 ± 1 K, the susceptibility gets saturated upon decreasing the temperature down to 2 K. Thus, the system shows clear anisotropic magnetic properties below the Néel temperature. Fig. 7.2(c) depicts magnetization isotherms [$M(H)$] measured at different temperatures with field applied parallel to the c -axis. While the data largely resembles the AFM

type ordering at all the measured temperatures, at low temperatures (2 and 10 K) we observe SF like transitions at a critical field of 3.5 T, in agreement with previous reports [35, 40, 42]. Fig. 7.2(d) shows $M(H)$ data measured at different temperatures with a field applied perpendicular to the c -axis. Similar to the $H \parallel c$ data, from $H \perp c$ we also observe AFM type ordering except that SF-transition is not observed down to the lowest possible measured temperature. This indicates that c -axis is the easy-axis of magnetization in V_5S_8 [39–41].

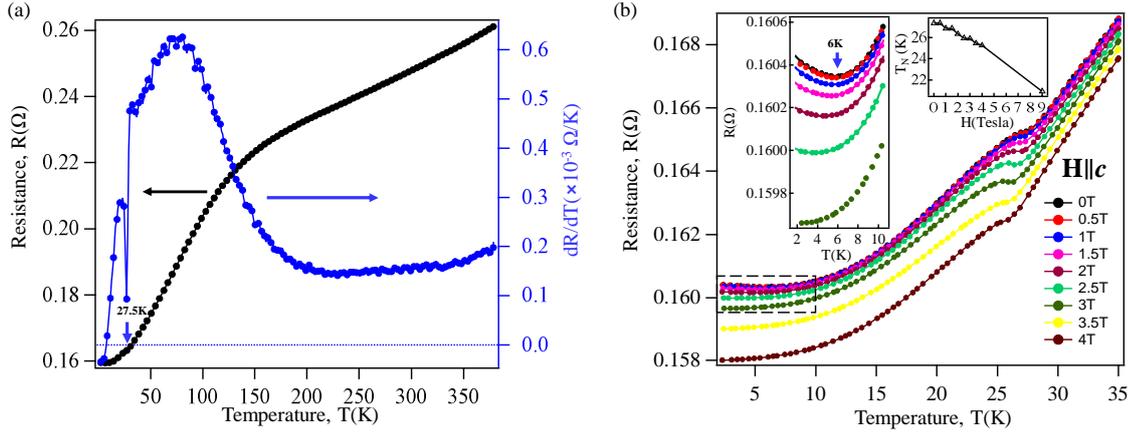


Figure 7.3: (a) In-plane electrical resistance and first derivative of resistance (dR/dT) plotted as a function of temperature. (b) Temperature dependent electrical resistance plotted for various magnetic fields applied parallel to the c -axis. Left inset in (b) is the zoomed-in image of the dash marked rectangular region. Solid lines are fitting to Eq. 7.1 at 0 T and Eq. 7.2 in presence of field. Right inset in (b) shows Néel temperature T_N plotted as a function of applied field.

Fig. 7.3(a) depicts temperature dependent zero-field in-plane electrical resistance of V_5S_8 . The resistance data suggest a metallic behaviour at low temperature, consistent with previous studies [42]. We further observe a hump in the resistance at around 27 K due to antiferromagnetic ordering [42]. To better understand the electrical resistance, we derived the first derivative of the resistance with respect to temperature (dR/dT) as shown in Fig. 7.3(a). Again, we clearly see a drastic change in the slope (dR/dT) at around 27 K corresponding to the resistance hump. However from a careful observation of dR/dT , we find that below 6 K it becomes negative as the resistance increases with decreasing temperature (resistance upturn). To understand the mechanism of resistance upturn, we measured it at various applied magnetic fields within the temperature range of 2-35 K as shown in Fig. 7.3(b). Foremost, we observe in Fig. 7.3(b) that the peak temperature of hump-like structure decreases with increasing applied field from 27 K at 0 T to 21 K at 9 T ($R(T)$ at 9 T is not shown) as shown in the right inset of Fig. 7.3(b). This observation reaffirms the AFM ordering in this system. Secondly, as shown in the left inset of Fig. 7.3(b), the resistance upturn with a minima

(T_m) at 6 K disappears above 2.5 T.

In principle, there are mainly three reasons behind the resistance upturn. They are i) EEI [43], ii) WL [44], and iii) Kondo effect [45]. Among these three, EEI shows positive MR [46] whereas negative MR is observed due to the Kondo effect [22, 23, 25] and WL [22, 47]. In addition, by increasing the applied magnetic field, the resistance minima can be suppressed in the Kondo effect and WL whereas applied field strength has no effect in the case of EEI. Thus, as can be seen from inset of Fig. 7.3(b), the resistance minima is suppressed at 2.5 T. Further, we demonstrate below a negative magnetoresistance in V_5S_8 . Thus, we can rule out EEI as the origin of resistance upturn. Traditionally, WL and weak antilocalization (WAL) are present in low dimensional systems [48] such as thin films [49], nanowires [50] due to the higher probability of scattering rates resulting into quantum interference [51]. Moreover, it is a well known phenomenon that a small amount of magnetic field can destroy the quantum interference and lead to a cusp-like positive or negative magnetoresistance around zero-field as a result of WL or WAL [22, 47, 49, 51, 52]. On the other hand, the Kondo effect exhibits negative MR having quadratic dependence on B in low field region [22, 23, 25, 30, 53]. As we show below, the MR of V_5S_8 depends quadratically on the field. Importantly, the cusp-like feature is not observed from our MR measurements of V_5S_8 . Also, the conductivity ($\sigma(T)$) is not fitted well (not shown) with the WL equation $\sigma = \sigma_0 + kT^{p/2}$ where $k = \frac{2e^2}{ah\pi^2}$ and the factor a is defined as $L_{Th} = aT^{-p/2}$ for $p=2, 3$, and $3/2$ [43, 50]. Thus, the WL effect also can be excluded from the discussion. Finally, we conclude that the Kondo effect is mainly causing the low temperature resistance upturn in V_5S_8 .

$$R(T) = R_0 + aT^2 + bT^5 + R_{KO} \left[1 - \frac{\ln\left(\frac{T}{T_K}\right)}{\sqrt{\ln^2\left(\frac{T}{T_K}\right) + S(S+1)\pi^2}} \right] \quad (7.1)$$

$$R(T) = R_0 + aT^2 + bT^5 + R_{KO} \left[1 - \frac{\ln\left(\frac{T}{T_K}\right)}{\sqrt{\ln^2\left(\frac{T}{T_K}\right) + S(S+1)\pi^2}} \right] \left[1 - B^2 \left(\frac{g\mu_B S H}{k_B(T + T_K)} \right) \right] \quad (7.2)$$

Having confirmed the Kondo effect in V_5S_8 , the resistance data measured without and with magnetic fields (up to $H=2.5$ T) are fitted using the Eqs. 7.1 and 7.2, respectively, as shown in the inset of Fig. 7.3(b) [30]. In Eq. 7.1, the first term (R_0) is the residual resistance, the second term (aT^2) represents the Fermi-liquid contribution, third term (bT^5) represents the electron-phonon contribution, and the fourth term is the Kondo resistance described by the Hamann expression [30, 54]. In the fourth term,

CHAPTER 7. ELECTRICAL-TRANSPORT, MAGNETOTRANSPORT, AND
MAGNETIC PROPERTIES STUDIES OF V_5S_8 SINGLE CRYSTAL

H (T)	R_0 (Ω)	a ($\mu\Omega - K^{-2}$)	b ($n\Omega - K^{-5}$)	R_{KO} (Ω)
0	0.1598	0.926	1.996	0.000536
1	0.1596	1.248	1.863	0.000616
1.5	0.1597	1.209	1.890	0.000510
2	0.1597	1.621	1.679	0.000438
2.5	0.1596	2.223	1.453	0.000359

Table 7.1: Kondo fitting parameters

R_{KO} is the temperature-independent Kondo resistance, T_K is the Kondo temperature, and S is the total spin of the magnetic impurity. The resistance curves are best fitted with $T_K = 6$ K and $S=1$ since V^{3+} ($3d^2$) ions carry the localized magnetic moment in this system as reported earlier [31, 34, 36, 41]. In Eq. 7.2, the Hamann term is modified using quantum Brillouin function, $B(x) = (\frac{2S+1}{2S})\coth \frac{2S+1}{2S}x - \frac{1}{2S}\coth \frac{1}{2S}x$. Here, g is the Landè g -factor, μ_B is the Bohr magneton, and k_B is the Boltzmann constant [23, 30, 55, 56]. See Table 7.1 for the fitting parameters.

Figs. 7.4(a) and 7.4(b) depict magnetoresistance, $MR(\%) = \frac{R(H)-R(0)}{R(0)} \times 100\%$, plotted as a function of field applied parallel ($\theta = 0^\circ$) and perpendicular ($\theta = 90^\circ$) to the c -axis, respectively. We notice negative MR at low temperatures (2, 10, and 50 K), but it is negligible at 100 K. Mostly, the negative MR is observed in the Kondo state of the Kondo systems such as in $La_{1-x}Pr_xNiO_{3-\delta}$ [57] and VTe_2 [25], and in the AFM state of the AFM systems such as in $EuTe_2$ [58] and $FeNbTe_2$ [59, 60]. However in the studied system of V_5S_8 , a negative MR is noticed above and below the Kondo temperature (6 K) and above and below the Néel temperature (27 ± 1 K). Also, in agreement with a previous study on this system [35, 40, 42], we observe a change in $MR(\%)$ below the Néel temperature when measured with the field parallel to the c -axis ($\theta = 0^\circ$) due to SF transition at a critical field of 3.5 T. However, the same is not observed in $MR(\%)$ when measured with field perpendicular to the c -axis ($\theta = 90^\circ$). Overall, the field dependence of MR is found to be quadratic.

To explore further on the MR anisotropy, we performed angle dependent (θ) magnetoresistance (AMR) measurements, $AMR(\%) = \frac{R(\theta^\circ)-R(0^\circ)}{R(0^\circ)} \times 100\%$, at various temperatures under 1T and 9T applied fields as shown in Fig. 7.4(c) by varying θ between 0° and 360° . Solid lines in Fig. 7.4(c) are the fits with equation $AMR(\theta) = C + \alpha \cos 2(\theta + \phi)$, where C , α are constants and ϕ is the phase [61–63]. From the AMR data, we observe that the oscillations exhibit large amplitudes under the magnetic field of 9 T measured at 2 K and 10 K with a twofold symmetry having maximal MR values for $H \perp c$ and minimal MR values for $H \parallel c$ [59, 64]. On the other hand, under the magnetic field of 1 T, measured at 2 K and 10 K, the twofold symmetry still survives, but the oscillation magnitude gets significantly reduced. For a better representation, the AMR data of Fig. 7.4(c) are plotted into the polar graphs as shown in Figs. 7.4(d) and 7.4(e) for the fields of 9T and 1T, respectively. Thus, the two-fold asymmetry of MR measured

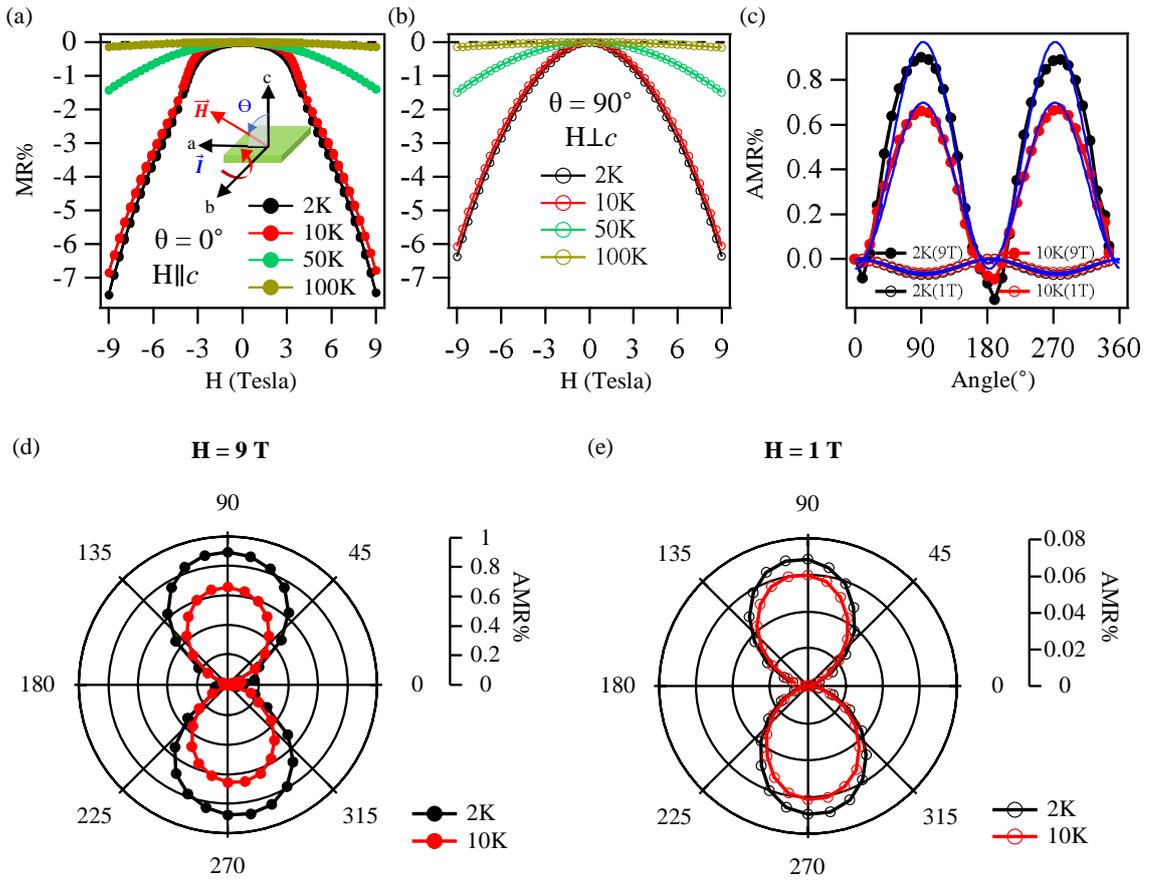


Figure 7.4: (a) Magnetoresistance, MR (%), plotted as a function of field applied parallel to the c -axis ($\theta = 0^\circ$). (b) Same as (a) but measured for the field applied perpendicular to the c -axis ($\theta = 90^\circ$). (c) Angle-dependent MR (%) plotted as function of field-angle under the applied fields of 1 T and 9 T. (d) and (e) AMR (%) of (c) plotted in the polar graph with an applied of 9T and 1T respectively.

at both 1 T and 9 T is clearly visible from Fig. 7.4(c).

7.4 Conclusions

In conclusion, we have systematically studied the electrical transport, magnetotransport, and magnetic properties of the V intercalated transition metal dichalcogenide V_5S_8 . In this study, we show the Kondo effect in V_5S_8 originated from the exchange interaction between localized moments and conduction electrons. We find isotropic magnetic properties above T_N , while a strong magnetic anisotropy is noticed below T_N . In addition, below T_N we find an out-of-plane ($H \parallel c$) SF transition triggered at a critical field of 3.5 T that is absent for $H \perp c$. Negative MR is noticed in the antiferromagnetic state, while it is negligible in the paramagnetic state. Angle-dependent MR is found to be highly anisotropic in the antiferromagnetic state (< 27 K).

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CHAPTER 7. ELECTRICAL-TRANSPORT, MAGNETOTRANSPORT, AND MAGNETIC PROPERTIES STUDIES OF V_5S_8 SINGLE CRYSTAL

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CHAPTER 7. ELECTRICAL-TRANSPORT, MAGNETOTRANSPORT, AND MAGNETIC PROPERTIES STUDIES OF V_5S_8 SINGLE CRYSTAL

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Chapter 8

Summary

We have systematically studied the low-energy electronic structure of ZrTe_2 and ZrSe_2 using ARPES. ARPES data of ZrTe_2 suggest several well disconnected hole and electron pockets at $\Gamma(A)$ and $M(L)$ points, respectively. From the ARPES data of ZrTe_2 , we realize three holelike non-degenerate band dispersions near the $\Gamma(A)$ point and an electronlike band dispersion at the $M(L)$ point. The experimental observations are in good agreement with the DFT calculations. An equal number of hole and electron carrier density as estimated from our ARPES data suggest ZrTe_2 to be a semimetal. In addition, the DFT calculations of ZrTe_2 in the presence of spin-orbit coupling suggest a band inversion between Te p and Zr d bands near Γ point, hinting ZrTe_2 to be a topological semimetal. On the other hand, from the Fermi surface topology of ZrSe_2 we observe only the electron pockets located at $M(L)$ point, while the hole pockets are noticed well below the Fermi level. Our studies on ZrSe_2 further suggest it to be a semiconductor with an indirect band gap of 0.9 eV between the $\Gamma(A)$ and $M(L)$ high symmetry points. Also, our calculations demonstrate that the metal-chalcogen bond-length plays a vital role on the electronic structure changes of ZrX_2 ($X = \text{Se}$ and Te) in such a way that an electronic phase transition takes place from a semiconductor to a topological semimetal in going from ZrSe_2 to ZrTe_2 .

We have systematically studied the low-energy electronic structure of layered Tantalum ditelluride ($1T'$ - TaTe_2) using ARPES. We find that the Fermi surface topology of TaTe_2 is rather different when compared to the isovalant compounds of TaTe_2 such as TaS_2 , TaSe_2 , and the isostructural compound like NbTe_2 . Interestingly, we realize that the surface electronic structure of $1T'$ - TaTe_2 has more resemblance to the $2H$ - TaTe_2 , while the bulk electronic structure $1T'$ - TaTe_2 has more resemblance to $1T$ - TaTe_2 . These experimental observations are systematically compared with DFT calculations performed on $1T$ -, $2H$ - and $2H$ (monolayer)/ $1T$ - TaTe_2 . We further notice that the Fermi surface topology is temperature independent up to 180 K, confirming that $2H$ phase on the top layer is very stable and the CDW order is not due to the Fermi surface nesting.

We have performed an electronic structure study on NiTe₂ using ARPES with a photon energy of 21.2 eV. Our ARPES data reveal a surface Dirac point in NiTe₂ at a binding energy of 1.45 eV. This observation is in good agreement with earlier predictions of surface Dirac states in TMDCs. Therefore, using ARPES we provide an experimental evidence that NiTe₂ is a topological TMDC. Also, we have grown single crystals of NiTe₂ and performed electrical transport and magnetotransport measurements. The Debye temperature obtained from our resistivity data using BG fitting is in good agreement with previous reports. Our BG fitting suggests this system is dominated by s-d interband electron scattering. From magnetoresistance measurements we observe a crossover from linear to quadratic dependence of the MR on B.

We have drawn a comprehensive comparison between the transition-metal dichalcogenides VSe₂ and VTe₂ on their electrical transport, magnetotransport, and magnetic properties. We observe the Kondo effect in both systems due to exchange interaction between localized moments and conduction electrons at low temperatures, resulting into a resistance upturn at a Kondo temperature of 6 K for VSe₂ and 12 K for VTe₂. From the field dependent resistance measurements we find that the data is fitted best with a modified Hamann equation corrected by the quantum Brillouin function for VSe₂, while the data is best fitted with a modified Hamann equation corrected by the classical Langevin function for VTe₂. Interestingly, we observe contrasting MR properties between these systems across the Kondo temperature. Both systems show weak ferromagnetism at low temperature due to intercalated V atoms.

We have systematically studied the electrical transport, magnetotransport, and magnetic properties of the V intercalated transition metal dichalcogenide V₅S₈. In this study, we show the Kondo effect in V₅S₈ originated from the exchange interaction between localized moments and conduction electrons. We find isotropic magnetic properties above T_N , while a strong magnetic anisotropy is noticed below T_N . In addition, below T_N we find an out-of-plane ($H \parallel c$) SF transition triggered at a critical field of 3.5 T that is absent for $H \perp c$. Negative MR is noticed in the antiferromagnetic state, while it is negligible in the paramagnetic state. Angle-dependent magnetoresistance is found to be highly anisotropic in the antiferromagnetic state (<27 K).

List of abbreviations

2D 2-Dimensional

AMR Angle Dependent (θ) Magnetoresistance

ARPES Angle Resolved Photoemission Spectroscopy

BG Bloch-Grüneisen

C-CDW Commensurate CDW

CDW Charge Density Wave

CVT Chemical Vapor Transport

DFT Density Functional Theory

DSC Differential Scanning Calorimeter

EDC Energy Distribution Curve

EDM Energy Distribution Map

EDX Energy Dispersive X-ray Analysis

EI Electron-electron Interaction

FC Field-cooled

FS Fermi Surface

IC-CDW Incommensurate CDW

LEED Low Energy Electron Diffraction

MDC Momentum Distribution Curve

MPMS Magnetic Property Measurement System

MR Magnetoresistance

PL Photoluminescence

PPMS Physical Property Measurement System

SDW Spin Density Wave

SEM Scanning Electron Microscope

SF Spin-flop

SQUID Superconducting Quantum Interference Device

TMDC Transition Metal Dichalcogenide

VSM Vibrating Sample Magnetometer

WAL Weak Antilocalization

WL Weak Localization

XPS X-ray Photoemission Spectroscopy

XRD X-ray Diffraction Analysis

ZFC Zero-field-cooled

List of corrections

1. The publication's status has been changed from "under review" to "published", and its title has been revised to "Observation of Weak Kondo Effect and Angle-Dependent Magnetoresistance in Layered Antiferromagnetic V_5S_8 Single Crystal." Consequently, the list of publications has been rearranged to reflect these updates.
2. Footnote in page number 120 (page number 115 before) of the chapter: Electrical-transport, Magnetotransport, and Magnetic Properties Studies of V_5S_8 Single Crystal is modified as "most of this chapter is published as: I. Kar *et al.*, Solid State Communications **369**, 115209 (2023)."

Corrections recommended by the first examiner:

1. Figure numbers referred in the text are corrected in page numbers 13, 14 of the chapter: Introduction.
2. The heading "topological semimetal" is changed to "topological properties" in page number 15 of the chapter: Introduction.
3. In the chapter: Introduction, descriptions on Dirac fermions, Weyl fermions, Majorana fermions, Dirac semimetal, Weyl semimetal, topological insulator, topological superconductor are added in pages 15-16; descriptions on diamagnetism, paramagnetism, ferromagnetism, antiferromagnetism, ferrimagnetism are added in pages 19-21; descriptions on Kondo effect and Mott insulator are added in page number 23.
4. The misprints are corrected in the thesis.
5. The following sentence is removed from page number 24 (page number 20 before) in the chapter: Introduction, "also, a linear band crossing point is observed from ARPES measurements, indicating a topological nature of VTe_2 ."
6. The value of vacuum order is corrected in page number 43 (page number 38 before) of the chapter: Experimental details.

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7. The following sentence in page number 52 (page number 47 before) of the chapter: Experimental details, “when the current flows through the junction in the absence of applied field, is proportional to the phase difference of the wave functions, is called dc Josephson effect. When the Josephson junction oscillates with a characteristic frequency proportional to the voltage applied across the junction, is called ac Josephson effect” is modified as “a current flows through the Josephson junction even in the absence of applied voltage which is proportional to the sine of the Josephson phase(phase difference across the junction), it is called DC Josephson effect. When a DC voltage is applied across the Josephson junction, the current passing through the junction oscillates with a characteristic frequency proportional to the voltage applied across the junction. This phenomenon is known as AC Josephson effect.”
 8. The difference between *s* and *p* polarized light is explained in page number 64 of the chapter: ARPES studies on ZrX_2 ($X=$ Se and Te).
 9. The following sentence is added in the caption of Figure 3.5 in page number 70 (page number 65 before) of the chapter: ARPES studies on ZrX_2 ($X=$ Se and Te), “The blue color represents the strength of contributions.”
 10. Luttinger’s theorem is explained in page number 73 of the chapter: ARPES studies on ZrX_2 ($X=$ Se and Te).
 11. The temperature value of sink zone is corrected in page number 82 (page number 77 before) of the chapter: ARPES studies on CDW candidate, $TaTe_2$.
 12. Figure number referred in the text is corrected in page number 83 (page number 78 before) of the chapter: ARPES studies on CDW candidate, $TaTe_2$.
 13. Figure 4.5 is discussed in page number 87 of the chapter: ARPES studies on CDW candidate, $TaTe_2$.
 14. The caption of Figure 5.2 is corrected in page number 97 (page number 92 before) of the chapter: Magneto-transport and ARPES Studies on type-II Dirac semimetal, $NiTe_2$.
 15. The equations are rearranged according to the text in page numbers 108, 110, 112 of chapter: Electrical-transport, Magnetotransport, and Magnetic Properties Studies of VX_2 ($X =$ Se & Te) Single Crystals.
 16. The equations 6.1, 6.2 are explained in pages 109, 110.

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17. The following sentence is added in pages 111 and 113 of the chapter: Electrical-transport, Magnetotransport, and Magnetic Properties Studies of VX_2 ($X = \text{Se} \ \& \ \text{Te}$) Single Crystals, “here, it can be seen that ZFC and FC are identical.”
 18. The value of q is corrected in page number 113 (page number 108 before) of the chapter: Electrical-transport, Magnetotransport, and Magnetic Properties Studies of VX_2 ($X = \text{Se} \ \& \ \text{Te}$) Single Crystals.
 19. The following sentence is added in page number 115 of the chapter: Electrical-transport, Magnetotransport, and Magnetic Properties Studies of VX_2 ($X = \text{Se} \ \& \ \text{Te}$) Single Crystals, “in both systems examined, there is a manifestation of weak ferromagnetism at low temperatures. This phenomenon can be attributed to the presence of intercalated V in both systems. While the chemical composition of VSe_2 displays a deficiency of V or excess of Se, previous studies have established that V^{4+} ions ($3d^1$) carry the localized magnetic moment in these system. In the case of VSe_2 , some vanadium atoms are intercalated between the layers of VSe_2 , similar to VTe_2 , but there is also an excess of Se occupying the interstitial spaces within the crystal structure. Consequently, the overall chemical formula exhibits an excess of Se, which is p-Block element. As a result, this excess Se does not influence the The Kondo effect or magnetic ordering. Kondo effect in V deficient VSe_2 system was reported earlier”
 20. The equations are rearranged according to the text in page number 125 of chapter: Electrical-transport, Magnetotransport, and Magnetic Properties Studies of V_5S_8 Single Crystal.
 21. List of abbreviations are added to the thesis in page numbers 134, 135.

Corrections recommended by the second examiner:

1. The misprints are corrected in the thesis.
2. The following sentence in page number 25 (page number 22 before) of the chapter: Introduction, “we observe Kondo effect in both systems due to weak ferromagnetic ordering at low temperature...” is modified as “we observe the Kondo effect in both systems due to exchange interaction between localized moments and conduction electrons at low temperature...”.
3. The following sentence in page number 26 (page number 22 before) of the chapter: Introduction, “in this study, for the first time, we show Kondo effect in V_5S_8 originated from the antiferromagnetic exchange interactions among the intercalated V atoms below the Néel (T_N) temperature of 27 K” is modified as “in this

study, we show the Kondo effect in V_5S_8 due to exchange interaction between localized moments and conduction electrons.”

4. The following sentence in page number 43 (page number 38 before) of the chapter: Experimental details, “...by emitting X-ray of equivalent to the energy difference between the two states” is modified as “...by emitting X-ray, the energy of which is equivalent to the energy difference between the two states.”
5. The following sentence in page number 106 (page number 101 before) of the chapter: Electrical-transport, Magnetotransport, and Magnetic Properties Studies of VX_2 ($X = \text{Se} \ \& \ \text{Te}$) Single Crystals, “...Kondo effect induced by the weak ferromagnetism at low temperature” is modified as “...the Kondo effect induced by the exchange interaction between localized moments and conduction electrons at low temperature.”
6. The following sentence in page number 115 (page number 110 before) of the chapter: Electrical-transport, Magnetotransport, and Magnetic Properties Studies of VX_2 ($X = \text{Se} \ \& \ \text{Te}$) Single Crystals, “we observe the Kondo effect in both systems due to weak ferromagnetic ordering at low temperatures...” is modified as “we observe the Kondo effect in both systems induced by the exchange interaction between localized moments and conduction electrons at low temperature...”.
7. The following sentence in page number 127 (page numbers 122 and 123 before) of chapter: Electrical-transport, Magnetotransport, and Magnetic Properties Studies of V_5S_8 Single Crystal, “in this study, for the first time, we show the Kondo effect in V_5S_8 originated from the antiferromagnetic exchange interactions among the intercalated V atoms below the Néel temperature” is modified as “in this study, we show the Kondo effect in V_5S_8 originated from the exchange interaction between localized moments and conduction electrons.”
8. The following sentence in page number 133 (page numbers 129 before) of chapter: Summary of Works, “we observe the Kondo effect in both systems due to weak ferromagnetic ordering...” is modified as “we observe the Kondo effect in both systems due to exchange interaction between localized moments and conduction electrons...”.
9. The following sentence in page number 133 (page numbers 129 before) of chapter: Summary of Works, “in this study, for the first time, we show Kondo effect in V_5S_8 originated from the antiferromagnetic exchange interactions among the intercalated V atoms below the Néel temperature” is modified as “in this study, we show the Kondo effect in V_5S_8 originated from the exchange interaction between localized moments and conduction electrons.”